

# **STRATEGIES FOR RAPIDLY DEVELOPING PLASMA CHEMISTRY MODELS\***

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# AGENDA

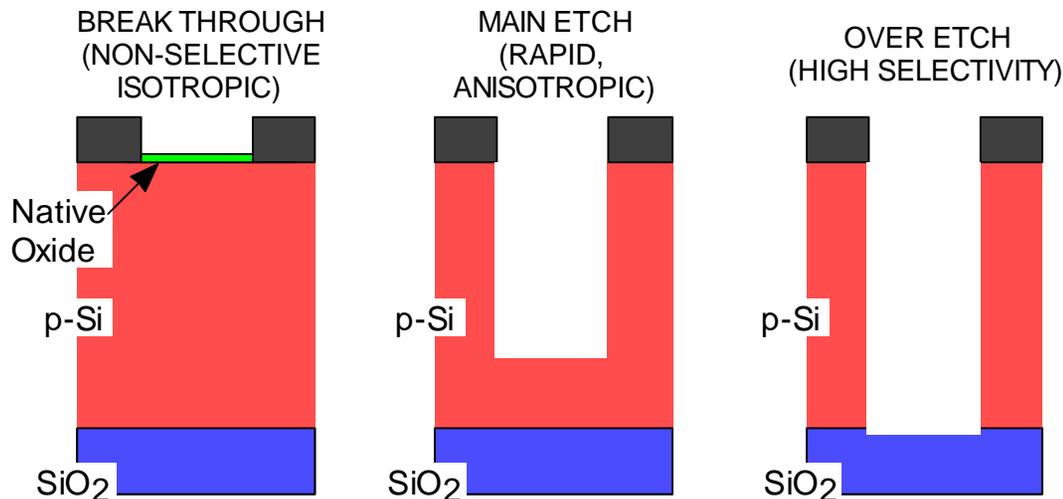
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- **Complex plasma chemistries for microelectronics fabrication**
- **What should you do if tasked with rapidly assessing a new chemistry?**
- **Components of your toolbox**
- **Sources of data**
- **If you must estimate or guess....**
- **Examples of rapidly assessed chemistries**
- **Concluding remarks**

# COMPLEX PLASMA CHEMISTRIES

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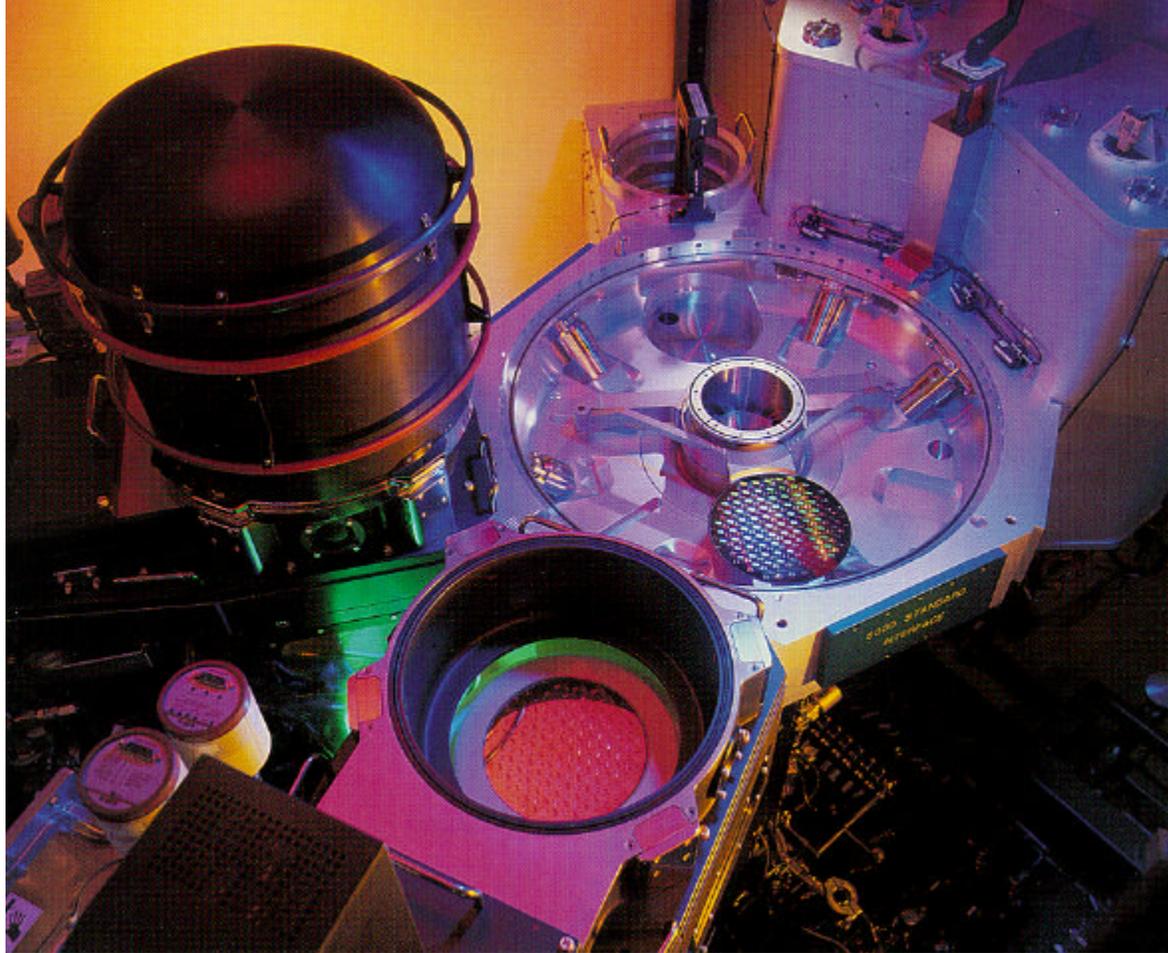
- Complex plasma chemistries for etching and deposition processes are everyday occurrences in microelectronics fabrication.
- It is not unusual to have 4 or 5 component gas mixtures. (e.g., Ar/Cl<sub>2</sub>/BCl<sub>3</sub>/N<sub>2</sub>/HBr)
- During a plasma etching process, it is not unusual for there to be 2-4 "recipe" changes.



- Recipe changes are different values of, for example, power, pressure, flow rate or gas mixture to address beginning, middle and end of the etch.

# COMPLEX PLASMA CHEMISTRIES

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- Most plasma processing tools have multiple chambers in which different chemistries (e.g., etch followed by clean) are used.

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# SCENARIO: QUICK EVALUATION OF A NEW PROCESS

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- You work for a semiconductor equipment manufacturer. Your boss tasks you with computationally evaluating a newly proposed process. You are given 3 days to complete the job.
  - What should you have done in preparation for this request?
  - What should you ask before starting the job?
  - What is the procedure you should follow to fulfill the request?

# BEFORE YOU WERE TASKED: A TOOLBOX

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- In preparation of your task, you should have assembled a flexible computational toolbox.

## *Databases* ®

*DataBase  
Processor*®

*Reaction  
Mechanisms* ®

*A "basic" global  
plasma model* ®

*Visualizer and  
post-  
processor*

# COMPONENTS OF YOUR TOOLBOX

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## Databases:

- Ion and Neutral transport coefficients
- Electron-impact cross sections
- Heavy particle reaction coefficients
- Gas/plasma-surface reaction probabilities
  
- Data should be in as "unprocessed" a form as possible. (e.g., cross sections are preferred over Townsend coefficients)
  
- DataBase Processor:
  - Method to convert "raw" database to "model usable" coefficients (e.g., cross sections to rate coefficients)
    - Boltzmann solver
    - Maxwellian "integrator" of cross sections

# COMPONENTS OF YOUR TOOLBOX

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- **Reaction Mechanisms:**
  - A collection of previously used (and hopefully validated) reaction mechanisms.
  - Scaling laws or IYMG (If you must guess) procedures for generating unavailable data.
- **A "basic" global plasma model**
  - Rapid (and error-less) method to convert a reaction mechanism into ODE's or PDEs
  - Method to convert "power" into "excitation" (Circuit model, electromagnetics solver)
  - Robust integration technique
  - Higher dimensionality (2D) as required
- **Visualization and post-processing**
  - A standardized, rapid way to display and manipulate the results.

# CONSTRUCTING YOUR DATABASE

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- The most reliable, most understood, most readily available, best formatted and most "comfortable" databases available are those you build *yourself* !
- Take FULL advantage of all external resources in building your database however devise a method of formatting, keeping track of references, revisions and updates which best suits *your* needs.
- (DON'T ALLOW YOUR ABILITY TO ACCOMPLISH YOUR GOAL BE LIMITED BY SOMEONE ELSE'S DECISION TO UPDATE THE FORMAT OF THEIR DATABASE...)
- In constructing your database, you will need to make value judgements on the goodness, appropriateness and validity of primary data sources or other databases.
- Make these decisions with some deliberate forethought as to what the database (or subsets of the database) will be used for.

# CONSTRUCTING YOUR DATABASE

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- **Example: What data are required for:**
  - **Investigating the effects of anisotropic electron scattering on the EEDF in an argon rf discharge.**
  - **Evaluating the consequences of adding 1% H<sub>2</sub> to CF<sub>2</sub>/C<sub>2</sub>F<sub>2</sub> = 50/50**
- **These data requirements are very different BASED ON THE DESIRED OUTCOME.**
- **One requirement is not better or worse, just different. (Incomplete data is not a bad thing if it is not needed....)**

# SOURCES OF DATA: ELECTRON-IMPACT

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## Publically Accessible Tabular Data:

- Art Phelps (<http://jilawww.colorado.edu/www/research/colldata.html>)

[O<sub>2</sub>, N<sub>2</sub>, CO, CO<sub>2</sub>, H<sub>2</sub>, H<sub>2</sub>O, NO, SF<sub>6</sub>, He, Ne, Ar, Xe, Na, and Mg]

- Skip Morgan/Kinema (<http://www.sni.net/kinema/download.htm>)

[N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>, Cl<sub>2</sub>, HCl, F<sub>2</sub>, CH<sub>4</sub>, CF<sub>4</sub>, SiH<sub>4</sub>, SF<sub>6</sub>, He, Ne, Ar, Kr, Xe]

- NIST Electronics and Electrical Engineering Laboratory (J. Olthoff)  
([http://www.eeel.nist.gov/eeel\\_pages/811.html](http://www.eeel.nist.gov/eeel_pages/811.html))

[CF<sub>4</sub>, CHF<sub>3</sub>, CCl<sub>2</sub>F<sub>2</sub>, C<sub>2</sub>F<sub>6</sub>, C<sub>3</sub>F<sub>8</sub>, Cl<sub>2</sub>, SF<sub>6</sub>]

- University of Illinois (<http://uigelz.ece.uiuc.edu>)

[He, He\*, Ne, Ne\*, Ar, Ar\*, Kr, Kr\*, Xe, Xe\*, N<sub>2</sub>, N, O<sub>2</sub>, O, H<sub>2</sub>, H, Cl<sub>2</sub>, Cl, F<sub>2</sub>, F, SiH<sub>4</sub>, Si<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, CF<sub>4</sub>, C<sub>2</sub>F<sub>6</sub>, H<sub>2</sub>O, N<sub>2</sub>O, NH<sub>3</sub>, HCl, CCl<sub>4</sub>, CCl<sub>2</sub>F<sub>2</sub>, NF<sub>3</sub>, CO<sub>2</sub>, CO, SO<sub>2</sub>, BCl<sub>3</sub>, BF<sub>3</sub>, Hg, Hg\*, HgBr, Cu, Cu\*, Al, Al\*, Ti, Ti\*]

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# SOURCES OF DATA: ELECTRON-IMPACT

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- C. Gorse, University of Bari, ([cap@chimica.uniba.it](mailto:cap@chimica.uniba.it)): H<sub>2</sub>(v),D<sub>2</sub>(v)
- NIST Physics Division (Y.-K. Kim), Electron Impact Ionization (<http://physics.nist.gov/PhysRefData/Ionization/Xsection.html>)

[H, He, SiFx, SF<sub>6</sub>, H<sub>2</sub>, N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>O, CO, NO, CO<sub>2</sub>, NH<sub>3</sub>, CH, CH<sub>2</sub>, C<sub>2</sub>H<sub>2</sub>, CH<sub>3</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, C<sub>3</sub>H<sub>8</sub>, C<sub>6</sub>H<sub>6</sub>, SiF, SiF<sub>2</sub>, SiF<sub>3</sub>, SF<sub>6</sub>, CS, CS<sub>2</sub>, COS, H<sub>2</sub>S, NO<sub>2</sub>, N<sub>2</sub>O, O<sub>3</sub>, S<sub>2</sub>, SO<sub>2</sub>, SiH, SiH<sub>2</sub>, SiH<sub>3</sub>, SiH<sub>4</sub>, Si<sub>2</sub>H<sub>6</sub>, Si(CH<sub>3</sub>)<sub>4</sub>, GeH, GeH<sub>2</sub>, GeH<sub>3</sub>, GeH<sub>4</sub>, Ge<sub>2</sub>H<sub>6</sub>, CF<sub>4</sub>, C<sub>2</sub>F<sub>6</sub>, CF<sub>3</sub>]

- ORNL "RedBooks" (He, H<sub>2</sub>, D<sub>2</sub>) (<http://www-cfadc.phy.ornl.gov/redbooks/redbooks.html>)

## Publically Available Bibliographic Data

- GAPHYOR (<http://gaphyor.lpgp.u-psud.fr/>)

# SOURCES OF DATA: ELECTRON-IMPACT

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- **SWARM Data to unfold:**
  - **Unfolding swarm data to obtain cross sections is not a quick process, but nevertheless is an extremely valuable source of cross sections.**
  - **Continue to collect all available swarm data to augment long term additions to your database. (Get a summer intern and a Boltzmann code.....)**

# SOURCES OF DATA: NEUTRAL CHEMISTRY

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- **Rate Coefficients:**
  - **NIST Chemical Kinetics Database** (<http://www.nist.gov/srd/nist17.htm>)
  - **International Journal of Chemical Kinetics**  
(<http://www.interscience.wiley.com/jpages/0538-8066/>)
  - **Journal of Physical Chemistry A**  
(<http://pubs.acs.org/journals/jpcafh/index.html>)
  - **Journal of Atmospheric Chemistry**
  - **Journal of Physical Chemistry Ref. Data** (many, many compendia of rate coefficients for combustion and atmospheric chemistry)
- **Reaction Mechanisms (Combustion literature)**
  - **Combustion and Flame**  
(<http://www.elsevier.com/inca/publications/store/5/0/5/7/3/6/>)
  - **International Journal of Chemical Kinetics**

## **SOURCES OF DATA: NEUTRAL CHEMISTRY**

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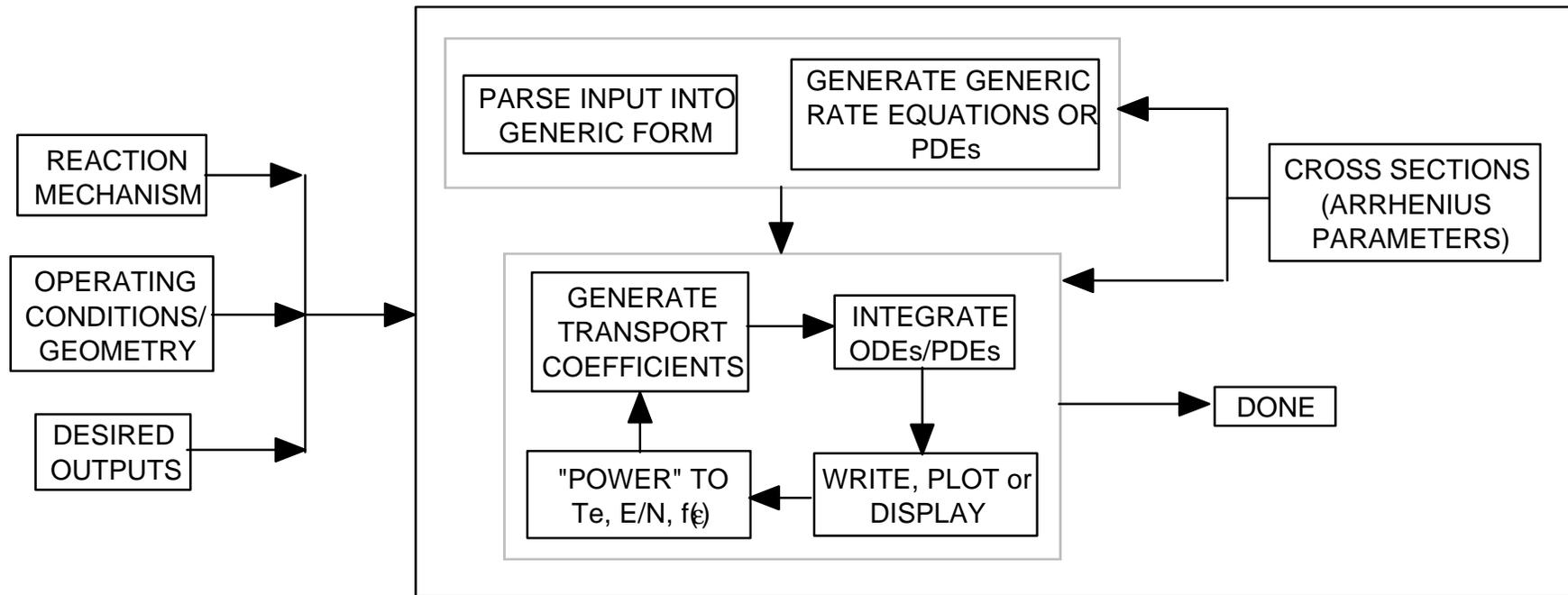
- eg: R. Fournet, et al "Experimental and Modeling of Oxidation of Acetylene, Propyne, Allene and 1,3-Butadiene", Int. J. Chem. Kinet. 31, 361 (1999).
- AIAA Journal (<http://www.aiaa.org/publications/journals.html>)
- Models outside your application area which use the same species
  - Excimer lasers (XeCl, XeF, KrF good source for halogen data)
  - Metal vapor lasers and MHD (good source for metal atom data)
  - Atmospheric chemistry (suprisingly, for C-H-O-N and Cl species)
- Collect everything that key individuals have ever published in the field for specific chemistries (e.g., Jerome Perrin for silane plasma chemistry)

# **SOURCES OF DATA: ION MOLECULE AND ION TRANSPORT**

- **Mobilities and diffusivities vs E/N (or T(effective))**
  - **H. W. Ellis, At. Data Nucl. Data Tables 17, 177-210 (1976); 22, 179-217 (1978); 31, 113-151 (1984)**
- **Ion-Molecule Rate coefficients compendia.**
  - **D. L. Albritton, At. Data Nucl. Data Tables 22, 2 (1978)**
  - **Y. Ikezoe, et. al. "Gas Phase Ion-Molecule Reaction Rate Constants Through 1986" (Mass Spectroscopy Society of Japan).**
  - **J. Phys. Chem. Ref. Data**

# GENERIC LOW PRESSURE PLASMA CHEMISTRY MODEL

- Your "toolbox" should hold a "generic" plasma chemistry model in which all parameters, chemistries and operating conditions are defined "from the outside" to facilitate rapid turnaround of reaction mechanisms.



# EXAMPLE OF "GENERIC INPUT FILE"

- Defining the reaction mechanism should allow for rapid modifications in rates, processes and boundary conditions.

**Species,  
Boundary  
conditions**

```

CL2          : 0 ;          70.00 & 0.0 ] 1 [ 0.0 @CL2      !
CL2^        : 1 ;          70.00 & 1.0 ] 1 [ 1.0 @CL2      !
CL          : 0 ;          35.50 & 0.005 ] 1 [ 0.0025 @CL2    !
>          :5           & 0.1      [ 0.025 @SICL4    !
CL^         : 1 ;          35.50 & 1.0 ] 1 [ 1.0 @CL      !
CL*         : 0 ;          35.50 & 1.0 ] 1 [ 1.0 @CL      !
>          :5           & 0.1      [ 0.025 @SICL4    !
CL-         : -1 ;         35.50 & 1.0 ] 1 [ 1.0 @CL      !
SICL4       : 0 ;          170.00 & 0.0 ] 1 [ 0.0 @SICL4   !
E           : -1 ;         5.444E-04 & 1.0 ] 1 [ 0.0 @E      !
*

```

**Reactions,  
Rate coefficients,  
Links to database**

```

E + CL2 > CL + CL-          : 0.00E+00 ;          771 !
E + CL2 > CL + CL + E      : 0.00E+00 ;          774 !
E + CL2 > CL2^ + E + E    : 0.00E+00 ;          776 !
E + CL > CL* + E          : 0.00E+00 ;          778 !
E + CL > CL* + E          : 0.00E+00 ;          779 !
E + CL > CL* + E          : 0.00E+00 ;          780 !
E + CL > CL* + E          : 0.00E+00 ;          781 !
E + CL > CL* + E          : 0.00E+00 ;          782 !
E + CL > CL* + E          : 0.00E+00 ;          783 !
E + CL > CL^ + E + E      : 0.00E+00 ;          784 !
E + CL* > CL^ + E + E     : 0.00E+00 ;          785 !
CL* > CL                   : 1.00E+05 ;          1 !
E + CL- > CL + E + E      : 0.00E+00 ;          788 !
E + CL2^ > CL + CL        : 1.00E-07 ; -0.50 & 0.0 ] -1 !
CL- + CL^ > CL + CL       : 1.00E-07 ; -0.50 & 0.0 ] -1 !
CL- + CL2^ > CL2 + CL     : 1.00E-07 ; -0.50 & 0.0 ] -1 !
CL + CL + CL2 > CL2 + CL2 : 5.40E-32 ; -1.50 & 0.0 ] 1 !
CL^ + CL2 > CL2^ + CL     : 5.40E-10 ; 0.00 & 0.0 ] 1 !

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# EXAMPLES OF "GENERIC" GLOBAL MODELS

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- **Commercial Products:**

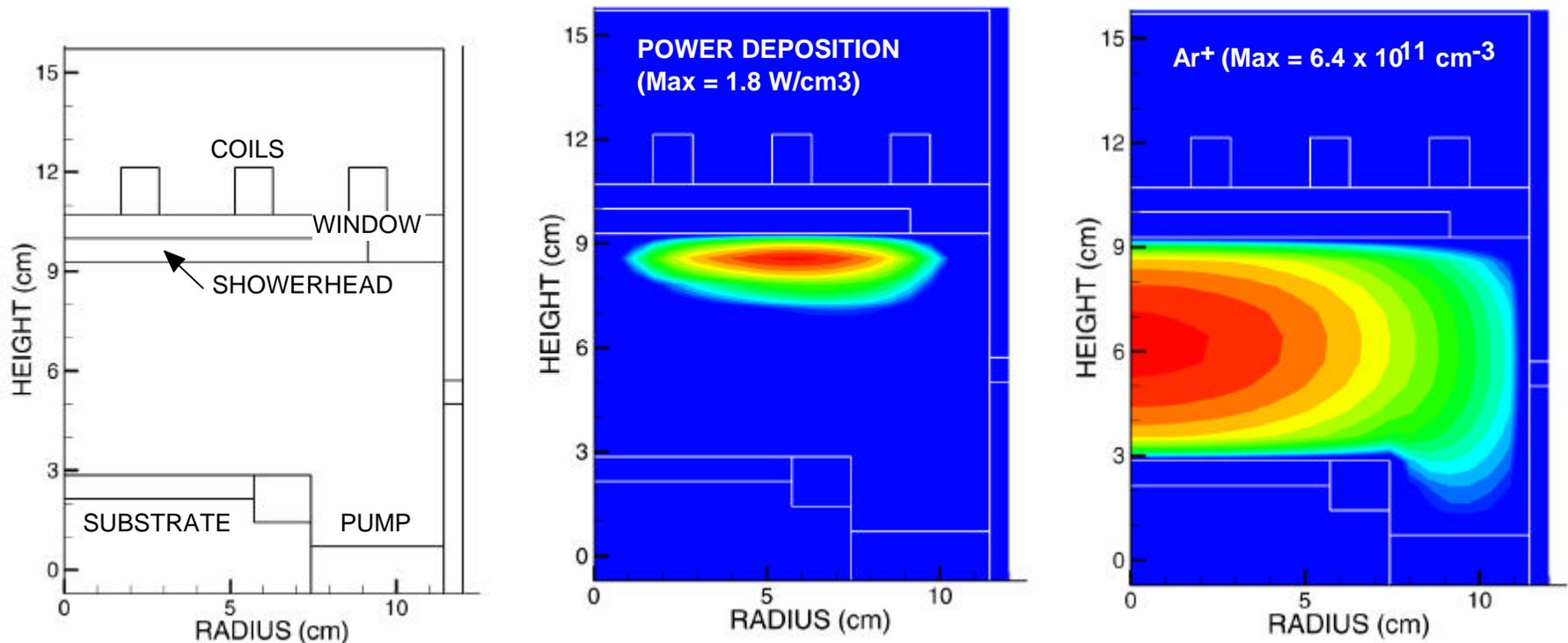
- **AURORA, Reaction Design, <http://www.reactiondesign.com>**
- **KINEMA, Kinema Research and Software, <http://www.sni.net/kinema/>**

- **Literature Citations:**

- **S. Ashida, C. Lee and M. A. Lieberman, "Spatially averaged (global) model of time modulated, high density argon plasmas", J. Vac. Sci. Technol. A, 13, 2498 (1995)**
- **C. Lee, D. B. Graves, M. A. Lieberman and D. W. Hess, "Global Model of plasma chemistry in a high density oxygen discharge", J. Electrochem. Soc. 141, 1546 (1994)**
- **E. Meeks and J. W. Shon, "Modeling of plasma-etch processes using well stirred reactor approximations and including complex gas-phase and surface reactions", Tran. Plasma Sci. 23, 539 (1995)**

# DEMONSTRATION REACTOR

- For demonstration and illustrative purposes, simulations will be performed in a small, inductively coupled plasma (ICP) reactor.



- Ar, 10 mTorr, 150 sccm, 400 W

# DEVELOP A REACTION MECHANISM WHICH ALIGNS WITH WHAT YOU NEED TO KNOW

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- The time required to develop a reaction mechanism scales with its size and complexity.
- The completeness of your reaction mechanism should correlate with the end product of your modeling activity.
- What do you really need to know *and to what accuracy?* What data is required to meet that goal?
- Example: The output of interest is uniformity of ion flux to the substrate.

## Reaction Mechanism 1

e, Ar(3s), Ar(4s), Ar(4p), Ar<sup>+</sup>

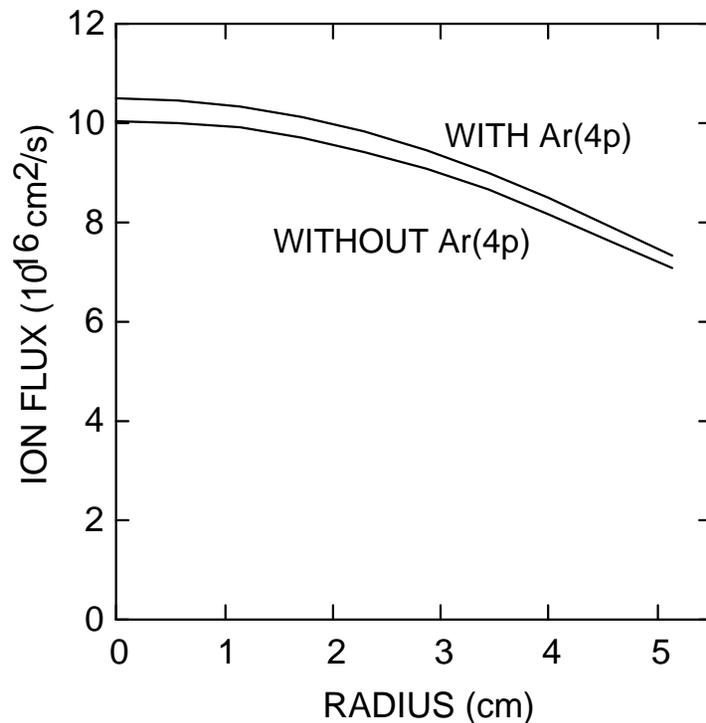
## Reaction Mechanism 2

e, Ar(3s), Ar(4s), Ar<sup>+</sup>

- How critical is Ar(4p) to "what I need to know" and, by implication, how important is it to know its cross sections (FOR THESE CONDITIONS!)

# DEVELOP A REACTION MECHANISM WHICH ALIGNS WITH WHAT YOU NEED TO KNOW

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- If all you are interested in is the ion flux to the substrate, and are willing to tolerate a 5-10% error, a simple reaction mechanism serves your purpose.

- Ar, 10 mTorr, 400 W, 150 sccm,

***REMEMBER.....***

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***YOU GOTTA HAVE THIS DONE IN 3 DAYS!!!!***

# MAKE BACK OF THE ENVELOPE ESTIMATES TO REFINE (LIMIT) YOUR REACTION MECHANISM

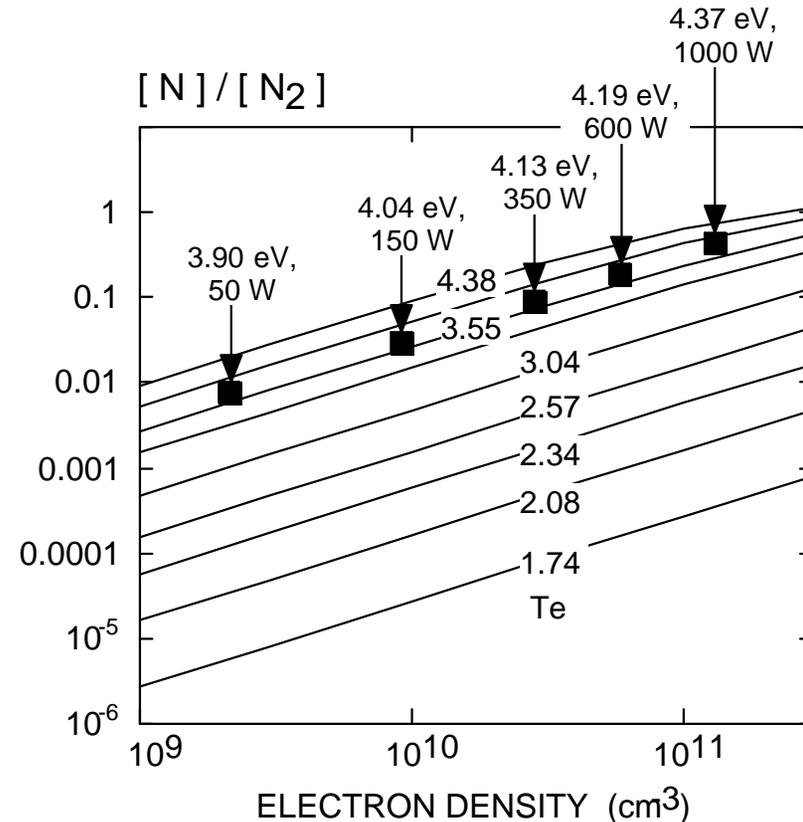
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- You always know the upper and lower bounds of reaction rate coefficients.
  - Ionization rate coefficients are never much bigger than  $10^{-10}$  cm<sup>3</sup>/s.
  - Momentum transfer rate coefficients are never much smaller than  $10^{-10}$  cm<sup>3</sup>/s
- Given these bounds, you can make back-of-the-envelope estimates to determine how much detail is required in your reaction mechanism?
- Example: In a N<sub>2</sub> plasma, do you need to include N atoms in the reaction mechanism?

$$\frac{dN_2}{dt} = -n_e k_d N_2 + \frac{N_{2o} - N_2}{t_r} = 0, \quad \frac{dN}{dt} = n_e k_d N_2 + \frac{N}{t_r} = 0$$
$$\frac{N}{N_{2o}} = \frac{2}{\frac{1}{n_e k_d t_r} + 1}$$

# MAKE BACK OF THE ENVELOPE ESTIMATES TO REFINE (LIMIT) YOUR REACTION MECHANISM

- Simple scaling shows regions where large electron densities and moderate electron temperatures produce significant  $N_2$  dissociation.
- HPEM simulations for  $N_2$  ICP plasmas confirm simple scaling.
- No need to include e-N processes for  $< 200\text{-}300\text{ W}$ .



- $N_2$ , 10 mTorr, 150 sccm (0.05 s residence time)

***REMEMBER.....***

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***YOU GOTTA HAVE THIS DONE IN 3 DAYS!!!!***

# **1% of MOLECULE "A" IN A MIXTURE OF MOLECULES "C" AND "D" DOESN'T MATTER.....sometimes**

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- In large part, if molecules are large enough, momentum transfer and the power deposited into a given component of a molecular gas mixture scales with its mole fraction.
- For example, if analyzing the consequences of adding 1% O<sub>2</sub> to CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> = 50/50, the incremental change in momentum transfer by O<sub>2</sub> is negligible.
- **WARNING!** Small admixtures of molecular gases mixtures having deep and pronounced Ramsauer momentum transfer can have significant effects.

[R. Nagpal and A. Garscadden, Appl. Phys. Lett. 64, 1626 (1994)]

- The important consequences of adding O<sub>2</sub> to CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> will be generating species WHICH WOULD NOT HAVE OTHERWISE NOT BEEN THERE!
- These species are produced by INELASTIC processes (e.g., e + O<sub>2</sub> > O + O + e) or by excitation transfer (or chemical reactions) from the major mole fraction species.

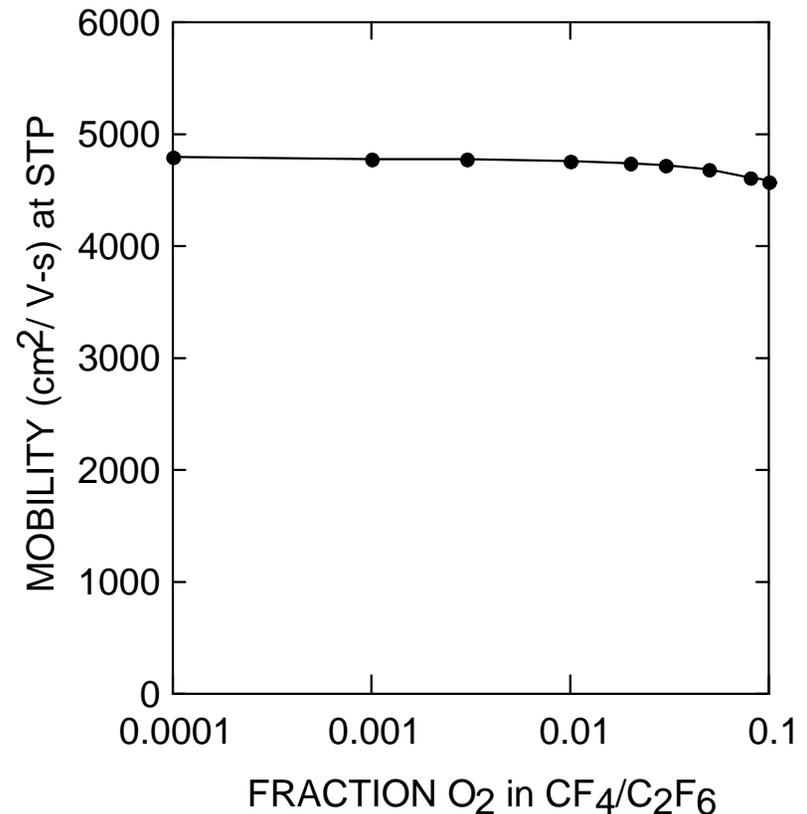
# 1% of MOLECULE "A" IN A MIXTURE OF MOLECULES "C" AND "D" DOESN'T MATTER.....sometimes

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- **Example: Electron mobility vs  $O_2$  fraction in  $CF_4/C_2F_6 = 50/50$  at 10 Td**

*"The importance of  $O_2$  in the gas mixture is the production of species which would not have otherwise been present...."*

*J. T. Verdeyen  
said something like that*



# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION ION-ION NEUTRALIZATION

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- All negative ions neutralize with all positive ions provided that  $E_{IP} > E_{EA}$ .
- At thermal energies (and low pressures) neutralization is non-associative.
- Simple scaling laws are available. For example,

$$s(e) = \frac{q^4}{4pe_0^2} \frac{1}{\Delta E^2} \left( 1 + \frac{\Delta E}{e} \right) F(e), \quad \Delta E = E_I - E_A$$

F = Landau – Zener transition probability

[J. T. Moseley, R. E. Olson and J. R. Peterson, *Case Studies in Atomic Physics*, 4, 1, (1975)]

- IYMG (If You Must Guess),  $k = 10^{-7} \text{ cm}^3 \text{ s}^{-1}$

# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION ELECTRON-ION RECOMBINATION

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- All molecular ions dissociatively recombine. If the electron temperature is not too low (< 300 K) or too high,

$$s(e) = \frac{s_0}{e} \quad k(T_e) = \frac{k_0}{T_e^{1/2}}$$

- Diatomics:  $s_0 = 1-5 \times 10^{-16} \text{ cm}^2\text{-eV}$ . Polyatomic ions have larger  $s_0$ .  
[J. B. A. Mitchell, Physics Reports 186, 215 (1990)]
- IYMG,  $k = 10^{-7}/T_e(\text{eV})^{1/2} \text{ cm}^3\text{s}^{-1}$
- In low pressure plasmas (< 100's mTorr) recombination of atomic ions can generally be ignored unless plasma densities are  $> 10^{12} \text{ cm}^{-3}$ .
  - IYMG Radiative Recombination:  $k = 5 \times 10^{-13}/T_e(\text{eV})^{0.7} \text{ cm}^3\text{s}^{-1}$   
Collisional Radiative Recombination:  $k = 7 \times 10^{-27}/T_e(\text{eV})^{4.5} \text{ cm}^6\text{s}^{-1}$   
[M. A. Biondi in "Principles of Laser Plasmas" edited by G. Bekifi  
(Wiley, New York, 1976)]

# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION MOBILITIES

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- Heavy particle Mobilities (and Diffusion Coefficients)

$$m = \frac{q}{m n_m}, \quad \frac{n_m}{N} = s_m \left( \frac{8kT_{\text{eff}}}{p m_r} \right)^{1/2}, \quad T_{\text{eff}} = T_{\text{ion}} + \frac{1}{3} \frac{M v^2}{k}$$

$$\frac{1}{m_{j\text{-mixture}}} = \sum_i \frac{1}{m_j}$$

- **Neutrals:**  $s_m$  is given by Lennard Jones parameters [R. A. Svehla, "Estimated Viscosities and Thermal Conductivities of Gases at High Temperatures" NASA Technical Report R-132, 1962]
- **IYMG:** Choose a LJ radius of a similar structured and sized molecule.

Large molecules		Small Molecules		Small - Large Atoms	
HgBr <sub>2</sub>	5.080 A	N <sub>2</sub>	3.798 A	H	2.708 A
Hgl <sub>2</sub>	5.625	O <sub>2</sub>	3.467	Cl	3.631
C <sub>2</sub> H <sub>6</sub>	5.349	F <sub>2</sub>	3.357	Xe	4.047

# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION MOBILITIES

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- Use mixture rules from Hirschfelder, Curtiss and Bird "Molecular Theory of Gases and Liquids" (Wiley, New York, 1954) for additional accuracy.
- Ions: All ions undergo symmetric charge exchange with their neutral. Assume momentum transfer is dominated by charge exchange. If you have the charge-exchange cross section, use it for momentum transfer. Otherwise, assume gas kinetic rates.
- For "Non-resonant" ion transport (e.g.,  $\text{Hg}^+$  in He)  $s_m$  depends on the on the model of the interaction potential

[E. A. Mason and E. W. McDaniel, "Transport Properties of Ions in Gases" (Wiley, 1988)].

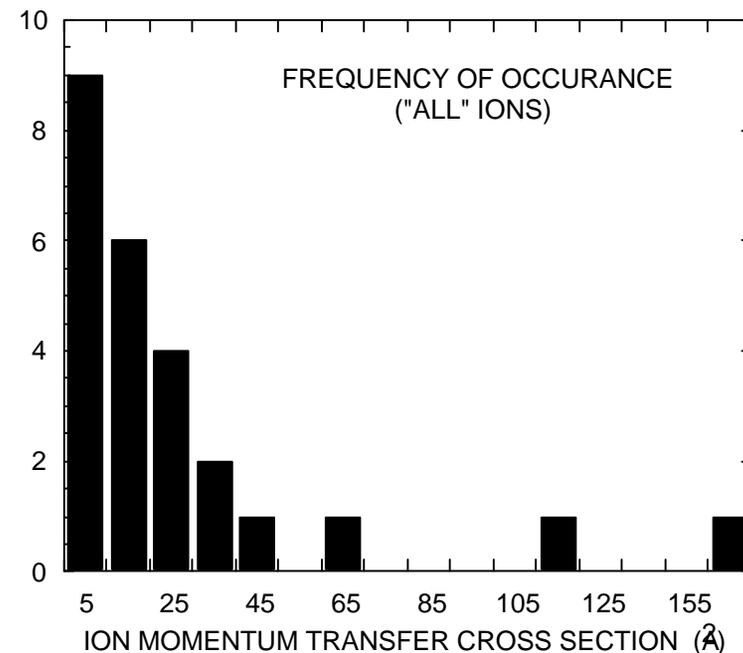
# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION MOBILITIES

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- If you have NO additional information, consult H. W. Ellis et al, for analogous ion-gas combinations.

[H. W. Ellis, At. Data Nucl. Data Tables 17, 177-210 (1976); 22, 179-217 (1978); 31, 113-151 (1984)]

- With rare exceptions, at zero-field  $s_m$  range in  $5-35 \times 10^{-16} \text{ cm}^2$ .



***REMEMBER.....***

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***YOU GOTTA HAVE THIS DONE IN 3 DAYS!!!!***

# **GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION ION MOLECULE, PENNING AND EXCITATION TRANSFER**

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- If energetically allowed, charge transfer by positive ions to polyatomic molecules proceeds at the gas kinetic rate (there will always be a state available) and is dissociative.
  - Branchings are the same as electron impact at the IP of ion (if available) or estimated from appearance potentials of fragment ions from the parent molecule.

[S. G Lias et al, "Gas-Phase Ion and Neutral Thermochemistry", J. Phys. Chem. Ref. Data 17, Supplement 1, 1988]

- If energetically allowed, Penning processes occur about about 0.2 gas kinetic.
- Quenching of excited states by polyatomic atoms is equivalent to electronic excitation at the same energy and is dissociative.

# **GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION ELECTRON IMPACT IONIZATION AND EXCITATION**

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- **Electron impact excitation and ionization cross sections are the most "species specific" of all data.**
- **On "casual inspection" it is difficult to predict or use analogy electron impact cross sections for complex molecules.**
- **So.....IF YOU MUST GUESS (IYMG)**
- **Use the same cross sections for fragments ( $\text{CF}_3$  vs  $\text{CF}_4$ ) until you REALLY feel really uncomfortable, and then deny you did it.**
- **At low gas pressures, only dissociative attachment is important for small molecules. Ignore 3 body processes (e.g.,  $e + \text{O}_2 + \text{M} > \text{O}_2^- + \text{M}$ )**
  - **Thermal attachment may occur if  $E_{\text{EA}} > E_{\text{DISS}}$  (e.g.,  $\text{F}_2$ ,  $\text{Cl}_2$ ). Check the thermochemistry.**
- **Reduce threshold energies of excited species by vibrational or electronic energy**

# GENERAL SCALING LAWS: IF YOU HAVE NO OTHER INFORMATION ELECTRON IMPACT IONIZATION AND EXCITATION

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- Electron impact ionization:

- If you have the input, use the Kim cross sections (J. Chem. Phys 110, 3811 (1999) or, if not, resort to Gryzinski cross sections (binary encounter).

$$s = 4pa_0^2 x_n \left( \frac{R}{I_n} \right)^2 g(u), \quad g(u) = \frac{1}{u} \left( \frac{u-1}{u+1} \right)^{3/2} \left( 1 + \frac{2}{3} \left( 1 - \frac{2}{u} \right) \ln \left( 2.7 + (u-1)^{1/2} \right) \right), \quad u = \frac{e}{I_n}$$

[T. D. Mark and G. H. Dunn, "Electron Impact Ionization" (Springer-Verlag, New York, 1985)]

- Electron Impact Excitation: Born-Bethe formula (Drawin formulation) for optically allowed transition.

$$s_{ij} = 4pa_0^2 x_n \left( \frac{R}{E_{ij}} \right)^2 f_{ij} a_{ij} \left( \frac{u_{ij}-1}{u_{ij}^2} \right) \ln(1.25 b_{ij} u_{ij}), \quad u = \frac{e}{E_{ij}}$$

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***REMEMBER.....***

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***YOU GOTTA HAVE THIS DONE IN 3 DAYS!!!!***

# EXAMPLE: H<sub>2</sub>O ADDITION TO Cl<sub>2</sub>/BCl<sub>3</sub> MIXTURES

- **Task:** We have a "working" Ar/Cl<sub>2</sub>/BCl<sub>3</sub> process in an ICP reactor (10 mTorr, 150 W, 150 sccm). What are the consequences of H<sub>2</sub>O addition?
- **Response:** What do you really want to know? How much H<sub>2</sub>O addition?
- **Refined Task:** What are the consequences on *ion flux to the substrate* for up to 10% H<sub>2</sub>O addition?

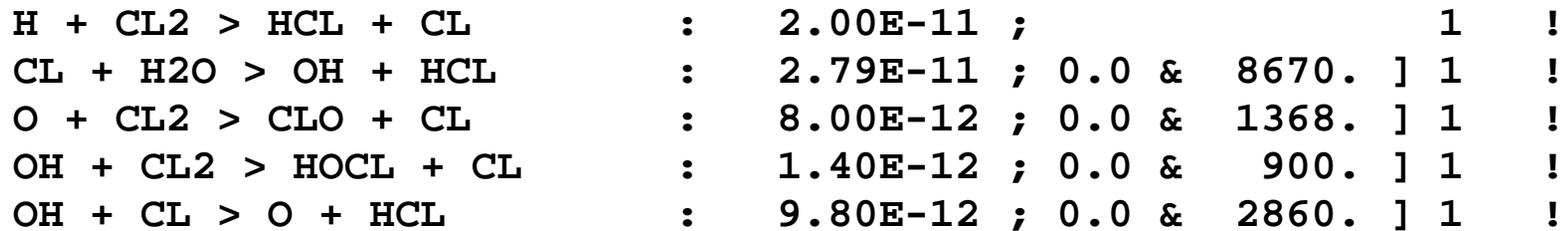
## PROCEDURE:

- **Point of departure:**
  - Working Ar/Cl<sub>2</sub>/BCl<sub>3</sub> reaction mechanism
  - Working H<sub>2</sub>O reaction mechanism
  - Combine them....

# EXAMPLE: H<sub>2</sub>O ADDITION TO Cl<sub>2</sub>/BCl<sub>3</sub> MIXTURES

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- Examine neutral chemistry for important "cross reactions" (NIST Database). Addition of H<sub>2</sub>O adds possibility of H, OH, O, O<sub>2</sub>, H<sub>2</sub>.



- HCl will be a major product....Obtain HCl reaction mechanism from XeCl laser model [e.g., Ohwa and Obara, J. Appl. Phys. 59, 32 (1986)] by adding HCl, HCl(v)
- Mobilities of Cl<sup>+</sup>, Cl<sub>n</sub><sup>+</sup>, BCl<sub>n</sub><sup>+</sup> in H<sub>2</sub>O, and OH<sup>+</sup>, H<sub>2</sub>O<sup>+</sup> in BCl<sub>n</sub>, Cl<sub>n</sub> are not known. Assume  $s_m = 50 \text{ A}^2$ .
- Assume all unknown dissociative recombination have  $k = 10^{-7}/T_e^{1/2} \text{ cm}^{-3}/\text{s}$ .
- Assume all unknown ion-ion recombination have  $k = 10^{-7} \text{ cm}^{-3}/\text{s}$ .

# EXAMPLE: H<sub>2</sub>O ADDITION TO Cl<sub>2</sub>/BCl<sub>3</sub> MIXTURES

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- Charge exchange cross sections for Cl<sup>+</sup>, Cl<sub>n</sub><sup>+</sup>, BCl<sub>n</sub><sup>+</sup> in H<sub>2</sub>O, and OH<sup>+</sup>, H<sub>2</sub>O<sup>+</sup> in BCl<sub>n</sub>, Cl<sub>n</sub> are not known. Obtain thermochemistry from Lias et. al. and assume allowable reactions are gas kinetic.
- Ar/H<sub>2</sub>O ion chemistry is known. Obtain from Ikezoe.

H2O <sup>+</sup> + Cl <sub>2</sub> > Cl <sub>2</sub> <sup>+</sup> + H2O	:	1.00E-10	;	1	!
H2O <sup>+</sup> + Cl <sub>2</sub> > Cl <sub>2</sub> <sup>+</sup> + H2O	:	1.00E-10	;	1	!
H2O <sup>+</sup> + BCl <sub>2</sub> > BCl <sub>2</sub> <sup>+</sup> + H2O	:	1.00E-10	;	1	!
H2O <sup>+</sup> + BCl <sub>3</sub> > BCl <sub>3</sub> <sup>+</sup> + H2O	:	1.00E-10	;	1	!
Cl <sup>+</sup> + H2O > H2O <sup>+</sup> + Cl	:	1.00E-10	;	1	!
HCl <sup>+</sup> + Cl <sub>2</sub> > Cl <sub>2</sub> <sup>+</sup> + HCl	:	1.00E-10	;	1	!
HCl <sup>+</sup> + Cl <sub>2</sub> > Cl <sub>2</sub> <sup>+</sup> + HCl	:	1.00E-10	;	1	!
HCl <sup>+</sup> + BCl <sub>2</sub> > BCl <sub>2</sub> <sup>+</sup> + HCl	:	1.00E-10	;	1	!
HCl <sup>+</sup> + BCl <sub>3</sub> > BCl <sub>3</sub> <sup>+</sup> + HCl	:	1.00E-10	;	1	!
Cl <sup>+</sup> + HCl > HCl <sup>+</sup> + Cl	:	1.00E-10	;	1	!
Ar <sup>+</sup> + H2O > ArH <sup>+</sup> + OH	:	0.50E-09	;	1	!
Ar <sup>+</sup> + H2O > Ar + H2O <sup>+</sup>	:	1.50E-09	;	1	!
Ar <sup>+</sup> + HCl > ArH <sup>+</sup> + Cl	:	1.28E-10	;	1	!
Ar <sup>+</sup> + HCl > HCl <sup>+</sup> + Ar	:	1.00E-11	;	1	!

- Lennard Jones parameters are available from Svehla. Use standard combination rules for neutral transport coefficients from HCB.

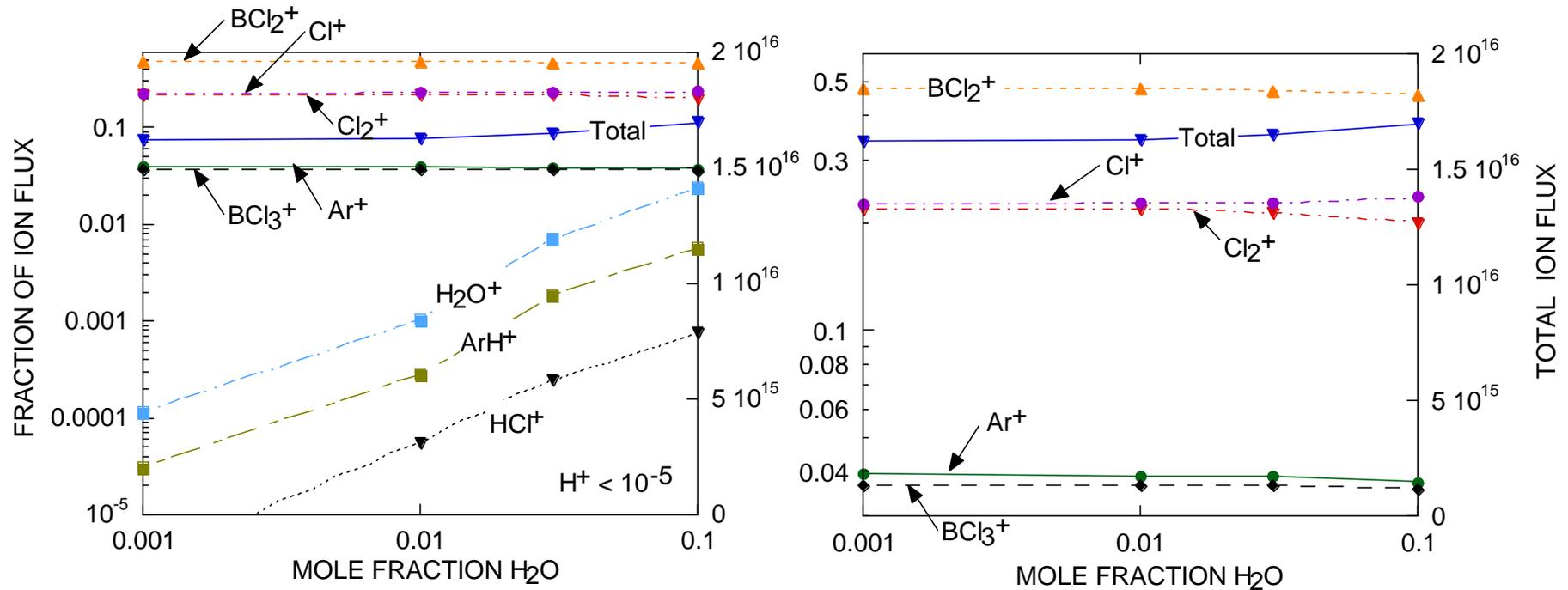
***REMEMBER.....***

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# ION FLUX TO SUBSTRATE: $\text{Cl}_2/\text{BCl}_3/\text{H}_2\text{O}$ MIXTURES

- $\text{Ar}/\text{Cl}_2/\text{BCl}_3/\text{H}_2\text{O} = 10/45/45/x$  process in an ICP reactor (10 mTorr, 150 W, 150 sccm).



- Contribution of "new ions" to flux scales less than the "impurity" mole fraction due to lower rates of ionization of H<sub>2</sub>O and depleting charge exchange reactions.....Small increase in total ion flux.
- Elapsed Time: 4 hours

## EXAMPLE: O<sub>2</sub> ADDITION TO CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> MIXTURES

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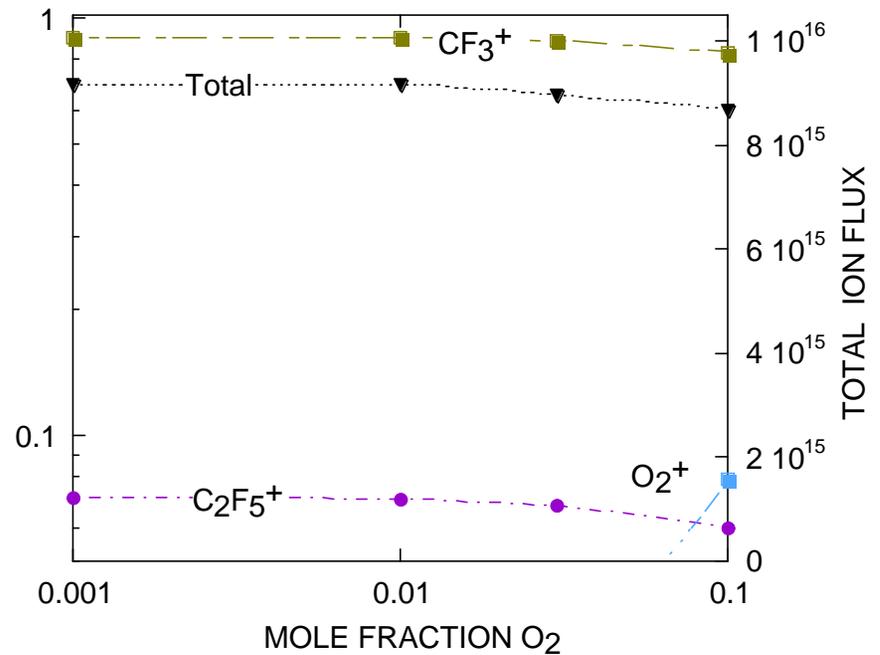
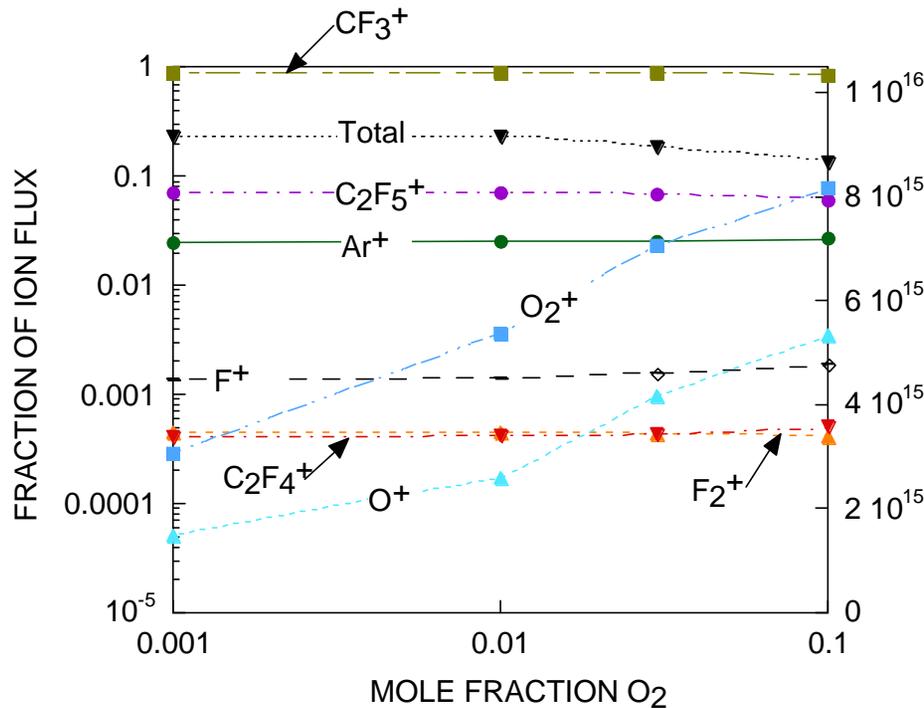
- **Task:** We have a "working" Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> process in an ICP reactor (10 mTorr, 150 W, 150 sccm). What are the consequences of O<sub>2</sub> addition?
- **Response:** What do you really want to know? How much O<sub>2</sub> addition?
- **Refined Task:** What are the consequences on *ion flux to the substrate* for up to 10% O<sub>2</sub> and what new species are generated?

### PROCEDURE:

- Point of departure:
  - Working Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> reaction mechanism
  - Working O<sub>2</sub> reaction mechanism
  - Combine them....
- Follow same procedure as for Ar/Cl<sub>2</sub>/BCl<sub>3</sub>/H<sub>2</sub>O with added benefit that others have looked at similar problems as this before...

# ION FLUX TO SUBSTRATE: CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> MIXTURES

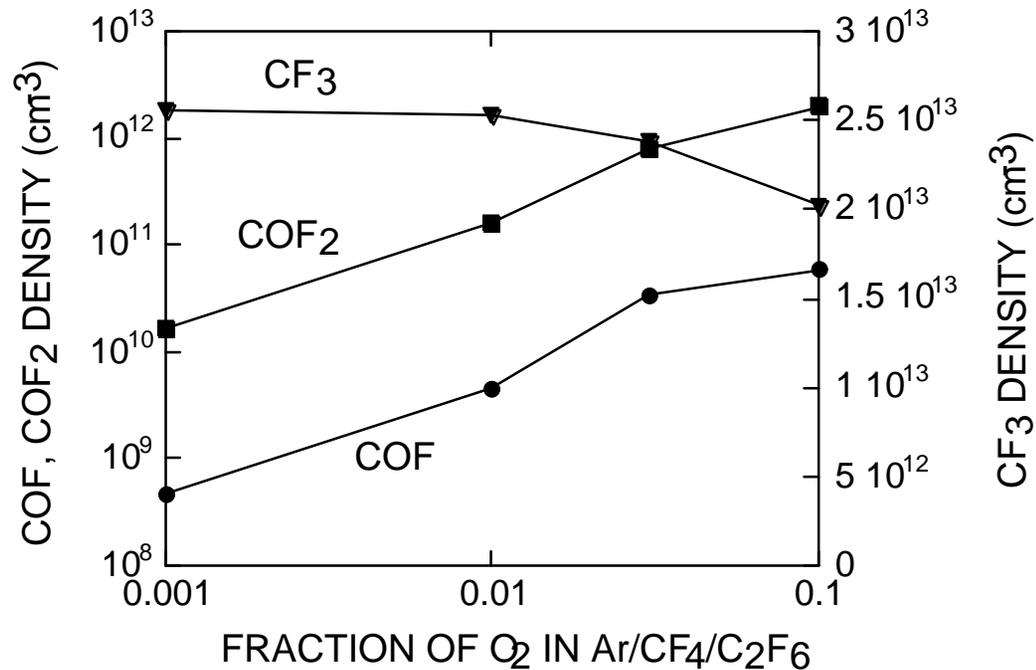
- Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> = 10/45/45/x process in an ICP reactor (10 mTorr, 150 W, 150 sccm).



- Contribution of "new ions" to flux exceeds the "impurity" mole fraction due to higher rates of ionization of O<sub>2</sub> and favorable charge exchange reactions.....Small decrease in total ion flux.

# "NEW SPECIES": CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> MIXTURES

- Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> = 10/45/45/x process in an ICP reactor (10 mTorr, 150 W, 150 sccm).



- COF<sub>2</sub> and COF approach approximately 10% of the density of major radicals (e.g., CF<sub>3</sub>).....
- Species which would have otherwise not been present....

- Elapsed Time: 4 hours

## CONCLUDING REMARKS

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- **Scholarly assessment, analysis and investigation of plasma chemistries require timescales which often exceed industrial time scales.**
- **To respond to shorter timescales, strategies to assess new processes should be in place before the request is made .**
- **The most important component of this strategy is a well orchestrated "toolbox" which is rapidly adaptable to new chemistries.**
- **By leveraging and adding to existing reaction mechanisms and databases, simple scaling laws can often yield significant insight to complex chemistries.**