

NON-EQUILIBRIUM ION AND NEUTRAL TRANSPORT IN LOW-PRESSURE PLASMA PROCESSING REACTORS*

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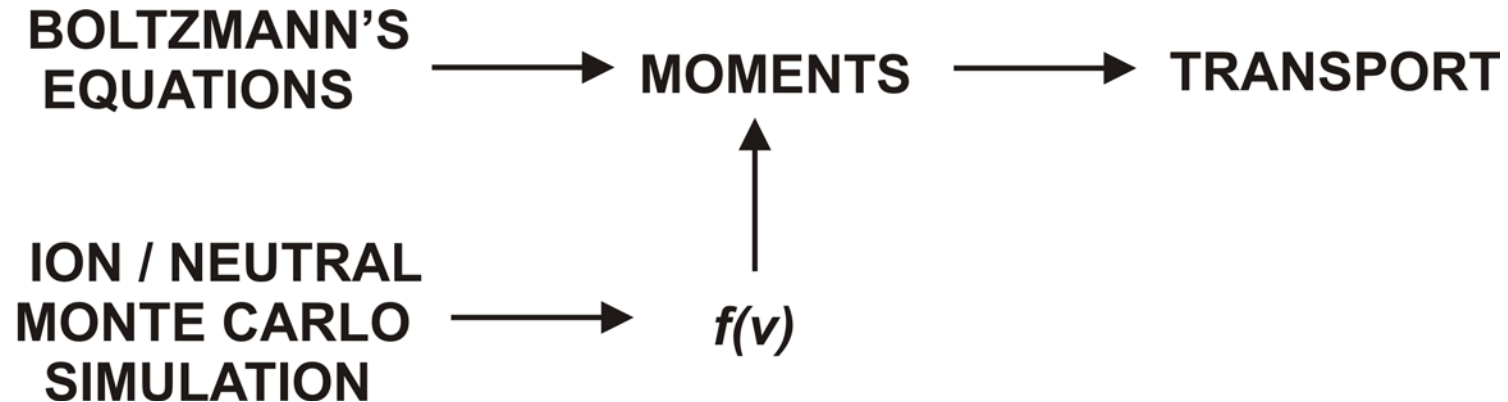
AGENDA

- **Simulation of low pressure plasmas.**
- **Description of the Ion/Neutral Monte Carlo Simulation (IMCS) and the Hybrid Plasma Equipment Model.**
- **Validation of the model**
- **Comparative study of results obtained using fluid equations and IMCS**
 - **Ar: Temperatures and Densities**
 - **Ar-Cl₂: Temperatures and Densities**
 - **Ar-Cu: Temperatures and Sputter Profiles**
- **Concluding Remarks**

SIMULATION OF LOW PRESSURE PLASMAS

- **Low pressure (1-10 mTorr), weakly ionized plasmas are used extensively for processing of electronic materials.**
- **At these pressures, conventional continuum simulations are questionable as transport is highly non-equilibrium and a kinetic approach may be warranted.**
- **In principle, continuum equations are simply moments of the Boltzmann's equation. If the distribution functions are known, the equations should be valid at low pressures.**
- **In this regard, a hybrid modeling approach has been developed in which the ion and neutral temperatures are kinetically derived and implemented in fluid equations.**

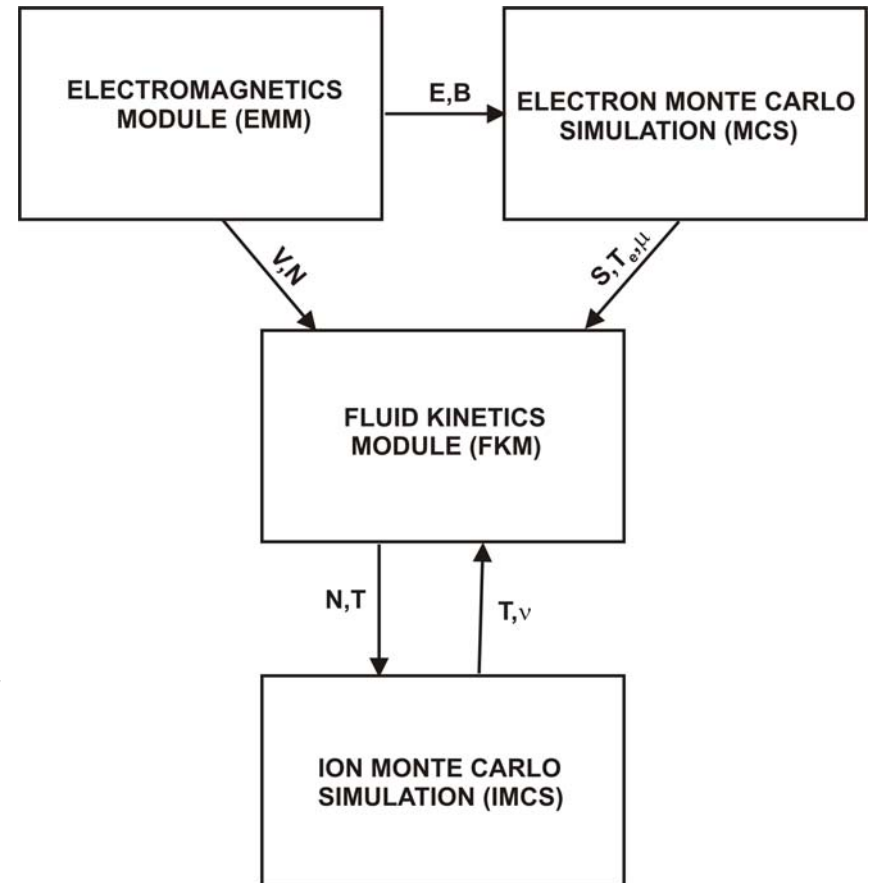
DESCRIPTION OF HYBRID METHOD



- An ion/neutral Monte Carlo simulation is used to compute the transport coefficients for computing moments of the Boltzmann's equation.

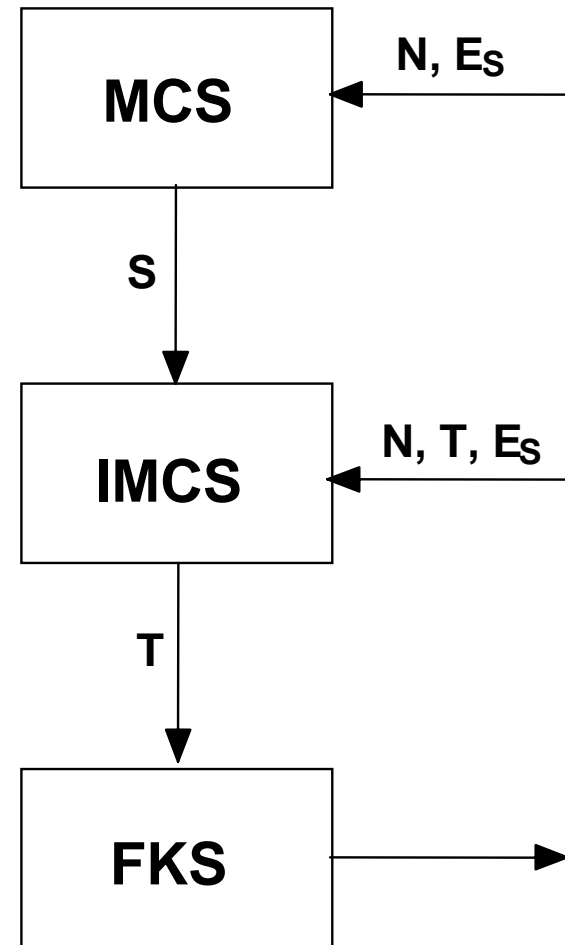
HYBRID PLASMA EQUIPMENT MODEL (HPEM)

- HPEM is a modular simulator of low pressure plasmas.
- EMM: inductively coupled electric and magnetic fields.
- MCS: EEDs, transport coefficients and source functions.
- FKS:
 - Ions: Continuity, Momentum, Energy
 - Neutrals: Continuity, Momentum, Energy
 - Electrons: Drift Diffusion, Energy
 - Electric Potentials: Poisson's Equation
- IMCS: ion/neutrals transport coefficients.



ION/NEUTRAL MONTE CARLO SIMULATION (IMCS)

- **MCS provides the transport coefficients and source functions at low pressures.**
- **The IMCS uses electron impact source functions; and electric /magnetic fields to advance trajectories of ions/neutrals and collisions are treated using a particle-mesh approach.**
- **The ion and neutral velocity distributions obtained are used to compute temperatures which are, in turn, used in the continuum equations.**



CONTINUUM EQUATIONS

- Continuity (heavy species) :

$$\frac{\partial N_i}{\partial t} = \nabla \cdot (N_i \vec{v}_i) + S_i$$

- Momentum (heavy species) :

IMCS

$$\frac{\partial (N_i \vec{v}_i)}{\partial t} = \frac{q_i}{m_i} N_i (\vec{E}_s + \vec{v}_i \times \vec{B}_s) - \frac{1}{m_i} \nabla P_i + \sum_j N_i N_j k_{ij} (\vec{v}_j - \vec{v}_i) - \nabla \cdot \bar{\bar{\tau}}_i - \nabla \cdot (N_i \vec{v}_i \vec{v}_i)$$

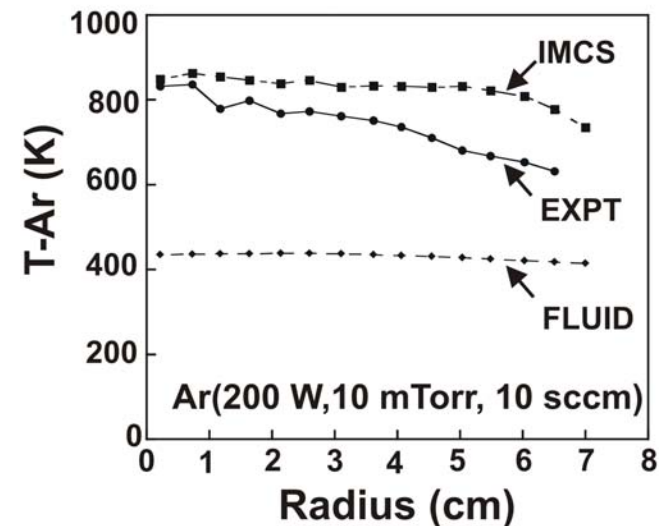
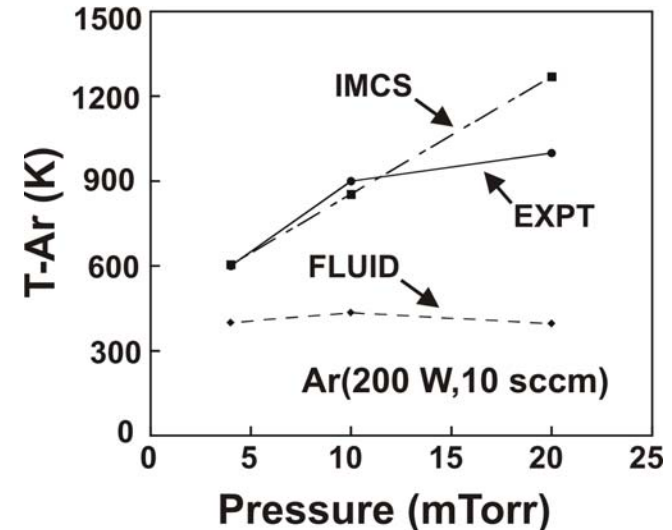
- Energy (heavy species) :

IMCS
OR

$$\begin{aligned} \frac{\partial N_i c T_i}{\partial t} = & \nabla \cdot \kappa_i \nabla T_i - P_i \nabla \cdot \vec{v}_i - \nabla \cdot (\bar{\varphi}_i \varepsilon_i) + \frac{N_i q_i^2}{m_i v_i} E_s^2 + \frac{N_i q_i^2 v_i}{m_i (v_i^2 + \omega^2)} E^2 \\ & + \sum_j 3 \frac{m_{ij}}{m_i + m_j} N_i N_j R_{ij} k (T_j - T_i) \end{aligned}$$

MODEL VALIDATION- T-Ar

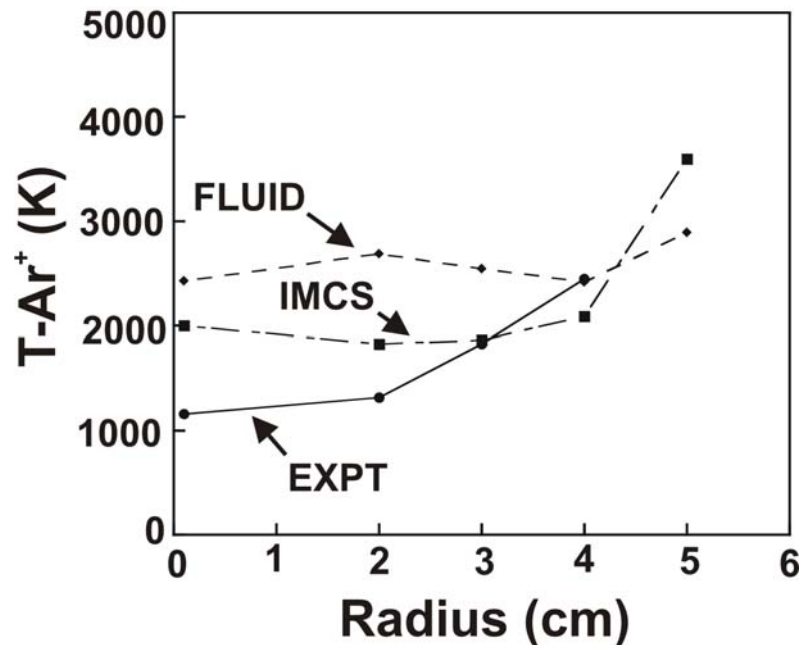
- The model was validated by comparison with experiments performed in a GEC Reference cell reactor by Hebner.*
- T-Ar increases with pressure due to a higher charge exchange reaction rate.
- T-Ar peaks in the center of the reactor due to higher Ar⁺ density.



*G. A. Hebner, J. Appl. Phys. 80 (5), 2624 (1996)

MODEL VALIDATION- T-Ar⁺

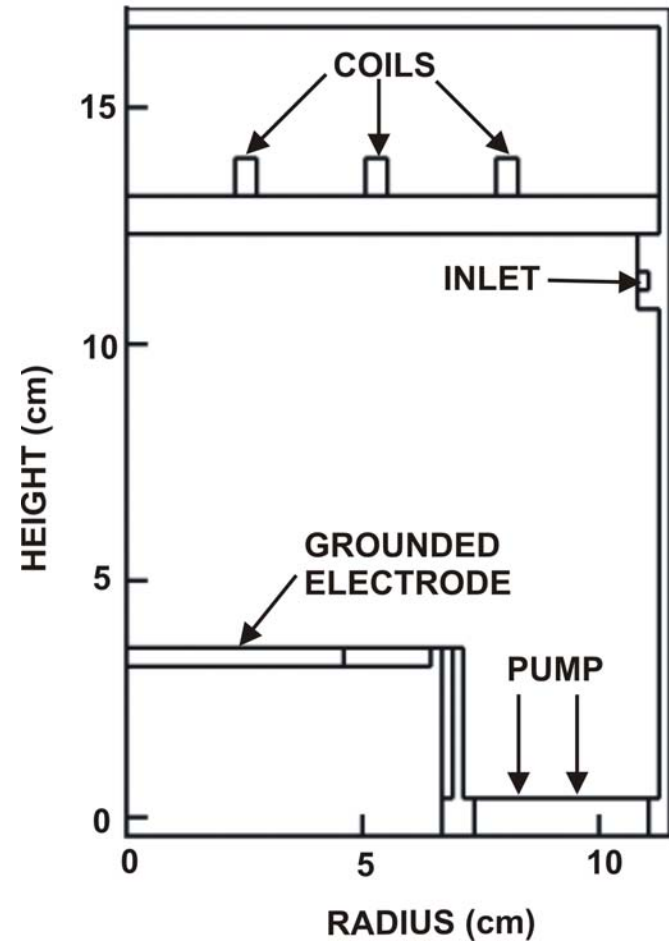
- T-Ar⁺ increases with radius due to the larger electric fields at the periphery of the reactor.



Ar (200 W, 10 mTorr, 10 sccm)

OPERATING CONDITIONS

- Pressure : 1 - 20 mTorr
- ICP Power: 100 – 300 W,
10 MHz
- Chemistries: Ar, Ar-Cl₂
- Flow: 100 sccm

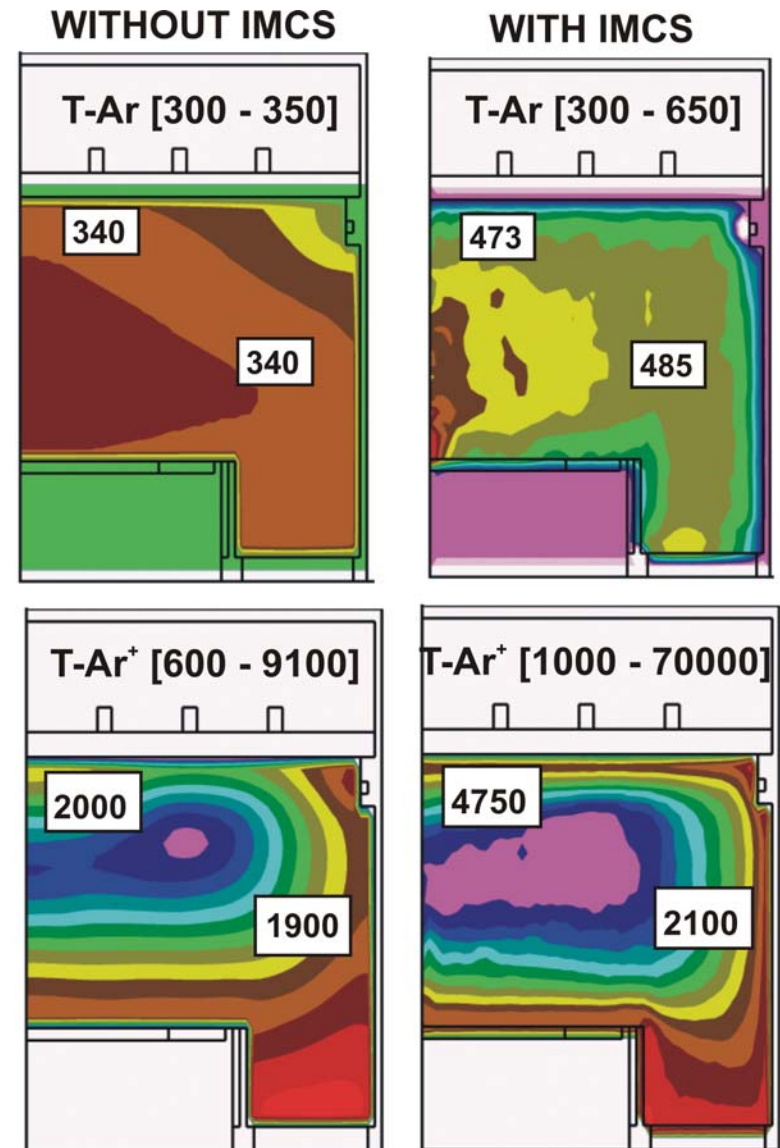


PLASMA PROPERTIES: T-Ar AND T-Ar⁺

- Temperatures computed using fluid equations and IMCS are compared.
- T-Ar peaks in the center of the reactor and T-Ar⁺ at the periphery.
- IMCS predicts higher temperatures for Ar and Ar⁺.
- A higher T-Ar results in a lower Ar density at a constant pressure.



- Ar (300 W, 2 mTorr, 100 sccm)



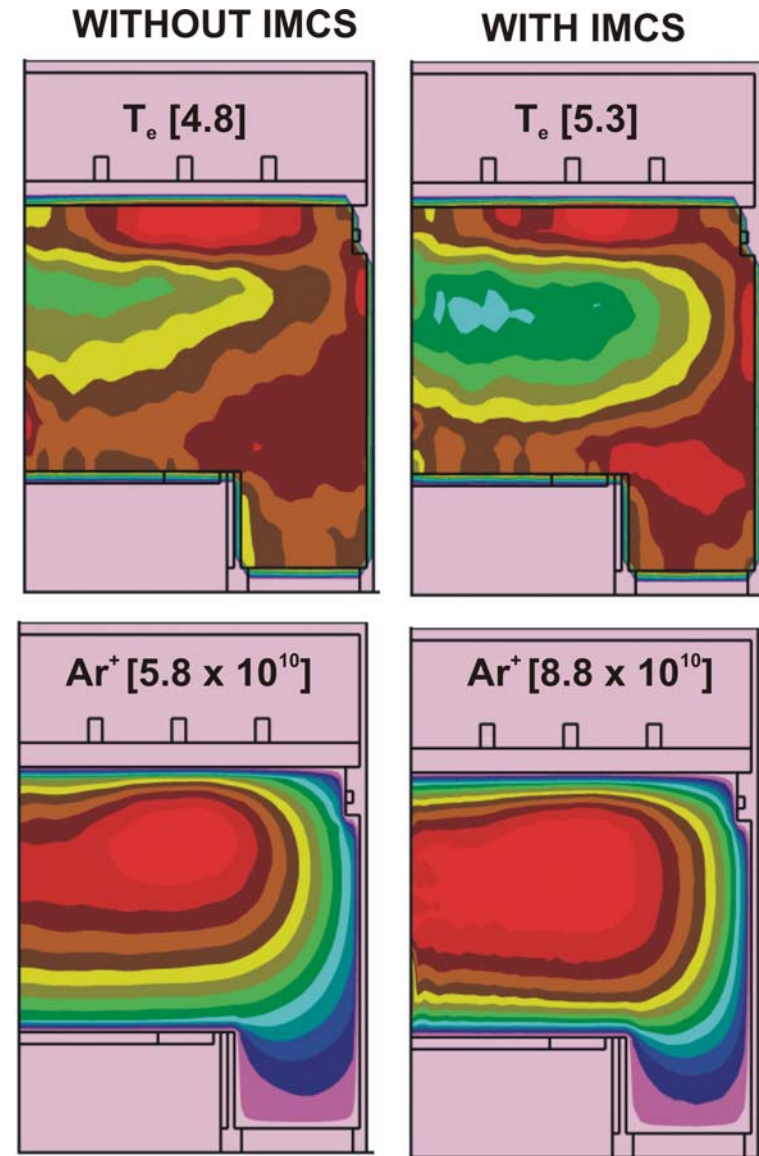
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ELECTRON TEMPERATURE AND Ar⁺ DENSITY

- The lower Ar density, with IMCS, translates into a higher electron temperature.
- A larger T_e results in more ionization, hence Ar⁺ density is higher with IMCS.
- [Ar⁺] is more uniform with IMCS because of a flatter T_e profile in the center of the reactor.



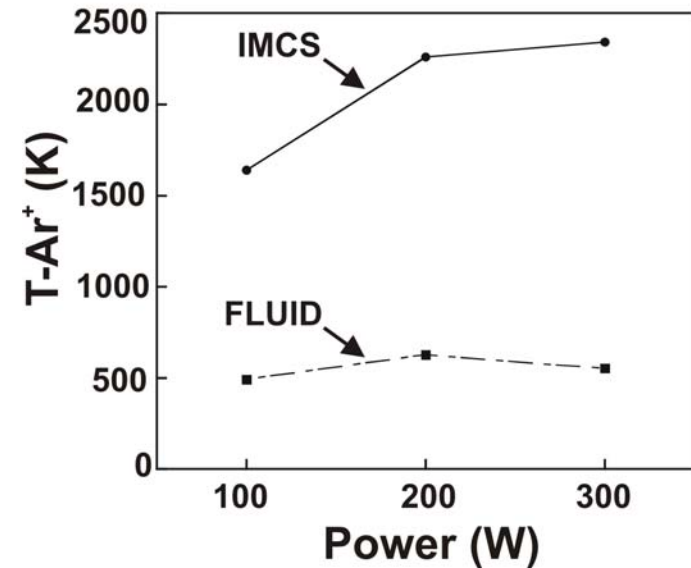
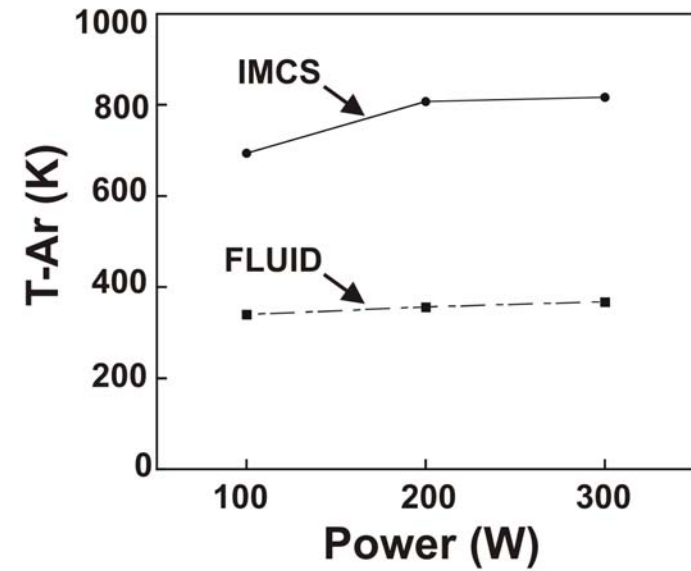
- Ar (300 W, 2 mTorr, 100 sccm)



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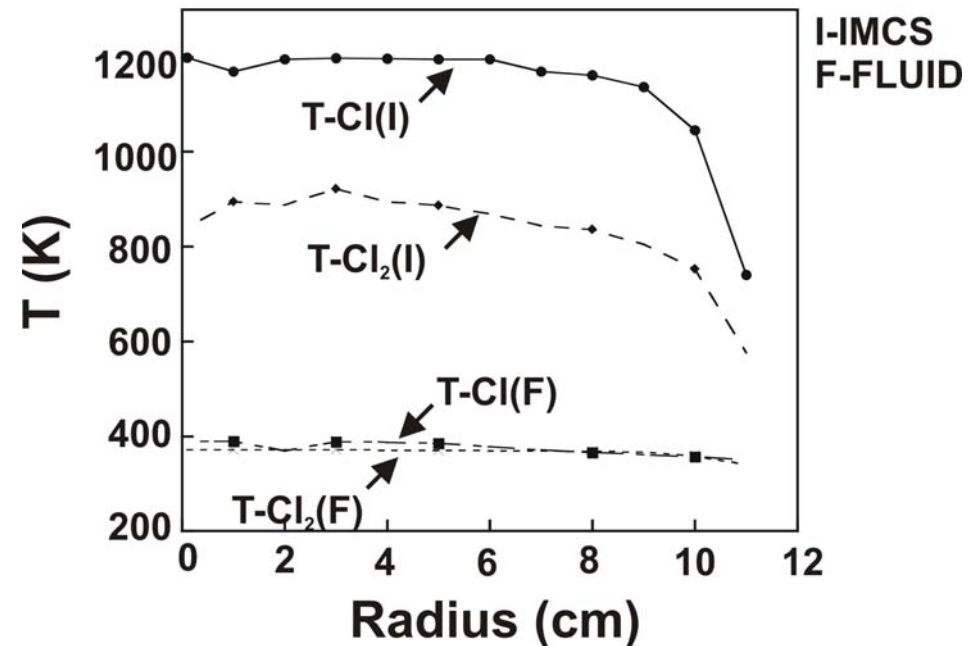
TEMPERATURES: POWER

- T-Ar increases with power as the rate of symmetric charge exchange increases.
- T-Ar⁺ in the center of the reactor increases with power because of larger electric fields.
- Ar (300 W, 10 mTorr, 100 sccm)



PLASMA PROPERTIES: Ar/Cl₂

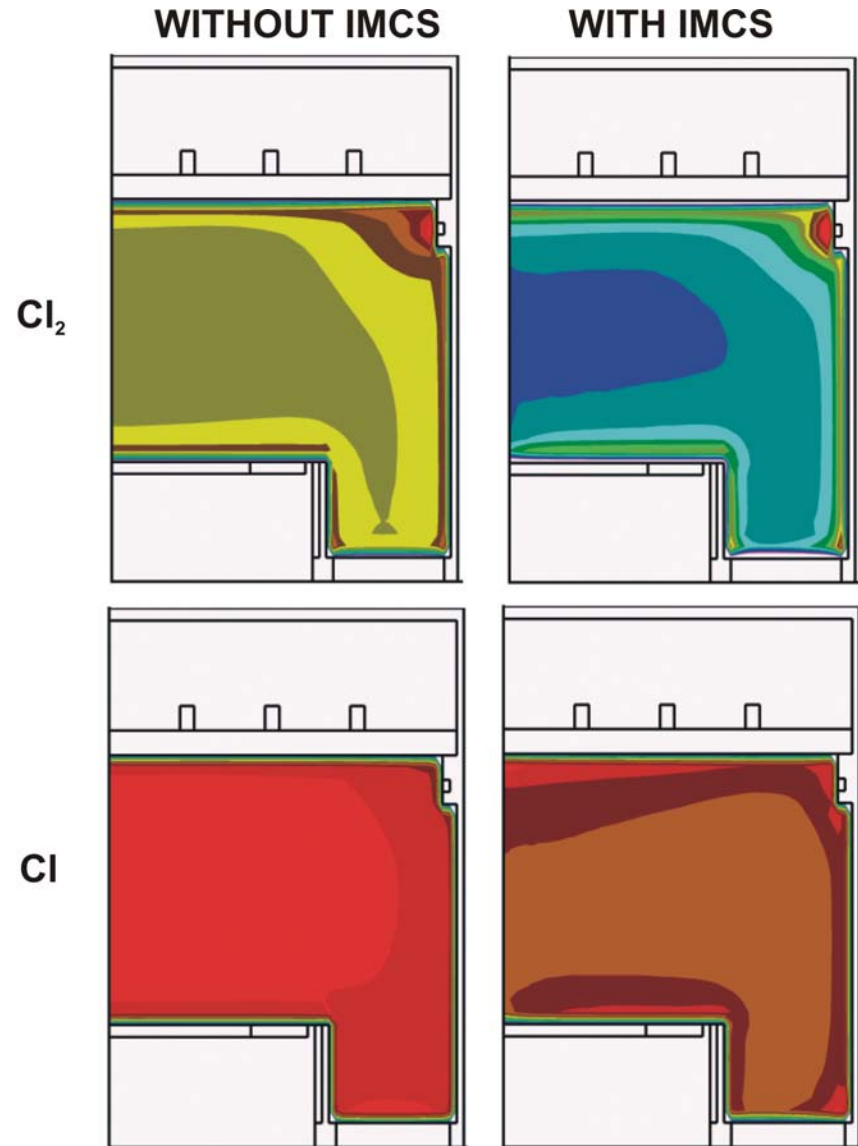
- A higher symmetric charge exchange rate causes neutral temperatures to peak in the center of the reactor.
- IMCS predicts larger values for T-Cl and T-Cl₂ than the fluid equations.
- T-Cl is higher than T-Cl₂ because of a higher charge exchange collision frequency independent of Frank Condon heating.



- Ar/Cl₂ (80:20), 10 mTorr, 300 W, 100 sccm

PLASMA PROPERTIES: NEUTRAL DENSITY

- Neutral densities scale inversely with temperature at a constant pressure.
- IMCS predicts more spatial variation in temperatures resulting in a corresponding variation in densities.

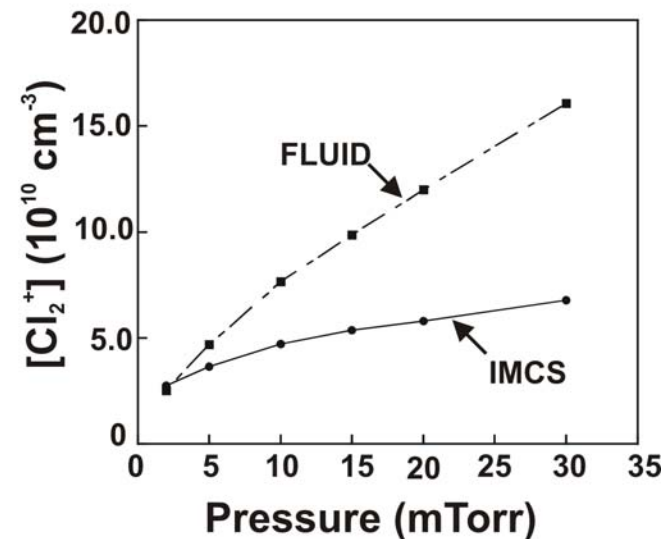
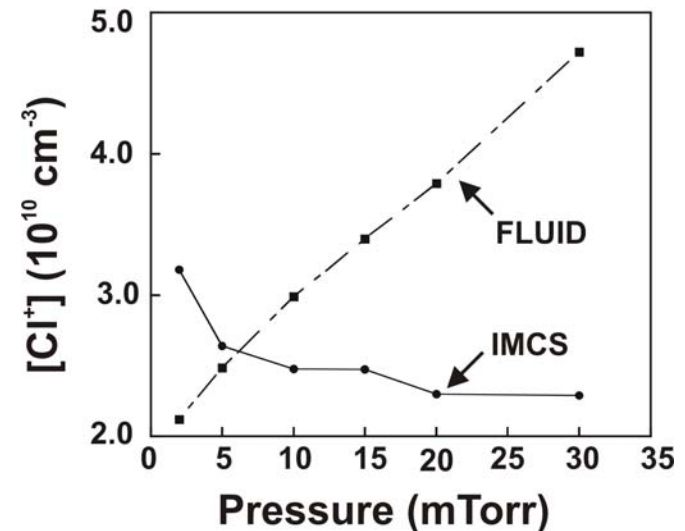


- Ar/ Cl_2 (80:20), 10 mTorr, 300 W, 100 sccm

Cl⁺ DENSITY: PRESSURE

- [Cl⁺] was compared at different pressures for a constant power.
- With fluid equations, [Cl⁺] increases with increase in pressure.
- With IMCS, [Cl⁺] decreases with increase in pressure in agreement with experimental observations.*
- [Cl₂⁺] increases with pressure in both cases.

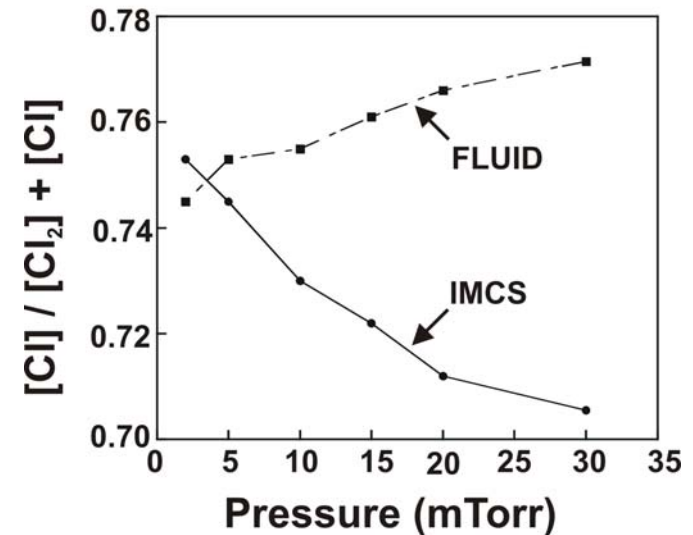
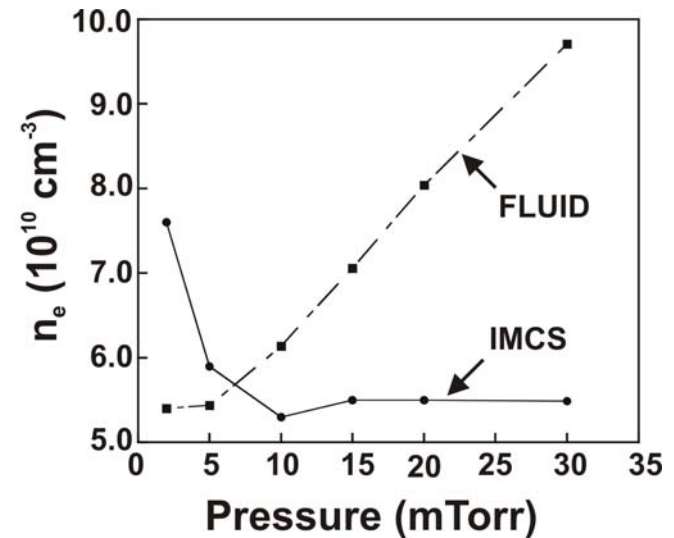
• Ar/Cl₂ (80:20), 300 W, 100 sccm



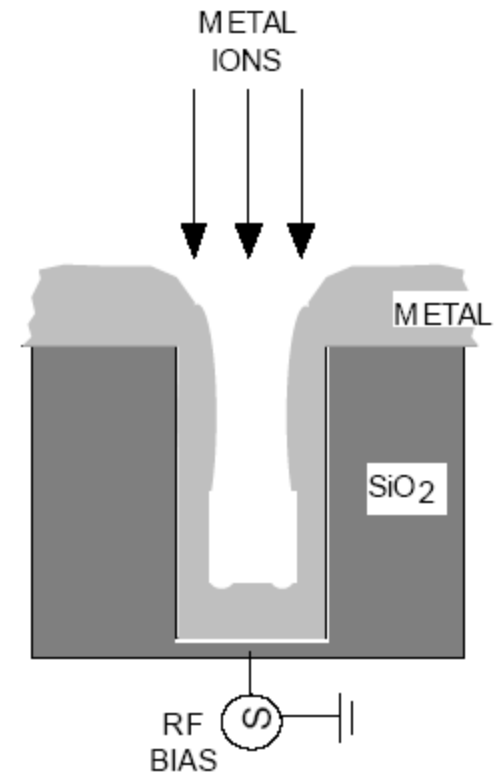
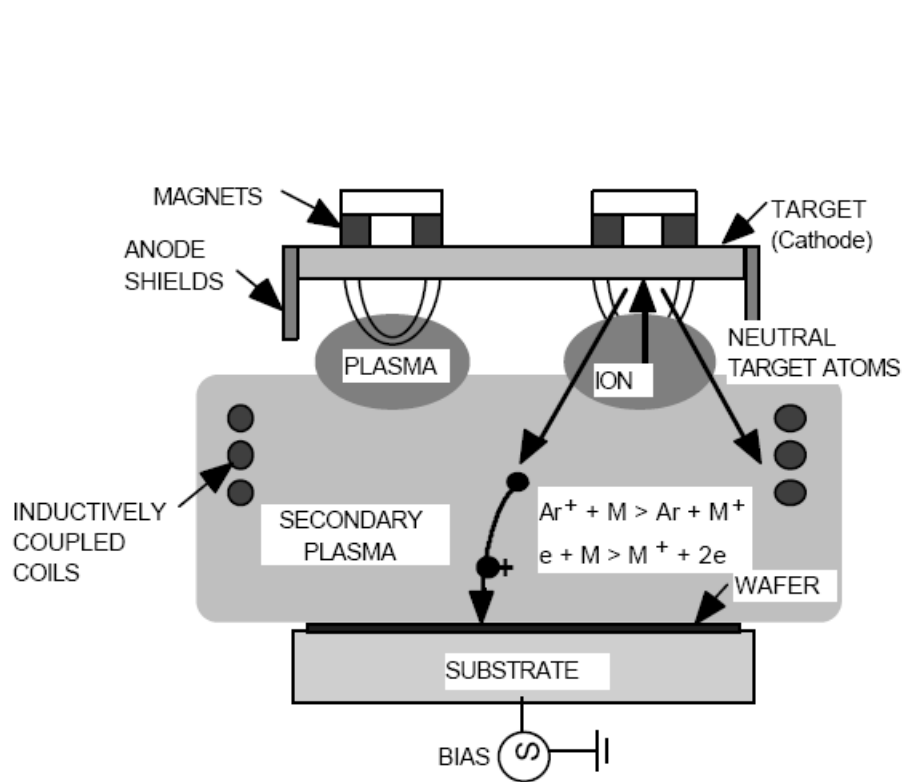
*Hebner et al., J. Vac. Sci. Technol. 15 (5), 2698 (1997)

Cl⁺ DENSITY: PRESSURE

- With IMCS, n_e decreases with increasing pressure.
 - A lower n_e results in lesser production of [Cl], and consequently a smaller [Cl⁺].
 - With IMCS, [Cl₂⁺] increases with pressure because of reduced dissociation of [Cl₂].
- Ar/Cl₂ (80:20), 300 W, 100 sccm

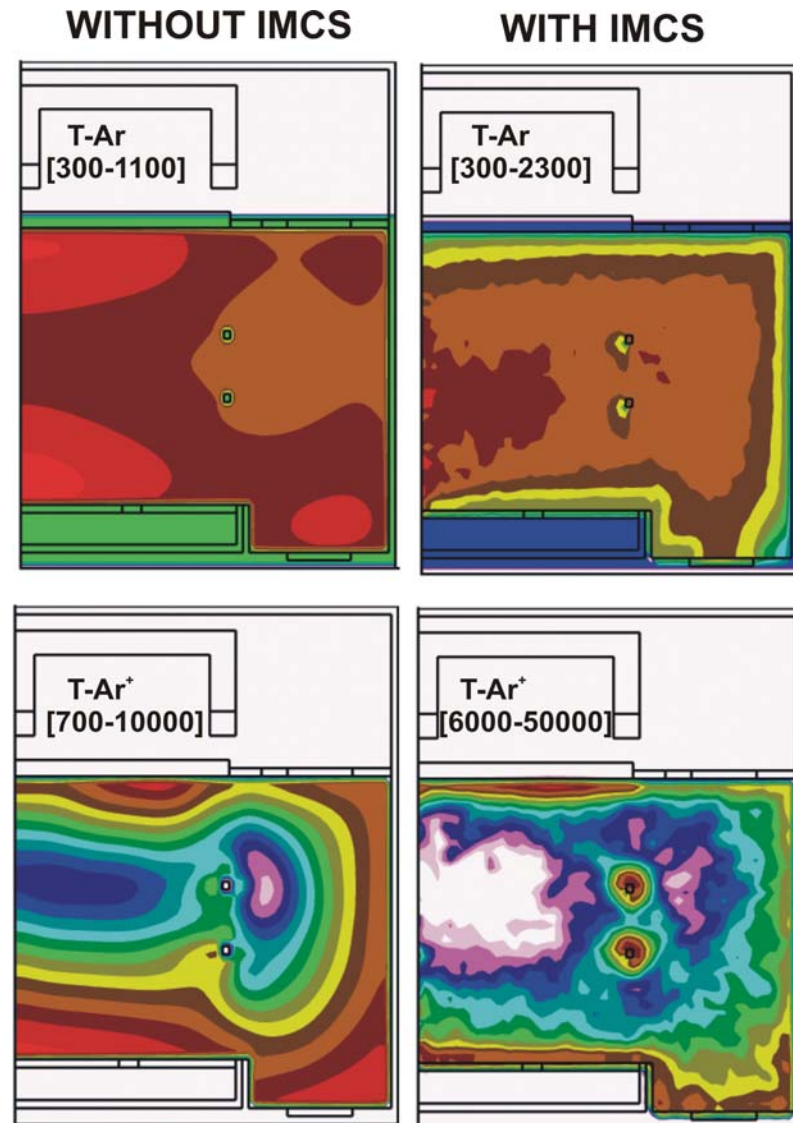


IONIZED METAL PHYSICAL VAPOR DEPOSITION



IMPVD REACTOR: TEMPERATURES

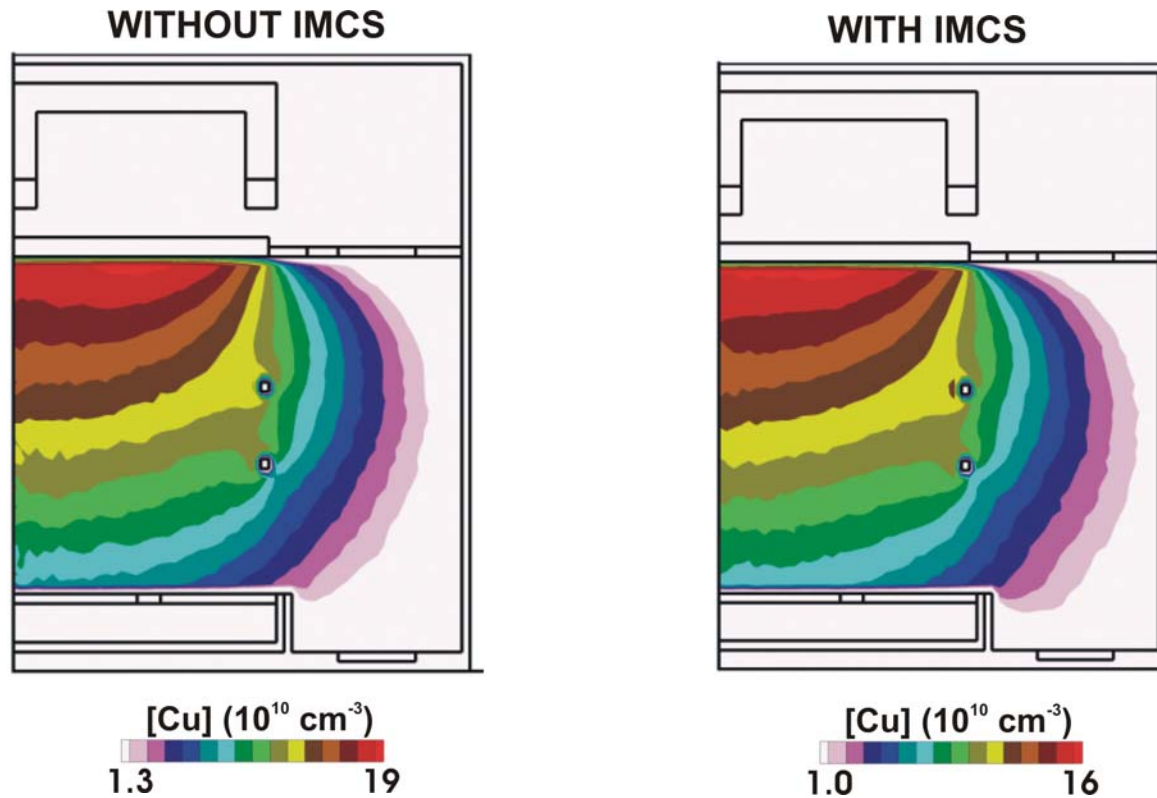
- With IMCS, T-Ar peaks in the center of the reactor because of higher collisional heating.
- IMCS predicts higher T-Ar⁺ than fluid equations.
- A higher ion temperature results in a smaller voltage drop in the sheath region.



- Ar (1 kW ICP, 300 W MAGNETRON, 10 mTorr)

IMPVD REACTOR: Cu DENSITIES

- A lower sheath voltage with IMCS results in less sputtering and smaller in-flight [Cu].



- Ar (1 kW ICP, 300 W MAGNETRON, 10 mTorr)

CONCLUDING REMARKS

- **A hybrid modeling approach has been developed in which the ion and neutral transport coefficients are kinetically derived and implemented in fluid equations.**
- **IMCS predicts higher temperatures for ions and neutrals than the fluid equations; this results in lower neutral densities and higher electron temperatures.**
- **Neutral and ion temperatures increase with power and pressure.**
- **[Cl⁺] decreases with increase in pressure because of reduced electron density.**
- **IMCS predicts lower sheath voltages than fluid equations and consequently reduced sputtering.**