

# Plasma abatement of perfluorocompounds in inductively coupled plasma reactors

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Perfluorinated compounds (PFCs), gases which have large global warming potentials, are widely used in plasma processing for etching and chamber cleaning. Due to underutilization of the feedstock gases or by-product generation, the effluents from plasma tools using these gases typically have large mole fractions of PFCs. The use of plasma burn-boxes located downstream of the plasma chamber has been proposed as a method for abating PFC emissions with the goals of reducing the cost of PFC abatement and avoiding the NO<sub>x</sub> formation usually found with thermal treatment methods. Results from the two-dimensional Hybrid Plasma Equipment Model have been used to investigate the scaling of plasma abatement of PFCs using plasma burn-boxes. An inductively coupled plasma (ICP) etching chamber is modeled to determine the utilization of the feedstock gases and the generation of by-products. The effluent from the etching chamber is then passed through a plasma burn-box excited by a second ICP source. O<sub>2</sub>, H<sub>2</sub>, and H<sub>2</sub>O are examined as additive gases in the burn-box. We find that C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) consumption in the etching reactor increases with increasing ICP power deposition at constant C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) mole fraction, and decreasing C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) mole fraction or total gas flow rate at constant power. The efficiency of removal of C<sub>2</sub>F<sub>6</sub> (eV/molecule), however, is strongly dependent only on the C<sub>2</sub>F<sub>6</sub> mole fraction and total gas flow rate. All PFCs in the effluent can generally be abated in the burn-box at high power deposition with a sufficiently large flow of additive gases. In general CF<sub>4</sub> generation occurs during abatement of C<sub>2</sub>F<sub>6</sub> using O<sub>2</sub> as an additive. CF<sub>4</sub> is not, however, substantially produced when using H<sub>2</sub> or H<sub>2</sub>O as additives. The efficiency of PFC abatement decreases with increasing power and decreasing additive mole fraction. © 2000 American Vacuum Society. [S0734-2101(00)02401-5]

## I. INTRODUCTION

Perfluorocompound (PFC) gases, such as C<sub>2</sub>F<sub>6</sub> and CF<sub>4</sub>, are commonly used in the semiconductor industry for plasma etching and chamber cleaning.<sup>1-4</sup> The use of these gases is being reassessed by the semiconductor industry because they have long atmospheric lifetimes, are strong infrared absorbers, and so have large global warming potential. The Environmental Protection Agency (EPA) and individual semiconductor companies signed memoranda of understanding in March 1996 in an effort to reduce PFC emissions. There are four generally accepted approaches to reducing PFC emission; process optimization, substitution, recycling and recovery, and abatement.<sup>5</sup> There have been significant efforts to optimize etching and cleaning processes to increase PFC utilization and decrease emissions. However, it has been difficult for process optimization to achieve the desired reductions in PFC emissions without detrimentally affecting product throughput, especially in plasma etching. Though some alternative chemicals (C<sub>3</sub>F<sub>8</sub> and NF<sub>3</sub>)<sup>5,6</sup> show promise as substitutes, they also have high global warming potentials and may result in PFC by-product generation. Recycling and recovery of unreacted PFCs from the effluent may be desir-

able from a PFC utilization standpoint, however, current recovery technologies are not economic for existing fabrication facilities.

Plasma remediation of gas emissions from plasma and thermal reactors is an attractive alternative abatement strategy. A typical plasma abatement device consists of a reactor with a radio frequency (rf) or microwave plasma generating system placed downstream of the etching chamber's turbomolecular pump. These plasma abatement systems can be applied locally to a single semiconductor manufacturing tool or reactor, and so can be specialized to the needs of a particular tool or be retrofitted to existing tools. Efficient destruction of C<sub>2</sub>F<sub>6</sub> in reactor effluents using plasma abatement systems has been experimentally demonstrated in a variety of low pressure devices. For example, Mohindra *et al.*<sup>7</sup> investigated C<sub>2</sub>F<sub>6</sub> abatement using a microwave tubular reactor. While varying total flowrate (400–1200 sccm), power (300–700 W), and pressure (4–8 Torr) for a gas mixture of C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub>=50/50, they obtained nearly 100% abatement of C<sub>2</sub>F<sub>6</sub> at low flowrates and high powers. They also investigated the abatement of CF<sub>4</sub>, SF<sub>6</sub>, and CHF<sub>3</sub>. Hartz and co-workers<sup>8</sup> investigated low-pressure surface wave plasmas to abate C<sub>2</sub>F<sub>6</sub>, and found that when using only O<sub>2</sub> as an additive gas there was a significant amount of CF<sub>4</sub> produced. CF<sub>4</sub> mole fractions in the exhaust were 0.002–0.145 (for pressures of 2.5–5.3 Torr) depending upon the C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> ratio. At a microwave power deposition of 1950 W, they found that a C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> ratio of 1/3 had the highest destruction effi-

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ciency (98.1%) with the lowest CF<sub>4</sub> production (0.64%). They also demonstrated that the addition of natural gas (consisting of mostly CH<sub>4</sub> and C<sub>2</sub>H<sub>6</sub>) could impede CF<sub>4</sub> generation.

An rf plasma system for abatement of C<sub>2</sub>F<sub>6</sub> was investigated by Sawin and Vitale.<sup>9</sup> Their reactor had a 1.2 ℓ volume and 10 cm diameter with an internal coil. They also showed that C<sub>2</sub>F<sub>6</sub> can be abated with an oxygen additive, but that CF<sub>4</sub> production was significant. A modeling study by Fiala *et al.*<sup>10</sup> of abatement of a C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub>=40/60 mixture using an inductively coupled plasma (ICP) also showed that CF<sub>4</sub> can be generated in significant proportions. A commercial point-of-use rf abatement system developed by Litmas was investigated by Tonnis *et al.*<sup>11</sup> They measured the destruction and removal efficiency of PFCs for process gas mixtures using CHF<sub>3</sub>/Ar/CF<sub>4</sub>. For example, in a mixture of CHF<sub>3</sub>/Ar/CF<sub>4</sub>=10/12/1 at a flow rate of 115 sccm with 70 sccm O<sub>2</sub> as an additive and a power of 1050 W, CF<sub>4</sub> was abated with an efficiency of 96%, while the CHF<sub>3</sub> destruction was 99.5%. Using 250 sccm of H<sub>2</sub>O as an additive produced destruction efficiencies of 99.6% for CF<sub>4</sub> and 98.6% for CHF<sub>3</sub>.

The products of plasma abatement of PFCs are typically COF, COF<sub>2</sub>, CO, CO<sub>2</sub>, HF, F<sub>2</sub>, and F. These oxidation products can usually be remediated by conventional means. For example, COF<sub>2</sub> is easily removed by processing the gas stream through a water bubbler or water spray. This method is also effective at removing F and F<sub>2</sub>. CO can be converted to CO<sub>2</sub> using a platinum catalyst. Thermal systems are now often used to remediate PFCs from processing effluents. Thermal abatement is usually performed after the roughing pump where the exhaust stream is diluted with N<sub>2</sub>. As a result, thermal systems can produce by-products such as NO and NO<sub>2</sub>. Plasma abatement systems, located between the turbo and roughing pumps, operate at intermediate pressures (100 s mTorr) in the absence of N<sub>2</sub>, and so typically do not generate NO<sub>x</sub>.

In this article, the dissociation of PFCs in an ICP etching reactor and their subsequent remediation in a downstream plasma burn-box will be discussed using results from a two-dimensional computer model. We found that C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) consumption in the etching reactor increases with increasing ICP power deposition at fixed PFC mole fraction, and decreasing C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) mole fraction or total gas flow rate at constant power. The efficiency of destruction of C<sub>2</sub>F<sub>6</sub> (eV molecule), however, is strongly dependent only on the C<sub>2</sub>F<sub>6</sub> mole fraction and total gas flow rate. The effluents can be abated in the burn-box at high power with sufficiently large flow of additive gases, such as O<sub>2</sub>, H<sub>2</sub>, or H<sub>2</sub>O. The energy efficiency of abatement (as measured by eV/molecule) decreases with increasing power and decreasing additive mole fraction.

The computational platform used in this study is described in Sec. II. The general plasma characteristics, and consumption and generation of PFCs in the plasma etching chamber are discussed in Sec. III. Abatement of the effluents

in a plasma burn-box is discussed in Sec. IV. Our concluding remarks are in Sec. V.

## II. DESCRIPTION OF THE MODEL

The model used in this study is the two-dimensional Hybrid Plasma Equipment Model (HPEM). The HPEM has been previously described in Refs. 12 and 13 (and references therein) and so will be only briefly discussed here. The 2D HPEM is a (*r*, *z*) cylindrically symmetric simulation which consists of three main modules; the electromagnetic module (EMM), the electron energy transport module (EETM), and the fluid-kinetics module (FKM). The EMM calculates the electric and magnetic fields in the reactor which are inductively coupled from transformer coils. These fields are used in the EETM to generate the electron temperature, transport coefficients and electron impact source functions. These values are then passed to the FKM. In the FKM, continuity, momentum, and energy equations for all neutral and charged densities are integrated, and Poisson's equation is solved for the electric potential. The plasma conductivity produced in FKM is passed to the EMM, and the species densities, fluxes, and power deposition are transferred to the EETM. The modules are iterated until cycle averaged plasma and neutral densities converge. Acceleration algorithms are used to speed the rate of convergence of the model.

As an improvement to the previously described HPEM, energy equations for all heavy neutral and charged species have been integrated into the FKM to obtain the ion and neutral temperatures. For electrically neutral species, the energy equation is

$$\begin{aligned} \frac{\partial N_i \epsilon_i}{\partial t} + \nabla \cdot \mathbf{Q}_i + P_i \nabla \cdot \mathbf{U}_i + \nabla \cdot (N_i \mathbf{U}_i \epsilon_i) \\ = \sum_j 3 \frac{m_{ij}}{m_i + m_j} N_i N_j R_{ij} k_B (T_j - T_i) \\ \pm \sum_j 3 N_i N_j R_{ij} k_B T_j, \end{aligned} \quad (1)$$

where  $N_i$ ,  $\mathbf{U}_i$ ,  $\epsilon_i$ ,  $T_i$ ,  $\mathbf{Q}_i$ ,  $P_i$ , and  $m_i$  are, respectively, the number density, mean velocity, thermal energy, temperature, thermal flux, pressure, and mass of species  $i$ .  $R_{ij}$  is the rate constant for the collision between species  $i$  and  $j$ ,  $m_{ij} \equiv m_i m_j / (m_i + m_j)$  is the reduced mass and  $k_B$  is Boltzmann's constant. The first sum on the RHS is for elastic collisions where Lennard-Jones parameters were used to compute the rate coefficients.<sup>14</sup> The second sum accounts for identity changing charge exchange collisions where the contribution is positive or negative, depending on whether the neutral particle is being generated or destroyed. Other quantities in Eq. (1) are

$$\epsilon_i = c_v T_i, \quad P_i = N_i k_B T_i, \quad \mathbf{Q}_i = -\kappa_i \nabla T_i, \quad (2)$$

where  $c_v$  and  $\kappa_i$  are, respectively, the specific heat at constant volume and thermal conductivity for species  $i$ . The thermal conductivity was obtained from<sup>15</sup>

$$\kappa_i = k_B N_i \left( \frac{8k_B T_i}{\pi m_i} \right)^{1/2} \left[ \sum_j 2N_j \sigma_{ij} (m_{ij}/m_i)^{1/2} \right]^{-1}, \quad (3)$$

where  $\sigma_{ij}$  is the Lennard-Jones collision cross section for species  $i$  and  $j$ . The rate constants  $R_{ij}$  in Eq. (1) for elastic collisions are

$$R_{ij} = \left( \frac{8k_B T_{\text{eff}}}{\pi m_{ij}} \right)^{1/2} \sigma_{ij}, \quad (4)$$

where  $T_{\text{eff}} \equiv T_i + m_{ij}(\mathbf{v}_i - \mathbf{v}_j)^2/3k_B$  is the effective temperature which takes account of the directed motion of the particles. Rate constants for charge exchange reactions are specified in the reaction mechanism while those for electron impact momentum transfer are obtained from the EETM.

In addition to the processes included in Eq. (1), the electromagnetic and electrostatic fields also contribute to the ion energy. Taking into account the additional heating resulting from these fields, the ion energy conservation relation is

$$\begin{aligned} \frac{\partial N_i \epsilon_i}{\partial t} + \nabla \cdot \mathbf{Q}_i + P_i \nabla \cdot \mathbf{U}_i + \nabla \cdot (N_i \mathbf{U}_i \epsilon_i) \\ = \frac{N_i q_i^2 \nu_i}{m_i(\nu_i^2 + \omega^2)} E^2 + \frac{N_i q_i^2}{m_i \nu_i} E_s^2 \\ + \sum_j 3 \frac{m_{ij}}{m_i + m_j} N_i N_j R_{ij} k_B (T_j - T_i), \end{aligned} \quad (5)$$

where  $\nu_i$ ,  $\omega$ ,  $E$ , and  $E_s$  are, respectively, the momentum transfer collision frequency of species  $i$ , radian frequency and the magnitude of the azimuthal inductive, and static electric fields. The first and second term on the right-hand side of Eq. (5), respectively, account for heating due to acceleration in the electromagnetic and electrostatic fields.

At sufficiently high pressures, gas atoms come into thermal equilibrium with surfaces they come in contact with. The gas and surface temperature are, therefore, essentially the same at the interface. However, at low pressures there might not be sufficient collisions to efficiently couple the gas and adjacent surfaces resulting in their having different temperatures. This condition is known as the temperature jump effect.<sup>16-18</sup> Since ICPs are generally operated at low pressures (<10s mTorr), a temperature jump at reactor walls is accounted for using the method developed by Kennard.<sup>16</sup> Using this method, the difference between the wall temperature  $T_w$  and the gas temperature  $T_g$  at the wall are given by

$$T_w - T_g = g \frac{\partial T_g}{\partial x}. \quad (6)$$

The temperature  $T_g$  and its derivative are computed at the wall. The factor  $g$  is

$$g = \frac{(2 - \alpha)(9\gamma - 5)}{2\alpha(\gamma + 1)} \lambda, \quad (7)$$

where  $\alpha$ ,  $\gamma$ , and  $\lambda$  are, respectively, the accommodation coefficient, ratio of specific heats, and mean free path. The accommodation coefficient determines how well the gas thermally couples to the surface and its value varies from 0

(no coupling) to 1 (perfect coupling). The actual value depends on the gas, condition of the surface, and temperature, typically decreasing with increasing temperature. Typical accommodation coefficients vary from, for example, 0.1 for  $\text{H}_2$  on Pt to 0.9 for Ne on W.<sup>19</sup> An accommodation coefficient of 0.35 was used in this work. Slip boundary conditions were employed using the method described by Thompson.<sup>20</sup>

In the HPEM, continuity and momentum conservation equations are solved by discretizing the equations in space and time, starting with initial conditions and marching forward in time until a steady-state solution is obtained. It was found, however, that the time required for particle energies to attain steady state is significantly longer than other plasma time scales. To expedite the simulation, we therefore solved the steady-state versions of Eqs. (1) and (5) (with time derivatives set equal to zero) on every iteration through the HPEM using a successive-over-relaxation technique. The average gas temperature, which is a density weighed average of neutral species, is then used for chemical reactions which are dependent on temperature. We specify a pressure and a fixed input flowrate (sccm) for the flow boundary conditions. The output pump speed is adjusted to maintain the desired pressure and a constant mass flux through the reactor.

In this work, we are investigating ICPs sustained in Ar/ $\text{C}_2\text{F}_6$  and Ar/ $\text{CF}_4$  mixtures in a low-pressure plasma (10 mTorr) etching reactor, and discharges in their effluents mixed with  $\text{O}_2$ ,  $\text{H}_2$ , or  $\text{H}_2\text{O}$  in a higher pressure (150 mTorr) plasma burn-box. The species and reactions included for the low- and high-pressure reactors are listed in Table I for Ar/ $\text{CF}_4$ / $\text{C}_2\text{F}_6$  and Table II for Ar/ $\text{CF}_4$ / $\text{C}_2\text{F}_6$ / $\text{O}_2$ / $\text{H}_2\text{O}$ / $\text{H}_2$ . The reaction mechanism for the burn-box also contains the reactions listed in Table I with the exception that some reaction rates are dependent on the gas pressure. All pertinent electron impact reactions, such as vibrational excitation, are included in the electron kinetics and in the calculation of electron energy distributions and transport. We have not, however, explicitly included vibrationally excited states as separate gas phase species in the model. Although heavy particle reaction rate coefficients can be a function of the vibrational state of the reactant, vibrationally dependent reaction rate coefficients are not generally available for these chemistries and so were not included. Radiation transport was not explicitly included in the model other than by radiative relaxation of excited states. The media is therefore assumed to be optically thin.

Boundary conditions for neutral and charged species striking materials are obtained by specifying reaction probabilities on the surface and product species returning to the plasma. Reactive sticking coefficients and returning products used here are shown in Table III. All ions are assumed to have unity probability for neutralization prior to returning to the plasma. Many of these wall reactions represent our best estimates, in the absence of supporting experimental data. Significant differences in reactivities on surfaces, particularly in the burn-box, could produce systematic differences in our results. We parameterized key reactive sticking coefficients to determine their effects, and those results will be discussed elsewhere.

TABLE I. Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub> reaction mechanism.<sup>a</sup> Species: Ar, Ar\*, Ar<sup>+</sup>, CF<sub>4</sub>, CF<sub>3</sub><sup>+</sup>, CF<sub>3</sub><sup>-</sup>, CF<sub>2</sub>, F, F<sup>-</sup>, F<sub>2</sub>, C<sub>2</sub>F<sub>6</sub>, C<sub>2</sub>F<sub>5</sub>, C<sub>2</sub>F<sub>4</sub>, C<sub>2</sub>F<sub>3</sub>, SiF<sub>2</sub>, e.

Reaction	Rate coefficient <sup>b</sup>	Reference	Reaction	Rate coefficient <sup>b</sup>	Reference
$e + \text{Ar} \rightarrow \text{Ar}^* + e$	c	21	$\text{Ar}^* + \text{CF}_2 \rightarrow \text{CF} + \text{F} + \text{Ar}$	$4.0 \times 10^{-11}$	30
$e + \text{Ar} \rightarrow \text{Ar}^+ + e + e$	c	22	$\text{Ar}^* + \text{C}_2\text{F}_5 \rightarrow \text{CF}_2 + \text{CF}_3 + \text{Ar}$	$4.0 \times 10^{-11}$	30
$e + \text{Ar}^* \rightarrow \text{Ar}^+ + e + e$	c	23	$\text{Ar}^* + \text{C}_2\text{F}_3 \rightarrow \text{CF}_2 + \text{CF} + \text{Ar}$	$4.0 \times 10^{-11}$	30
$e + \text{Ar}^* \rightarrow \text{Ar} + e$	c	23	$\text{Ar}^* + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3 + \text{CF}_3 + \text{Ar}$	$4.0 \times 10^{-11}$	30
$e + \text{CF}_4 \rightarrow \text{CF}_3 + \text{F}^-$	c	24	$\text{Ar}^* + \text{C}_2\text{F}_4 \rightarrow \text{CF}_2 + \text{CF}_2 + \text{Ar}$	$4.0 \times 10^{-11}$	30
$e + \text{CF}_4 \rightarrow \text{CF}_3 + \text{F}$	c	24	$\text{CF}_3^+ + \text{CF}_3 \rightarrow \text{CF}_3^+ + \text{CF}_3$	$1.0 \times 10^{-9}$	27
$e + \text{CF}_4 \rightarrow \text{CF}_3 + \text{F} + e$	c	24	$\text{CF}_3^+ + \text{C}_2\text{F}_6 \rightarrow \text{C}_2\text{F}_5^+ + \text{CF}_4$	$3.50 \times 10^{-11}$	27
$e + \text{CF}_4 \rightarrow \text{CF}_3^+ + \text{F} + e + e$	c	24	$\text{C}_2\text{F}_5^+ + \text{C}_2\text{F}_5 \rightarrow \text{C}_2\text{F}_5^+ + \text{C}_2\text{F}_5$	$1.0 \times 10^{-9}$	27
$e + \text{CF}_4 \rightarrow \text{CF}_2 + \text{F} + \text{F} + e$	c	24	$\text{C}_2\text{F}_4^+ + \text{C}_2\text{F}_4 \rightarrow \text{C}_2\text{F}_4^+ + \text{C}_2\text{F}_4$	$1.0 \times 10^{-9}$	27
$e + \text{CF}_3 \rightarrow \text{CF}_2 + \text{F} + e$	c	24 <sup>d</sup>	$\text{F}^- + \text{Ar}^+ \rightarrow \text{F} + \text{Ar}$	$1.0 \times 10^{-7}$	31
$e + \text{CF}_3 \rightarrow \text{CF}_2 + \text{F}^-$	c	24 <sup>d</sup>	$\text{F}^- + \text{CF}_3^+ \rightarrow \text{F} + \text{CF}_3$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3 + \text{CF}_3 + e + e$	c	25	$\text{F}^- + \text{C}_2\text{F}_4^+ \rightarrow \text{F} + \text{C}_2\text{F}_4$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3 + \text{CF}_3^-$	c	25	$\text{F}^- + \text{C}_2\text{F}_5^+ \rightarrow \text{F} + \text{C}_2\text{F}_5$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_6 \rightarrow \text{C}_2\text{F}_5 + \text{F}^-$	c	25	$\text{CF}_3^- + \text{Ar}^+ \rightarrow \text{CF}_3 + \text{Ar}$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3 + \text{CF}_3 + e$	c	25	$\text{CF}_3^- + \text{CF}_3^+ \rightarrow \text{CF}_3 + \text{CF}_3$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_6 \rightarrow \text{CF}_2 + \text{CF}_3 + e$	c	25	$\text{CF}_3^- + \text{C}_2\text{F}_4^+ \rightarrow \text{CF}_3 + \text{C}_2\text{F}_4$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_4 \rightarrow \text{CF}_2 + \text{CF}_2 + e$	c	25 <sup>e</sup>	$\text{CF}_3^- + \text{C}_2\text{F}_5^+ \rightarrow \text{CF}_3 + \text{C}_2\text{F}_5$	$1.0 \times 10^{-7}$	31
$e + \text{C}_2\text{F}_4 \rightarrow \text{C}_2\text{F}_4^+ + e + e$	c	25 <sup>e</sup>	$\text{CF}_3^- + \text{F} \rightarrow \text{CF}_3 + \text{F}^-$	$5.0 \times 10^{-8}$	31
$e + \text{C}_2\text{F}_4 \rightarrow \text{F}^- + \text{C}_2\text{F}_3$	c	25	$\text{F} + \text{F} + \text{M} \rightarrow \text{F}_2 + \text{M}$	$2.4 \times 10^{-33} (T/298)^{0.033}$	32
$e + \text{CF}_3^+ \rightarrow \text{CF}_2 + \text{F}$	$2.0 \times 10^{-8}$	26 <sup>f</sup>		$\text{cm}^6 \text{s}^{-1}$	
$e + \text{C}_2\text{F}_5^+ \rightarrow \text{CF}_3 + \text{CF}_2$	$2.0 \times 10^{-8}$	26 <sup>f</sup>	$\text{F} + \text{C}_2\text{F}_4 \rightarrow \text{CF}_3 + \text{CF}_2$	$4.0 \times 10^{-11}$	33
$e + \text{C}_2\text{F}_4^+ \rightarrow \text{CF}_2 + \text{CF}_2$	$2.0 \times 10^{-8}$	26 <sup>f</sup>	$\text{F} + \text{C}_2\text{F}_3 \rightarrow \text{CF}_3 + \text{CF}_3$	$1.0 \times 10^{-11}$	33
$\text{Ar}^+ + \text{Ar} \rightarrow \text{Ar} + \text{Ar}^+$	$1.0 \times 10^{-9}$	27	$\text{F} + \text{C}_2\text{F}_3 \rightarrow \text{C}_2\text{F}_4$	$1.0 \times 10^{-12}$	34
$\text{Ar}^+ + \text{CF}_4 \rightarrow \text{CF}_3^+ + \text{F} + \text{Ar}$	$7.0 \times 10^{-10}$	27	$\text{F} + \text{CF}_3 \rightarrow \text{CF}_4$	$1.99 \times 10^{-10} (T/300)^{-7.71}$	35
$\text{Ar}^+ + \text{CF}_3 \rightarrow \text{CF}_3^+ + \text{Ar}$	$7.0 \times 10^{-10}$	27		$\exp(-1183.4/T)$	
$\text{Ar}^+ + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3^+ + \text{CF}_3 + \text{Ar}$	$9.58 \times 10^{-10}$	27	$\text{F} + \text{CF}_2 \rightarrow \text{CF}_3$	$8.40 \times 10^{-15}$	33
$\text{Ar}^+ + \text{C}_2\text{F}_5 \rightarrow \text{C}_2\text{F}_5^+ + \text{Ar}$	$1.0 \times 10^{-10}$	28 <sup>f</sup>	$\text{F}_2 + \text{CF}_2 \rightarrow \text{CF}_3 + \text{F}$	$4.56 \times 10^{-13}$	34
$\text{Ar}^+ + \text{C}_2\text{F}_4 \rightarrow \text{C}_2\text{F}_4^+ + \text{Ar}$	$1.0 \times 10^{-10}$	28 <sup>f</sup>	$\text{F}_2 + \text{CF}_3 \rightarrow \text{CF}_4 + \text{F}$	$1.88 \times 10^{-14}$	34
$\text{Ar}^* + \text{Ar}^* \rightarrow \text{Ar}^+ + \text{Ar} + e$	$5.0 \times 10^{-10}$	29	$\text{CF}_3 + \text{CF}_3 \rightarrow \text{C}_2\text{F}_6$	$7.67 \times 10^{-12}$	34
$\text{Ar}^* + \text{CF}_4 \rightarrow \text{CF}_2 + \text{F}_2 + \text{Ar}$	$4.0 \times 10^{-11}$	30	$\text{CF}_2 + \text{CF}_2 \rightarrow \text{C}_2\text{F}_4$	$5.0 \times 10^{-14}$	34
$\text{Ar}^* + \text{CF}_3 \rightarrow \text{CF}_2 + \text{F} + \text{Ar}$	$4.0 \times 10^{-11}$	30	$\text{CF}_2 + \text{CF}_3 \rightarrow \text{C}_2\text{F}_5$	$8.26 \times 10^{-13}$	34

<sup>a</sup>Only reactions directly affecting species densities are shown here. Additional electron impact collisions (e.g., momentum transfer, vibrational excitation) are included in the EETM.

<sup>b</sup>Rate coefficients have units  $\text{cm}^3 \text{s}^{-1}$  unless noted otherwise.

<sup>c</sup>Computed using the electron energy distribution and electron impact cross section from cited reference.

<sup>d</sup>Estimated by analogy to CF<sub>4</sub>.

<sup>e</sup>Estimated by analogy to C<sub>2</sub>F<sub>6</sub>.

<sup>f</sup>Estimated. See cited reference for similar reaction.

### III. PLASMA CHARACTERISTICS, CONSUMPTION, AND GENERATION OF PFCs IN AN ICP ETCHING REACTOR

Schematics of the plasma etching chamber and burn-box used in this study are shown in Fig. 1. The plasma etching chamber is a 13.56 MHz ICP reactor with four coils on top of a dielectric window using Ar/C<sub>2</sub>F<sub>6</sub> (or Ar/CF<sub>4</sub>) as the process gas mixture at 10 mTorr. A 20 cm diameter silicon wafer with a conductivity of  $0.05 (\Omega \text{cm})^{-1}$  sits on the substrate. The feedstock gas flows into the chamber through a showerhead nozzle and the exhaust gases are pumped out at the bottom of the chamber. The burn-box, which is located downstream of a turbopump, is also an ICP reactor operating at a higher pressure of 150 mTorr. O<sub>2</sub>, H<sub>2</sub>, or H<sub>2</sub>O are injected into the burn-box as additive gases through a ring nozzle at the top of the reactor. The input fluxes to the burn-box are obtained from the output fluxes of the etching chamber. We assumed there were no compositional changes of the effluent in the turbopump. This is a simplifying assumption

since there can be fluorocarbon films deposited inside the turbopump. The figure indicates a quartz tube for the burn-box which will likely be eroded and etched by the high fluorine content of the plasma. Actual systems use nonetching materials such as alumina,<sup>7</sup> and so we have not including wall etching reactions.

The baseline case for the plasma etching reactor uses an Ar/C<sub>2</sub>F<sub>6</sub>=60/40 mixture at 10 mTorr with 650 W ICP power. The power deposition, electron density, and the electron source for this case are shown in Fig. 2. The power deposition has a maximum of  $\approx 1.7 \text{ W/cm}^3$  located off axis, 0.5 cm below the quartz window, and under the two middle coils. Since diffusion is the dominant mechanism for electron transport, the electron density (peak value of  $2.2 \times 10^{11} \text{ cm}^{-3}$ ) and the electron source have maximum values near the reactor axis. (No attempt was made to make reactive fluxes to the substrate uniform.) Positive ions (Ar<sup>+</sup> and CF<sub>3</sub><sup>+</sup>) are first dominantly generated by electron impact ionization

TABLE II. Additional reaction and species for Ar/CF<sub>4</sub>/C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub>/H<sub>2</sub>/H<sub>2</sub>O.<sup>a</sup> Species: O<sub>2</sub>, O<sub>2</sub><sup>+</sup>, O, O\*, O<sup>+</sup>, O<sup>-</sup>, H<sub>2</sub>, H<sub>2</sub><sup>+</sup>, H, H<sup>-</sup>, H<sub>2</sub>O, H<sub>2</sub>O<sup>+</sup>, OH, OH<sup>-</sup>, H<sub>3</sub>O<sup>+</sup>, HO<sub>2</sub>, HF, F<sup>+</sup>, F<sub>2</sub><sup>+</sup>, CF, C<sub>2</sub>F<sub>4</sub><sup>+</sup>, C<sub>2</sub>F<sub>5</sub><sup>+</sup>, CF<sub>3</sub>O<sub>2</sub>, COF<sub>2</sub>, COF, CO, CO<sub>2</sub>, FO, C.

Reaction	Rate coefficient <sup>b</sup>	Reference	Reaction	Rate coefficient <sup>b</sup>	Reference
$e + O_2 \rightarrow O^- + O$	c	36	$H_2^+ + O_2 \rightarrow O_2^+ + H_2$	$7.56 \times 10^{-9}$	49
$e + O_2 \rightarrow O(^1D) + O + e$	c	36	$H_2^+ + C_2F_6 \rightarrow CF_3^+ + CF_3 + H_2$	$5.0 \times 10^{-10}$	49
$e + O_2 \rightarrow O + O + e$	c	36	$H_2^+ + H_2O \rightarrow H_2 + H_2O^+$	$3.6 \times 10^{-9}$	49
$e + O_2 \rightarrow O_2^+ + e + e$	c	36	$H_2^+ + CF_3^- \rightarrow H_2 + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + O_2 \rightarrow O^+ + O + e + e$	c	37	$H_2^+ + F^- \rightarrow H_2 + F$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + O \rightarrow O(^1D) + e$	c	38	$H_2^+ + O^- \rightarrow H_2 + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + O \rightarrow O^+ + e + e$	c	38	$H_2^+ + H^- \rightarrow H_2 + H$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + O(^1D) \rightarrow O + e$	c	38	$H_2^+ + OH^- \rightarrow H_2 + OH$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + O(^1D) \rightarrow O^+ + e + e$	c	38	$H_2O^+ + CF_3^- \rightarrow H_2O + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + CF_2 \rightarrow CF + F + e$	c	24 <sup>d</sup>	$H_2O^+ + F^- \rightarrow H_2O + F$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + CF_2 \rightarrow CF + F^-$	c	24 <sup>d</sup>	$H_2O^+ + O^- \rightarrow H_2O + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + F_2 \rightarrow F^- + F$	c	39	$H_2O^+ + H^- \rightarrow H_2O + H$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + F_2 \rightarrow F + F + e$	c	39	$H_2O^+ + OH^- \rightarrow H_2O + OH$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + F_2 \rightarrow F_2^+ + e + e$	c	39	$H_2O^+ + H_2O \rightarrow H_3O^+ + OH$	$1.7 \times 10^{-9}$	49
$e + F \rightarrow F^+ + e + e$	c	40	$H_3O^+ + e \rightarrow H_2O + H$	$2.0 \times 10^{-7}$	44 <sup>d</sup>
$e + CF_3O_2 \rightarrow CF_3 + O_2 + e$	c	24 <sup>d</sup>	$H_3O^+ + H^- \rightarrow H_2 + H_2O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + C_2F_3 \rightarrow CF + CF_2 + e$	c	25 <sup>e</sup>	$H_3O^+ + O^- \rightarrow OH + H_2O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + COF_2 \rightarrow COF + F + e$	c	24 <sup>d</sup>	$H_3O^+ + F^- \rightarrow H_2O + HF$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + CO_2 \rightarrow CO + O + e$	c	41	$H_3O^+ + CF_3^- \rightarrow H_2O + HF + CF_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + CO_2 \rightarrow CO + O^-$	c	41	$H_3O^+ + OH^- \rightarrow H_2O + H + OH$	$1.0 \times 10^{-7}$	31 <sup>d</sup>
$e + H_2 \rightarrow H + H + e$	c	42	$H + H + M \rightarrow H_2 + M$	$8.1 \times 10^{-33} \text{ cm}^6 \text{ s}^{-1}$	50
$e + H_2 \rightarrow H_2^+ + e + e$	c	42	$H + OH + M \rightarrow H_2O + M$	$1.56 \times 10^{-31} (T/300)^{-1.21}$	51
$e + H_2 \rightarrow H + H + e$	c	42		$\exp(295.3/T) \text{ cm}^{-6} \text{ s}^{-1}$	
$e + H_2 \rightarrow H + H + e$	c	42	$H + O + M \rightarrow OH + M$	$4.33 \times 10^{-32} \text{ cm}^6 \text{ s}^{-1}$	52
$e + H_2^+ \rightarrow H + H$	c	42	$H + O_2 + M \rightarrow HO_2 + M$	$1.94 \times 10^{-32} (T/300)^{-0.7}$	51
$e + H_2O \rightarrow OH + H^-$	c	43		$\exp(144.3/T) \text{ cm}^6 \text{ s}^{-1}$	
$e + H_2O \rightarrow OH + H + e$	c	43	$H + HO_2 \rightarrow O + H_2O$	$3.84 \times 10^{-11} (T/300)^{-0.46}$	52
$e + H_2O \rightarrow O(^1D) + H_2 + e$	c	43		$\exp(-677.9/T)$	
$e + H_2O \rightarrow H_2O^+ + e + e$	c	43	$H + HO_2 \rightarrow H_2 + O_2$	$2.34 \times 10^{-11} (T/300)^{-0.59}$	52
$e + H_2O^+ \rightarrow O + H_2$	$1.0 \times 10^{-7}$	44		$\exp(-320.8/T)$	
$e + O_2^+ \rightarrow O(^1D) + O$	$2.0 \times 10^{-8}$	45 <sup>d</sup>	$H + HO_2 \rightarrow OH + OH$	$1.58 \times 10^{-10} \exp(-365.2/T)$	52
$e + F_2^+ \rightarrow F + F$	$2.0 \times 10^{-8}$	45 <sup>d</sup>	$H + CF_3 \rightarrow CF_2 + HF$	$9.0 \times 10^{-11}$	53
$Ar^+ + O_2 \rightarrow O_2^+ + Ar$	$5.1 \times 10^{-11}$	46	$H + CF_2 \rightarrow CF + HF$	$3.32 \times 10^{-10} \exp(-629/T)$	34
$Ar^+ + O \rightarrow O^+ + Ar$	$1.0 \times 10^{-11}$	47	$H + CF \rightarrow C + HF$	$1.9 \times 10^{-11}$	34
$Ar^* + O_2 \rightarrow O + O + Ar$	$2.1 \times 10^{-10}$	48	$H + C_2F_5 \rightarrow C_2F_4 + HF$	$2.0 \times 10^{-11}$	34
$Ar^* + O \rightarrow O(^1D) + Ar$	$4.1 \times 10^{-11}$	49	$H + F_2 \rightarrow F + HF$	$1.53 \times 10^{-11}$	34
$Ar^* + H_2 \rightarrow Ar + H + H$	$2.1 \times 10^{-10}$	48	$H + COF \rightarrow CO + HF$	$1.93 \times 10^{-10}$	34
$Ar^* + H_2O \rightarrow Ar + OH + H$	$2.1 \times 10^{-10}$	48	$C + O_2 \rightarrow CO + O$	$3.3 \times 10^{-11}$	54
$Ar^+ + H_2 \rightarrow Ar + H_2^+$	$1.0 \times 10^{-9}$	49	$H_2 + F \rightarrow HF + H$	$1.43 \times 10^{-10} \exp(-528.0/T)$	34
$Ar^+ + H_2O \rightarrow Ar + H_2O^+$	$1.4 \times 10^{-9}$	49	$H_2 + O(^1D) \rightarrow OH + H$	$1.1 \times 10^{-10}$	55
$F^+ + H_2 \rightarrow H_2^+ + F$	$1.2 \times 10^{-9}$	49	$OH + F \rightarrow O + HF$	$3.32 \times 10^{-11}$	34
$F^+ + H_2O \rightarrow H_2O^+ + F$	$7.97 \times 10^{-11}$	49	$OH + CF_3 \rightarrow COF_2 + HF$	$3.32 \times 10^{-11}$	34
$F^- + H \rightarrow e + HF$	$1.6 \times 10^{-9}$	49	$OH + CF_2 \rightarrow COF + HF$	$6.64 \times 10^{-12} \exp(-1762.5/T)$	34
$H^- + H \rightarrow e + H_2$	$1.8 \times 10^{-9}$	49	$OH + CF \rightarrow HF + CO$	$6.64 \times 10^{-11} \exp(-503/T)$	34
$H^- + H_2O \rightarrow OH^- + H_2$	$3.7 \times 10^{-9}$	49	$OH + CO \rightarrow H + CO_2$	$1.18 \times 10^{-13} (T/300)^{-0.98}$	34
$H^- + Ar^+ \rightarrow H + Ar$	$1.0 \times 10^{-7}$	31 <sup>d</sup>		$\exp(-94.3/T)$	
$H^- + CF_3^+ \rightarrow H + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$HO_2 + F \rightarrow O_2 + HF$	$8.28 \times 10^{-11}$	56
$H^- + O_2^+ + M \rightarrow HO_2 + M$	$1.2 \times 10^{-25} \text{ cm}^6 \text{ s}^{-1}$	31 <sup>d</sup>	$HO_2 + CF_3 \rightarrow COF_2 + HF + O$	$1.66 \times 10^{-11}$	34
$H^- + O^+ + M \rightarrow OH + M$	$1.2 \times 10^{-25} \text{ cm}^6 \text{ s}^{-1}$	31 <sup>d</sup>	$HO_2 + CF_2 \rightarrow COF_2 + OH$	$1.66 \times 10^{-11} \exp(-1762.5/T)$	34
$H^- + F^+ + M \rightarrow HF + M$	$1.2 \times 10^{-25} \text{ cm}^6 \text{ s}^{-1}$	31 <sup>d</sup>	$HO_2 + O \rightarrow OH + O_2$	$3.0 \times 10^{-11} \exp(200/T)$	57
$H^- + F_2^+ \rightarrow H + F_2$	$5.0 \times 10^{-8}$	31 <sup>d</sup>	$HO_2 + OH \rightarrow H_2O + O_2$	$5.1 \times 10^{-11}$	58
$H^- + C_2F_4^+ \rightarrow H + C_2F_4$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$H_2O + F \rightarrow OH + HF$	$1.11 \times 10^{-11} (T/300)^{1.5}$	34
$H^- + C_2F_5^+ \rightarrow H + C_2F_5$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$H_2O + O(^1D) \rightarrow OH + OH$	$2.5 \times 10^{-10}$	59
$OH^- + H \rightarrow e + H_2O$	$1.4 \times 10^{-9}$	49	$O^+ + CF_4 \rightarrow CF_3^+ + FO$	$1.4 \times 10^{-9}$	60
$OH^- + O \rightarrow e + HO_2$	$2.0 \times 10^{-10}$	49	$O^+ + CF_3 \rightarrow CF_3^+ + O$	$1.0 \times 10^{-10}$	49
$OH^- + Ar^+ \rightarrow OH + Ar$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O^+ + C_2F_6 \rightarrow CF_3^+ + CF_3 + O$	$1.47 \times 10^{-9}$	60
$OH^- + CF_3^+ \rightarrow OH + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O^+ + C_2F_6 \rightarrow C_2F_5^+ + FO$	$3.0 \times 10^{-11}$	60
$OH^- + O_2^+ \rightarrow OH + O_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O^+ + C_2F_4 \rightarrow C_2F_4^+ + O$	$1.3 \times 10^{-9}$	29
$OH^- + O^+ \rightarrow OH + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$F^+ + CF_4 \rightarrow CF_3^+ + F_2$	$1.0 \times 10^{-9}$	29
$OH^- + F^+ \rightarrow OH + F$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$F^+ + CF_3 \rightarrow CF_3^+ + F$	$1.0 \times 10^{-9}$	29
$OH^- + F_2^+ \rightarrow OH + F_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$F^+ + C_2F_6 \rightarrow C_2F_5^+ + F_2$	$1.0 \times 10^{-9}$	29
$OH^- + C_2F_4^+ \rightarrow OH + C_2F_4$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$F^+ + C_2F_5 \rightarrow C_2F_4^+ + F_2$	$1.0 \times 10^{-9}$	29
$OH^- + C_2F_5^+ \rightarrow OH + C_2F_5$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$F^+ + C_2F_4 \rightarrow C_2F_4^+ + F$	$1.0 \times 10^{-9}$	29

TABLE II. (Continued).

Reaction	Rate coefficient <sup>b</sup>	Reference	Reaction	Rate coefficient <sup>b</sup>	Reference
$F^+ + O \rightarrow O^+ + F$	$1.0 \times 10^{-10}$	29	$O^- + O \rightarrow O_2 + e$	$3.0 \times 10^{-10}$	49
$F^+ + O_2 \rightarrow O_2^+ + F$	$6.4 \times 10^{-10}$	29	$O + O + M \rightarrow O_2 + M$	$1.0 \times 10^{-33} \text{ cm}^6 \text{ s}^{-1}$	50
$F^+ + O_2 \rightarrow O^+ + FO$	$1.6 \times 10^{-10}$	29	$O(^1D) + CF_4 \rightarrow O + CF_4$	$1.8 \times 10^{-13}$	33
$F^+ + F \rightarrow F + F^+$	$1.0 \times 10^{-9}$	29 <sup>d</sup>	$O(^1D) + COF_2 \rightarrow O + COF_2$	$5.3 \times 10^{-11}$	33
$F_2^+ + CF_4 \rightarrow CF_3^+ + F + F_2$	$1.0 \times 10^{-10}$	29 <sup>d</sup>	$O(^1D) + COF_2 \rightarrow F_2 + CO_2$	$2.1 \times 10^{-11}$	33
$F_2^+ + CF_3 \rightarrow CF_3^+ + F_2$	$1.0 \times 10^{-10}$	29 <sup>d</sup>	$O(^1D) + O_2 \rightarrow O + O_2$	$3.2 \times 10^{-11} \exp(67/T)$	55
$F_2^+ + C_2F_4 \rightarrow C_2F_4^+ + F_2$	$1.0 \times 10^{-10}$	29 <sup>d</sup>	$O(^1D) + CF_3 \rightarrow COF_2 + F$	$3.1 \times 10^{-11}$	33
$F_2^+ + C_2F_5 \rightarrow C_2F_5^+ + F_2$	$1.0 \times 10^{-10}$	29 <sup>d</sup>	$O(^1D) + CF_2 \rightarrow COF + F$	$1.4 \times 10^{-11}$	33
$F_2^+ + F_2 \rightarrow F_2^+ + F_2$	$1.0 \times 10^{-9}$	29 <sup>d</sup>	$O(^1D) + CF_2 \rightarrow CO + F + F$	$4.0 \times 10^{-12}$	33
$O_2^+ + C_2F_4 \rightarrow C_2F_4^+ + O_2$	$9.8 \times 10^{-10}$	28	$O(^1D) + CF \rightarrow CO + F$	$6.64 \times 10^{-11} \exp(-503/T)$	33
$O_2^+ + C_2F_5 \rightarrow C_2F_5^+ + O_2$	$1.0 \times 10^{-10}$	28 <sup>d</sup>	$O(^1D) + COF \rightarrow CO_2 + F$	$9.3 \times 10^{-11}$	33
$O_2^+ + O_2 \rightarrow O_2^+ + O_2$	$1.0 \times 10^{-9}$	29	$O(^1D) + CF_3O_2 \rightarrow COF_2 + F + O_2$	$1.0 \times 10^{-11}$	33
$F^- + O_2^+ \rightarrow F + O_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O(^1D) + FO \rightarrow O_2 + F$	$5.0 \times 10^{-11}$	33
$F^- + O^+ \rightarrow F + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O + CF_3 \rightarrow COF_2 + F$	$3.1 \times 10^{-11}$	33
$F^- + F_2^+ \rightarrow F + F_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O + CF_2 \rightarrow COF + F$	$1.4 \times 10^{-11}$	33
$F^- + F^+ \rightarrow F + F$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O + CF_2 + \rightarrow CO + F + F$	$4.0 \times 10^{-12}$	33
$F + CF_3 \rightarrow CF_4$	$4.9 \times 10^{-9} (T/300)^{-7.84} \exp(-1876.4/T)$	35	$O + CF \rightarrow CO + F$	$6.64 \times 10^{-11} \exp(-503/T)$	34
$F + CF_2 \rightarrow CF_3$	$4.14 \times 10^{-14}$	33	$O + COF \rightarrow CO_2 + F$	$9.3 \times 10^{-11}$	33
$F + COF \rightarrow COF_2$	$2.76 \times 10^{-13}$	33	$O + CF_3O_2 \rightarrow COF_2 + F + O_2$	$1.0 \times 10^{-11}$	33
$F + CO \rightarrow COF$	$3.87 \times 10^{-16}$	33	$O + FO \rightarrow O_2 + F$	$5.0 \times 10^{-11}$	33
$O^- + Ar^+ \rightarrow O + Ar$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O + F + M \rightarrow FO + M$	$1.0 \times 10^{-33} \text{ cm}^6 \text{ s}^{-1}$	34
$O^- + O_2^+ \rightarrow O + O_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O + C_2F_5 \rightarrow COF_2 + CF_3$	$3.65 \times 10^{-11}$	34
$O^- + O^+ \rightarrow O + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$O_2 + CF_3 \rightarrow CF_3O_2$	$4.44 \times 10^{-14}$	34
$O^- + F_2^+ \rightarrow O + F_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + CF_2 \rightarrow CF_3 + CO$	$3.0 \times 10^{-13}$	34
$O^- + F^+ \rightarrow O + F$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + CF_2 \rightarrow COF_2 + CF$	$3.0 \times 10^{-13}$	34
$O^- + CF_3^+ \rightarrow O + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + CF_3 \rightarrow CF_4 + CO$	$1.0 \times 10^{-11}$	34
$O^- + C_2F_4^+ \rightarrow O + C_2F_4$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + CF_3 \rightarrow COF_2 + CF_2$	$1.0 \times 10^{-11}$	34
$O^- + C_2F_5^+ \rightarrow O + C_2F_5$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + COF \rightarrow COF_2 + CO$	$1.0 \times 10^{-11}$	34
$CF_3^- + O_2^+ \rightarrow CF_3 + O_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$COF + OH \rightarrow CO_2 + CO_2$	$1.0 \times 10^{-11}$	34
$CF_3^- + O^+ \rightarrow CF_3 + O$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$CF_2 + CF_3 \rightarrow C_2F_5$	$5.36 \times 10^{-12}$	34
$CF_3^- + F_2^+ \rightarrow CF_3 + F_2$	$1.0 \times 10^{-7}$	31 <sup>d</sup>	$CF_3 + CF_3 \rightarrow C_2F_6$	$7.26 \times 10^{-12}$	34
$CF_3^- + F^+ \rightarrow CF_3 + CF_3$	$1.0 \times 10^{-7}$	31 <sup>d</sup>			

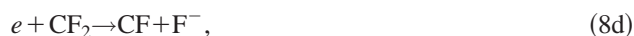
<sup>a</sup>Only reactions directly affecting species densities are shown here. Additional electron impact collisions (e.g., momentum transfer, vibrational excitation) are included in the EETM.

<sup>b</sup>Rate coefficients have units  $\text{cm}^3 \text{ s}^{-1}$  unless noted otherwise.

<sup>c</sup>Computed using the electron energy distribution and electron impact cross section from cited reference.

<sup>d</sup>Estimated. See cited reference for similar reaction.

of  $\text{Ar}^*$ ,  $\text{Ar}$ , and  $\text{C}_2\text{F}_6$ , and secondarily by dissociative charge transfer of  $\text{Ar}^+$  to  $\text{C}_2\text{F}_6$  and its fragments. Negative ions ( $\text{F}^-$  and  $\text{CF}_3^-$ ) are generated by electron impact dissociative attachment, first of the feedstock  $\text{C}_2\text{F}_6$  and secondarily from the dissociation fragments. Ion densities, shown in Fig. 3, have the same general shape as the electron density with the exception that the dominant negative ion,  $\text{F}^-$ , is less extended due to its trapping at the peak of the plasma potential. Since there is charge exchange to  $\text{C}_2\text{F}_6$  and  $\text{Ar}$  has the higher ionization threshold (16 eV for  $\text{Ar}$  and 14.2 eV  $\text{C}_2\text{F}_6$ ), the peak density of  $\text{Ar}^+$  ( $1.96 \times 10^{11} \text{ cm}^{-3}$ ) is about 0.7 times that of  $\text{CF}_3^+$  ( $2.75 \times 10^{11} \text{ cm}^{-3}$ ) even though there is a larger  $\text{Ar}$  input gas mole fraction. The negative ions are dominated by  $\text{F}^-$  with an on-axis maximum density of  $2.24 \times 10^{11} \text{ cm}^{-3}$  (about 0.9 times the electron density) which is two orders of magnitude larger than that of  $\text{CF}_3^-$ .  $\text{F}^-$  generation by electron impact dissociative attachment occurs by at least four dissociative attachment processes and by charge transfer from  $\text{CF}_3^-$ ,



$\text{CF}_3^-$  is dominantly generated only by electron impact dissociative attachment from  $\text{C}_2\text{F}_6$  and  $\text{CF}_4$ .

The densities of  $\text{C}_2\text{F}_6$ ,  $\text{CF}_3$ , and  $\text{CF}_2$  are shown in Fig. 4. The  $\text{C}_2\text{F}_6$  is quickly dissociated upon injection into the plasma. There is some reformation of  $\text{C}_2\text{F}_6$  in the plasma and on walls.  $\text{CF}_3$  is a primary fragment of dissociative electronic excitation of  $\text{C}_2\text{F}_6$  and dissociative excitation transfer from  $\text{Ar}^*$  to  $\text{C}_2\text{F}_6$ , while  $\text{CF}_2$  is produced by dissociation of  $\text{CF}_3$  and  $\text{CF}_4$ . Due to subsequent reassociation, the density of  $\text{CF}_3$

TABLE III. Reactive sticking coefficients for species on walls and wafer.

Species	Boundary	Reaction probability	Species returning to plasma
Ar	walls or wafer	1.0	Ar
Ar <sup>+</sup>	walls or wafer	1.0	Ar
Ar*	walls or wafer	1.0	Ar
CF <sub>4</sub>	walls or wafer	1.0	CF <sub>4</sub>
CF <sub>3</sub>	walls or wafer	0.995	CF <sub>3</sub>
	walls or wafer	0.005	0.5 C <sub>2</sub> F <sub>6</sub>
CF <sub>3</sub> <sup>+</sup>	walls or wafer	1.0	CF <sub>3</sub>
CF <sub>3</sub> <sup>-</sup>	walls or wafer	1.0	CF <sub>3</sub>
CF <sub>2</sub>	walls	0.990	CF <sub>2</sub>
	walls	0.010	0.5 C <sub>2</sub> F <sub>4</sub>
	wafer	0.940	CF <sub>2</sub>
	wafer	0.060	0.5 (C <sub>2</sub> F <sub>3</sub> +SiF <sub>2</sub> )
F	walls	0.995	F
	walls	0.005	0.5 F <sub>2</sub>
	wafer	0.900	F
	wafer	0.100	0.5 SiF <sub>2</sub>
F <sup>-</sup>	walls or wafer	1.0	F
F <sub>2</sub>	walls or wafer	1.0	F <sub>2</sub>
C <sub>2</sub> F <sub>3</sub>	walls or wafer	1.0	C <sub>2</sub> F <sub>3</sub>
C <sub>2</sub> F <sub>4</sub>	walls or wafer	1.0	C <sub>2</sub> F <sub>4</sub>
C <sub>2</sub> F <sub>5</sub>	walls or wafer	1.0	C <sub>2</sub> F <sub>5</sub>
C <sub>2</sub> F <sub>6</sub>	walls or wafer	1.0	C <sub>2</sub> F <sub>6</sub>
SiF <sub>2</sub>	walls or wafer	1.0	SiF <sub>2</sub>

increases near the pump port, while the density of CF<sub>2</sub> has a maximum at the reactor axis due to its continuous loss (recombination of CF<sub>2</sub> with F, F<sub>2</sub>, CF<sub>3</sub>, and itself) after being produced. Other C<sub>x</sub>F<sub>y</sub> species (C<sub>2</sub>F<sub>4</sub>, C<sub>2</sub>F<sub>5</sub>, and CF<sub>4</sub>), shown in Fig. 5, are slowly generated by radical recombination through gas phase and wall reactions. The mole fractions of neutral species in the effluent from our baseline etching reactor consist of

Ar	0.415	CF <sub>3</sub>	0.141	CF <sub>2</sub>	0.111
C <sub>2</sub> F <sub>6</sub>	0.093	SiF <sub>2</sub>	0.074	F	0.071
CF <sub>4</sub>	0.047	C <sub>2</sub> F <sub>3</sub>	0.018	C <sub>2</sub> F <sub>5</sub>	0.018
F <sub>2</sub>	0.009	C <sub>2</sub> F <sub>4</sub>	0.003		

The total output flow rate is 242.8 sccm, larger than the input flow rate due to dissociation.

Since CF<sub>4</sub> is frequently used as a process gas, we also examined Ar/CF<sub>4</sub> ICP etching discharges. The baseline case is the same as that for Ar/C<sub>2</sub>F<sub>6</sub> with the exception that CF<sub>4</sub> replaces C<sub>2</sub>F<sub>6</sub>. The shapes of the profiles of electron, ions, and neutrals do not appreciably change. The mole fractions in the effluent for the Ar/CF<sub>4</sub> base case are

Ar	0.477	CF <sub>3</sub>	0.066	CF <sub>2</sub>	0.077
C <sub>2</sub> F <sub>6</sub>	0.008	SiF <sub>2</sub>	0.090	F	0.117
CF <sub>4</sub>	0.132	C <sub>2</sub> F <sub>3</sub>	0.012	C <sub>2</sub> F <sub>5</sub>	0.004
F <sub>2</sub>	0.014	C <sub>2</sub> F <sub>4</sub>	0.002		

The total output flowrate of 212.7 sccm is smaller than that for the Ar/C<sub>2</sub>F<sub>6</sub> case corresponding to a lower total amount of dissociation.

A design of experiments (DOE) was performed to char-

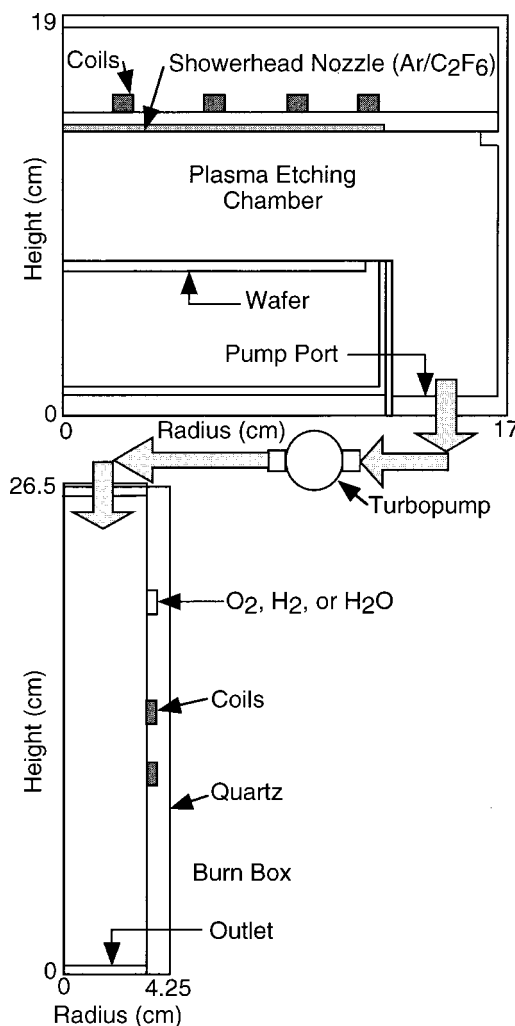


FIG. 1. Schematics of the inductively coupled plasma etching reactor and plasma burn-box. The coils for both of the devices are driven at 13.56 MHz. A turbopump is between the etching reactor and burn-box.

acterize the consumption of C<sub>2</sub>F<sub>6</sub> in the etching chamber. The fractional consumption of C<sub>2</sub>F<sub>6</sub> in the etching chamber as a function of mole fraction of C<sub>2</sub>F<sub>6</sub> (20%–60%), gas flow rate (100–300 sccm), and power deposition (350–650 W) is shown in Fig. 6. The consumption is based on the outflow (sccm) compared to the inflow (sccm). The consumption scales almost linearly with power deposition, and decreases with increasing gas flow rate (shorter gas residence time) and input C<sub>2</sub>F<sub>6</sub> mole fraction. Using higher power and lower flow rate, one expects to increase the C<sub>2</sub>F<sub>6</sub> consumption since more energy is deposited per input C<sub>2</sub>F<sub>6</sub> molecule. These results can be summarized in terms of energy per molecule (eV/molecule) required for consumption of C<sub>2</sub>F<sub>6</sub>. The energy, typically called the *W* value, is shown in Fig. 7. A small *W* value corresponds to higher efficiency. Low *W* values were obtained at high C<sub>2</sub>F<sub>6</sub> mole fraction and high flow rate. At higher C<sub>2</sub>F<sub>6</sub> mole fractions, there is a more power deposition into C<sub>2</sub>F<sub>6</sub> relative to Ar, and for a higher flow rate, there is a less power expended in the dissociation products. The total generation of radicals (CF<sub>2</sub>, CF<sub>3</sub>, C<sub>2</sub>F<sub>3</sub>, C<sub>2</sub>F<sub>5</sub>,

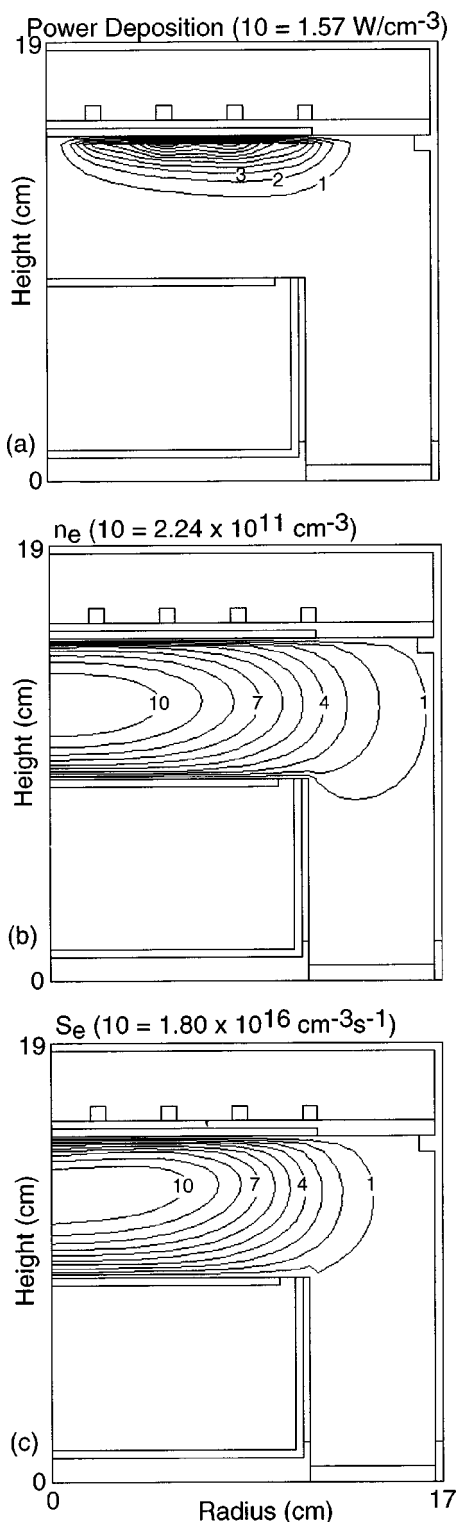


FIG. 2. Plasma parameters for the standard case for the plasma etching reactor ( $\text{Ar}/\text{C}_2\text{F}_6 = 60/40$ , 10 mTorr, and 650 W inductively coupled power). (a) Power deposition. (b) Electron density. (c) Electron source by electron impact. The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

and F) and other PFCs is almost linearly proportional to the  $\text{C}_2\text{F}_6$  consumption.

A similar DOE was carried out for  $\text{Ar}/\text{CF}_4$  gas mixtures.  $\text{CF}_4$  shows similar systematic trends in consumption and in

$W$  values. However, for given conditions, the consumption is generally lower and  $W$  values higher than  $\text{Ar}/\text{C}_2\text{F}_6$  due to the lower rate of dissociation of  $\text{CF}_4$ . Comparing the base line cases using  $\text{Ar}/\text{C}_2\text{F}_6$  or  $\text{Ar}/\text{CF}_4 = 60/40$  at 650 W, the consumption and  $W$  values are 72% and 158 eV molecule for  $\text{C}_2\text{F}_6$ , and 65% and 175 eV molecule for  $\text{CF}_4$ . The total generation of  $\text{C}_x\text{F}_y$  in the effluent for the  $\text{CF}_4$  cases is approximately half that of the corresponding  $\text{C}_2\text{F}_6$  cases. The output amounts of  $\text{CF}_2$  and  $\text{CF}_3$  for the  $\text{C}_2\text{F}_6$  case are larger by factors of 2.44 and 1.65, respectively, compared to the  $\text{CF}_4$  case, largely a consequence of the branching ratios for fragmentation of the feedstocks which favor  $\text{CF}_x$  production from  $\text{C}_2\text{F}_6$ . There are, however, slightly more F radicals in the effluent for  $\text{Ar}/\text{CF}_4$  mixtures.

#### IV. PLASMA ABATEMENT OF PFCs IN THE BURN-BOX

In order to abate the PFCs emitted by the etching chamber, the effluent is passed through the plasma burn-box. The effluents for  $\text{Ar}/\text{C}_2\text{F}_6$  discharges consist mainly of Ar, undissociated  $\text{C}_2\text{F}_6$ ,  $\text{CF}_x$  radicals, newly generated PFCs ( $\text{CF}_4$ ,  $\text{C}_2\text{F}_4$ , and  $\text{C}_2\text{F}_5$ ), fluorine (F and  $\text{F}_2$ ), and the etch product ( $\text{SiF}_2$ ). In this section, we first present a comparison of our results with experiments for abatement of  $\text{C}_2\text{F}_6$  for validation purposes, and then discuss the remediation of simulated effluent using  $\text{O}_2$ ,  $\text{H}_2$ , or  $\text{H}_2\text{O}$  as additives.

##### A. Validation

The model was validated by comparing our results to experiments by Sawin and Vitale<sup>9</sup> for abatement of  $\text{C}_2\text{F}_6$  in an ICP reactor. Their reactor is a 10 cm diameter stainless-steel tube with an internal coil. The feedstock gas was  $\text{C}_2\text{F}_6/\text{O}_2 = 50/50$ . They found that  $\text{C}_2\text{F}_6$  is decomposed in the plasma reactor, but  $\text{CF}_4$  is generated as a product, which coincides with the observations of Hartz *et al.*<sup>8</sup>

The formation of  $\text{CF}_4$  largely depends on the availability of F atoms to recombine with  $\text{CF}_3$ . Through our parametric studies we found that the branching ratio for  $e + \text{C}_2\text{F}_6 \rightarrow \text{C}_2\text{F}_5 + \text{F}^-$  or  $\text{CF}_3 + \text{CF}_3^-$  significantly impacts the net destruction of  $\text{C}_2\text{F}_6$  and the formation of  $\text{CF}_4$  for Sawin and Vitale's conditions. The branching ratios for electron impact dissociation products of fluorocarbons, particularly for dissociative attachment, are functions of the vibrational state and gas temperatures<sup>61</sup> and so there is some uncertainty in their values here. For example, the mole fractions of  $\text{C}_2\text{F}_6$  remaining and  $\text{CF}_4$  formation in the exhaust of the burn-box are shown in Fig. 8(a) as a function of the branching ratio of  $e + \text{C}_2\text{F}_6 \rightarrow \text{CF}_3 + \text{CF}_3^-$ . The total flow rate is 400 sccm, the pressure is 500 mTorr, and the power deposition is 500 W. An increase in this branching (and decrease in branching for  $\text{C}_2\text{F}_5 + \text{F}^-$ ) produces more  $\text{CF}_3$  which can recombine more rapidly with F to form  $\text{CF}_4$  or with another  $\text{CF}_3$  radical to reform  $\text{C}_2\text{F}_6$ . Any  $\text{C}_2\text{F}_5$  generated can rapidly react with F to generate additional  $\text{CF}_3$ . A branching ratio of 58% best matches experimental data. Using this branching, comparisons between experiments and simulations as a function of



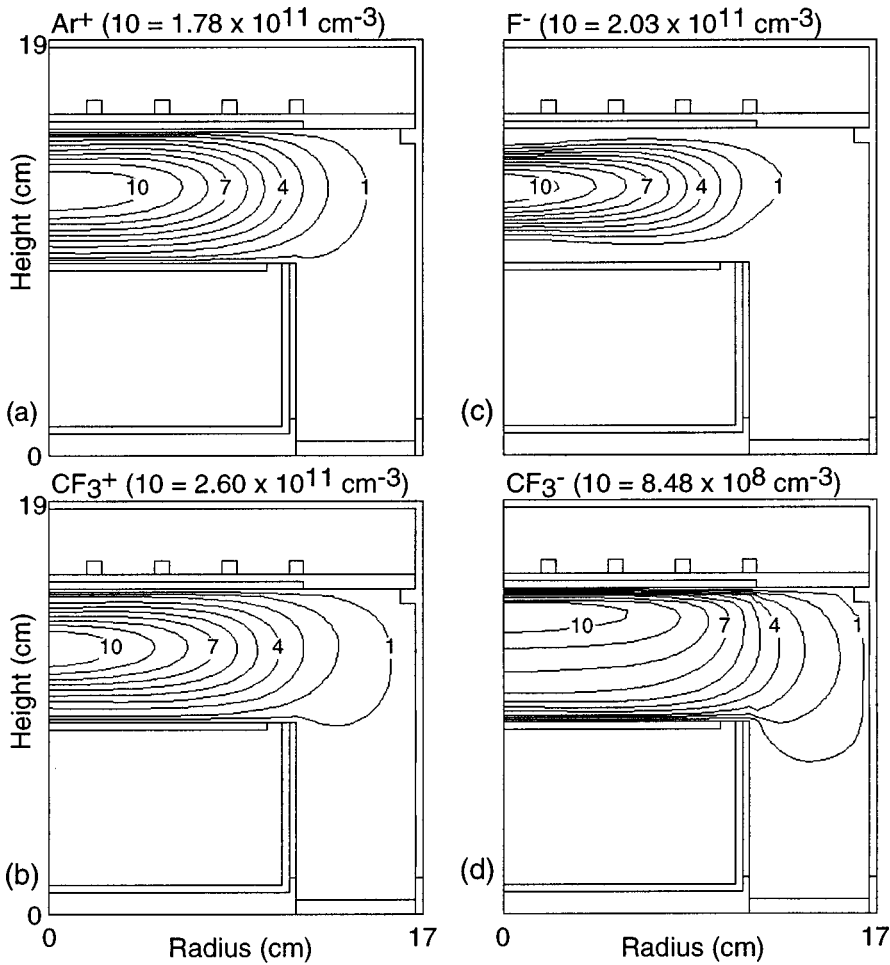


FIG. 3. Ion densities for the standard case for the plasma etching reactor. (a)  $\text{Ar}^+$ , (b)  $\text{CF}_3^+$ , (c)  $\text{F}^-$ , and (d)  $\text{CF}_3^-$ . The peak density of  $\text{CF}_3^+$  is larger than that of  $\text{Ar}^+$  though there is a larger Ar input mole fraction. The negative ions are dominated by  $\text{F}^-$ . The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

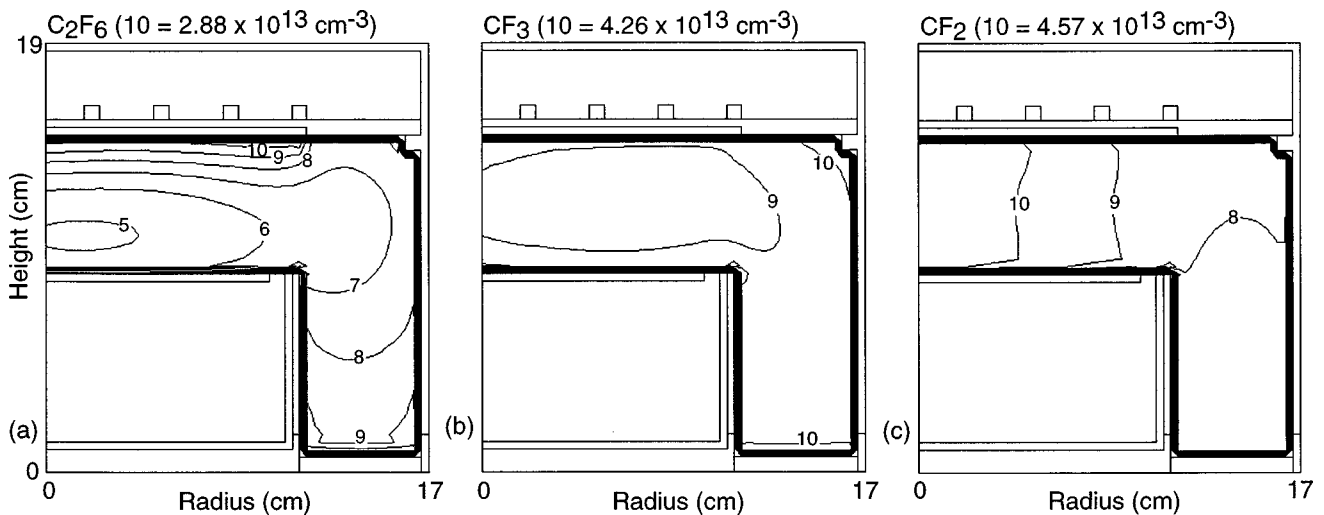


FIG. 4. Densities of input PFC and primary  $\text{CF}_x$  fragment densities for the standard case for the plasma etching reactor. (a)  $\text{C}_2\text{F}_6$ , (b)  $\text{CF}_3$ , and (c)  $\text{CF}_2$ .  $\text{C}_2\text{F}_6$  is quickly dissociated upon injection into plasma. The density of  $\text{CF}_3$  increases near surfaces due to recombination of plentiful  $\text{CF}_3^+$ . The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

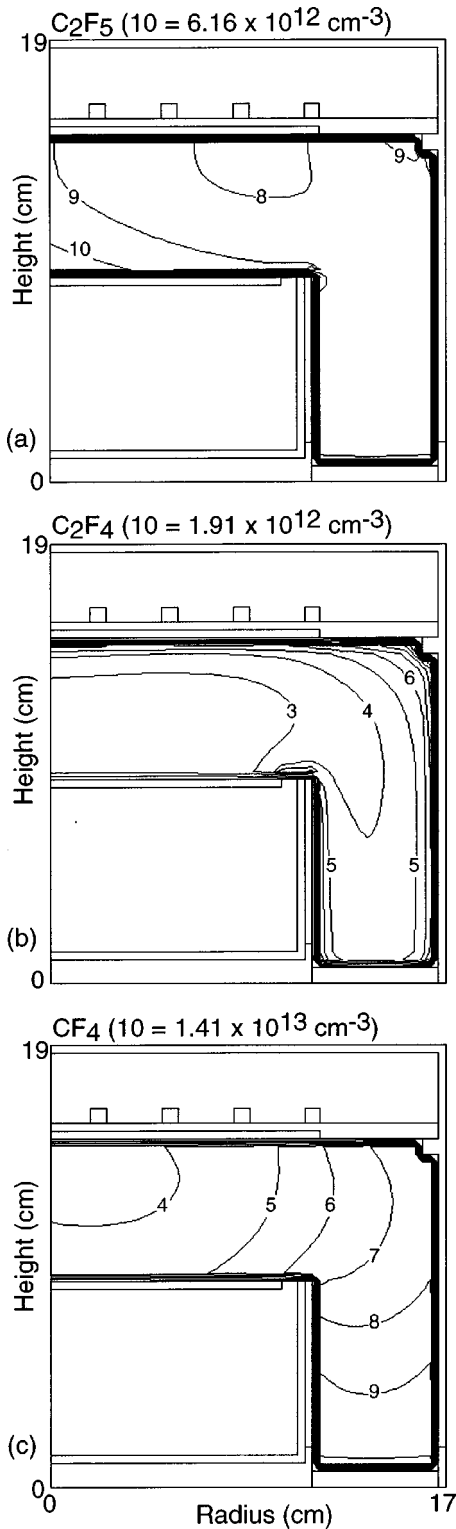


FIG. 5. Densities of PFCs generated by the process for the standard case of a plasma etching reactor. (a)  $C_2F_5$ , (b)  $C_2F_4$ , and (c)  $CF_4$ . These species are generated by radical recombination through gas phase and wall reactions.

power deposition are shown in Fig. 8(b). Over the range of power investigated, the model agrees well with the experiments. The  $C_2F_6$  destruction increases with increasing power while the  $CF_4$  production increases. At the highest power

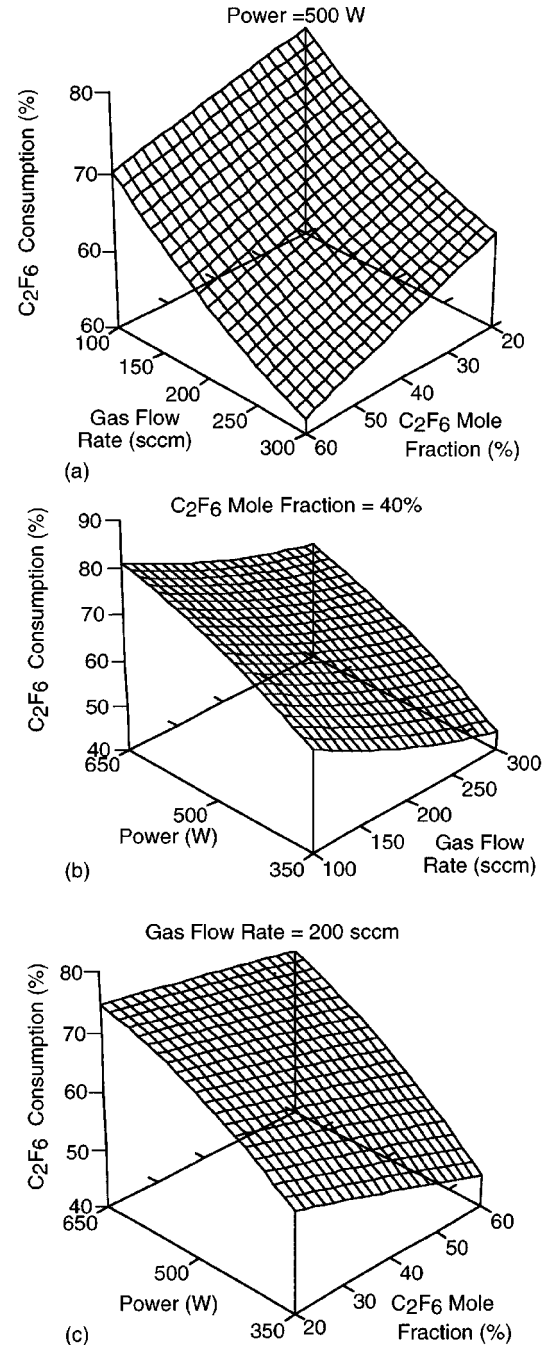


FIG. 6. Consumption of  $C_2F_6$  in the plasma etching reactor as a function of power, gas flow rate, and input  $C_2F_6$  mole fraction. (These figures are the results of a design of experiments whose response surface was fitted with a quadratic with cross terms. Some curvature of the surface results from the numerical fitting. Note that the independent variables have different orientations to obtain a better view angle.) The conditions held constant are: (a) Power at 500 W, (b)  $C_2F_6$  mole fraction at 40%, and (c) gas flow rate at 200 sccm. Consumption of  $C_2F_6$  increases with increasing power, decreasing flow rate, and decreasing  $C_2F_6$  mole fraction.

(1000 W) there is 40%  $C_2F_6$  decomposition and 25%  $CF_4$  generation.

At 500 mTorr, the plasma is generated dominantly near the coils due to the finite electromagnetic skin depth and rapid electron thermalization. (The electron thermalization

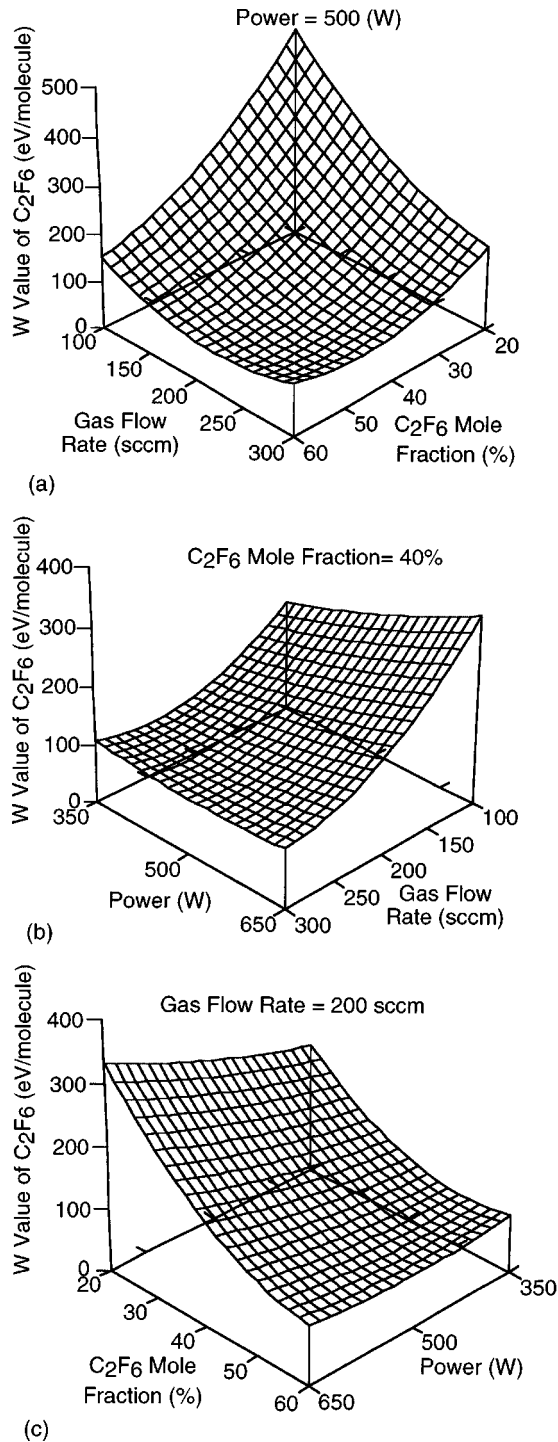


FIG. 7.  $W$ -values (eV/molecule) for consumption of  $C_2F_6$  for the plasma etching reactor as a function of power, gas flow rate, and  $C_2F_6$  mole fraction. The conditions held constant are: (a) Power at 500 W, (b)  $C_2F_6$  input mole fraction of 40%, and (c) gas flow rate 200 sccm. Low  $W$ -values (high efficiency) are obtained at high  $C_2F_6$  mole fraction and high flowrates. There is a weak dependence on power, with lower power being more efficient.

time at 2.6 eV, the peak electron temperature here, in an  $C_2F_6/O_2=50/50$  mixture at 500 mTorr is  $\approx 35$  ns. In comparison, the thermalization time for an  $Ar/C_2F_6=50/50$  mixture at 10 mTorr and 4 eV, typical of the plasma etching reactor, is 580 ns.) For example, the electron density, the rate

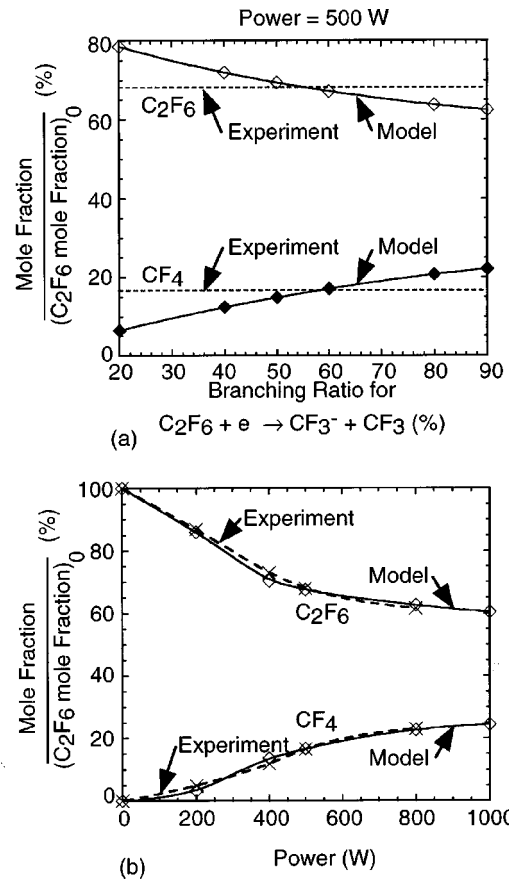


FIG. 8. Comparison of the mole fractions of  $C_2F_6$  remaining and the formation of  $CF_4$  obtained from the model and the experiments of Sawin and Vitale for a burn-box with an internal coil ( $C_2F_6/O_2=50/50$ , 500 mTorr, 400 sccm flow rate). (a) Mole fractions as a function of the branching ratio of  $e + C_2F_6 \rightarrow CF_3 + CF_3$  at a power deposition of 500 W. (b) Mole fractions as a function of power with a branching ratio of 58%.

of electron impact dissociation of  $C_2F_6$  and the advective gas velocity field for the 500 W case are shown in Fig. 9. The maximum electron density ( $5.3 \times 10^{11} \text{ cm}^{-3}$ ) is near the middle coil and decreases by a factor of 30 at the center of burn-box. The dissociation rate of  $C_2F_6$  is correspondingly smaller in the center where the advective gas velocity is highest. This pass through of gases in the center of reactor contributes to the lower level of  $C_2F_6$  degradation under the experimental conditions.<sup>10</sup>

As discussed later,  $CF_4$  generation is by radical recombination between F and  $CF_3$ . At 500 mTorr, the rate constant of  $CF_3 + F \rightarrow CF_4$  for a gas temperature range of 300–1000 K is given by<sup>35</sup>

$$k_9 = 3.5 \times 10^{-8} \left( \frac{T_g}{298} \right)^{-7.73} \exp \left( - \frac{2210}{T_g} \right) \text{ cm}^3/\text{s}. \quad (9)$$

At 500 W, the mean gas temperature in the reactor is  $\approx 400$  K, at which  $k_9 = 1.1 \times 10^{-11} \text{ cm}^3/\text{s}$ . As a result  $CF_4$  production is favored. Higher mean gas temperatures can therefore result in lower rates of  $CF_4$  production.

To quantify the destruction efficiency of all  $C_xF_y$  species, we define its  $W$ -value as the input power divided by the sum

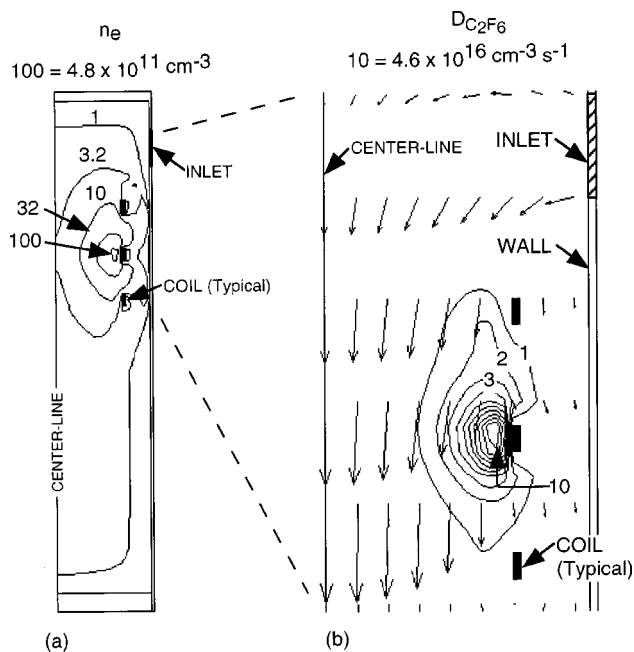


FIG. 9. Computed plasma parameters for the 500 W experimental case. (a) Electron density (with a logarithm scale). (b) Rate of electron impact dissociation of  $C_2F_6$  with the advective field shown with vectors. The low abatement obtained with this case is partly a consequence of a large proportion of the  $C_2F_6$  flowing through low plasma density regions.

of  $C_xF_y$  destruction weighted by the number of F atoms and normalized by 6 (the number of F atoms in  $C_2F_6$ ),

$$W(C_xF_y) = \frac{P}{\sum_i [f_i(C_xF_y)y/6]_{\text{input}} - \sum_i [f_i(C_xF_y)y/6]_{\text{output}}}, \quad (10)$$

where  $P$  is the power deposition,  $f_i$  is the flow rate (input or output) of the  $i$ th  $C_xF_y$ . The  $W$ -value for  $C_xF_y$  would be equal to that for  $C_2F_6$  if the compound was completely oxidized with there being no  $C_xF_y$  fragments. The weighting of the  $W$ -value with the number of F atoms in the molecule is a qualitative judgement that larger dissociation products are "less good."  $W$ -values for  $C_2F_6$  and for all  $C_xF_y$  as a function of power for the experimental conditions are shown in Fig. 10.  $W(C_xF_y)$  is five to ten times larger than  $W(C_2F_6)$  since more energy is required to abate the products of the dissociation of  $C_2F_6$  than to simply destroy  $C_2F_6$ . Both  $W$ -values increase with increasing power indicating lower efficiency. Although higher power deposition produces higher densities of radicals, the higher radical densities result in more rapid rates of radical-radical recombination. As the power deposition increases and more dissociation is produced, the incremental dissociation is less efficient because more power goes into the dissociation products.

### B. $O_2$ as an additive for PFC abatement

We first consider  $O_2$  as an additive gas for abatement, in the plasma burn-box, of effluent from the etching chamber. The desired reaction pathway is to oxidize the carbon in

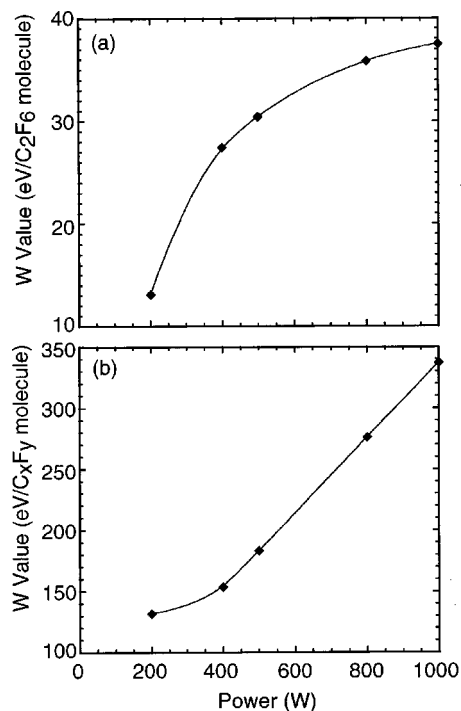
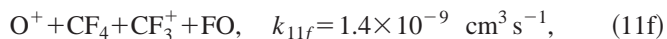
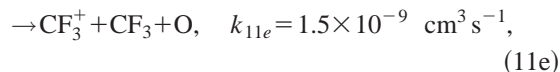
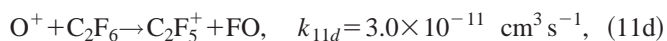
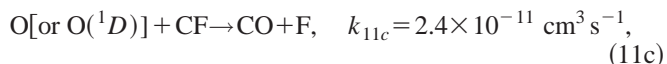
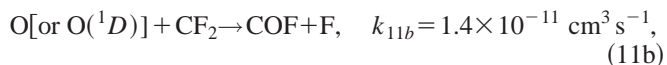
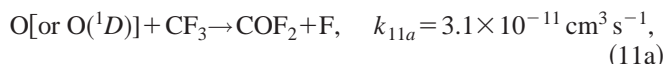
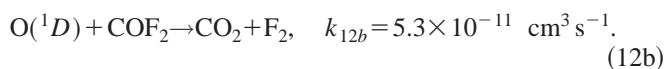
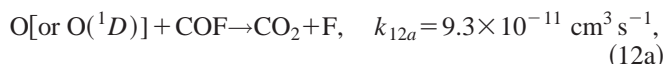


FIG. 10.  $W$ -values as a function of power for the experimental conditions. (a)  $W$ -values for  $C_2F_6$  destruction. (b)  $W$ -values for destruction of all  $C_xF_y$ . The  $W$ -value of  $C_xF_y$  is higher than that of  $C_2F_6$  since dissociation products of  $C_2F_6$  form other  $C_xF_y$  species (as opposed to being oxidized).

$C_xF_y$  to  $CO_2$ . Electron impact of  $O_2$  generates  $O, O(^1D)$  and  $O^+$ , which in turn react with the PFCs and  $CF_x$  radicals mainly as follows:



where rate coefficients are shown for  $T_g = 500$  K. The dominant reaction products of O atoms with  $CF_x$  are COF,  $COF_2$ , and CO. It is important to note that at low gas temperatures O and  $O(^1D)$  do not directly react with  $CF_4$  or  $C_2F_6$ , and  $O_2$  is generally unreactive with  $C_xF_y$  fragments. Remediation dominantly occurs by radical-radical reactions. The desired end product  $CO_2$  can be formed by reactions of COF with O or  $O(^1D)$ , and  $COF_2$  with  $O(^1D)$ .



Although PFC dissociation primarily takes place through electron impact, there are several other pathways for dissociation (e.g., dissociative charge transfer with  $O^+$ ,  $Ar^+$ ,  $F^+$ , and  $F_2^+$ ). For example, approximately 62% of  $CF_3$  production is by direct electron impact of  $C_2F_6$  and 10% results from charge transfer reactions.

The effluent comes into the burn-box through the top of the reactor, while  $O_2$  is injected through a ring nozzle pointing radially inward. The plasma burn-box is cylindrical with an inner radius of 2.5 cm and a length of 26.5 cm. Two coils are placed near the middle of the tube with a power deposition of 500 W. The effluent from the plasma etching reactor operating at  $Ar/C_2F_6=40/60$ , 200 sccm flow rate and 350 W power is used as input for our base case. This case was selected because its effluent contains a relatively high mole fraction of  $C_2F_6$  (0.289) and there is a variety of other  $C_xF_y$  species (0.173  $CF_3$ , 0.057  $CF_4$ , 0.055  $CF_2$ , 0.011  $C_2F_4$ , and 0.02  $C_2F_5$ ). 150 sccm  $O_2$  is injected as the additive. The power deposition, electron density, electron temperature, and plasma potential for this case are shown in Fig. 11. The peak electron density is  $\approx 1.2 \times 10^{12} \text{ cm}^{-3}$  with an off-axis maximum located 7.3 mm from the side wall. The inductively coupled power deposition has a maximum of  $15 \text{ W/cm}^3$  and is located approximately 3.3 mm inside the quartz tube. These peak values are larger than the plasma etching chamber due to the higher power density ( $\text{W/cm}^3$ ) and the shorter electron energy relaxation length at the higher pressure. The distance of the peak power deposition from the wall is smaller than that in the plasma etching reactor due to the shorter skin depth resulting from a higher electron density. The electron temperature, peak value 3.1 eV, varies moderately near the coils in the radial direction and extends as a ‘hot zone’ about 5 cm above and below the coils.

As the gas passes near the coils, the  $O_2$  density rapidly decreases due to electron impact dissociation and ionization while the  $O$  density increases, as shown in Fig. 12. Dissociation of  $C_2F_6$  in the plasma zone is dominated by electron impact processes as opposed to excitation transfer from  $Ar^*$ . For example, the relative contributions to disassociation of (electron impact excitation): attachment: ionization: (excitation transfer from  $Ar^*$ ) are 23:18:13:1. Excitation transfer from  $Ar^*$  to  $C_xF_y$  and  $O_2$  does, however, dominate over energy pooling (e.g.,  $Ar^*+Ar^*$ ) by factor of 40 as a means for quenching  $Ar^*$ . For our baseline case,  $CF_2$ ,  $CF_3$ ,  $C_2F_3$ ,  $C_2F_4$ , and  $C_2F_5$  are nearly totally eliminated ( $\sim 100\%$ ), and  $C_2F_6$  is decreased by 53% in passing through the burn-box. As shown in Figs. 12 and 13, their concentrations significantly decrease as the gas flow passes through the region near the coils where the oxygen radicals and electron densities are large. [ $O(^1D)$  and  $O^+$  only have high densities in plasma region since they react with other species or quench quickly after being generated.] The concentrations of major oxidation products ( $CO, CO_2, COF_2$ ) increase downstream of the coil region as does  $CF_4$ , as shown in Fig. 14. A summary of the abatement efficiencies and end products for the base case appears in Table IV.

Note that a significant amount of  $CF_4$  is produced above

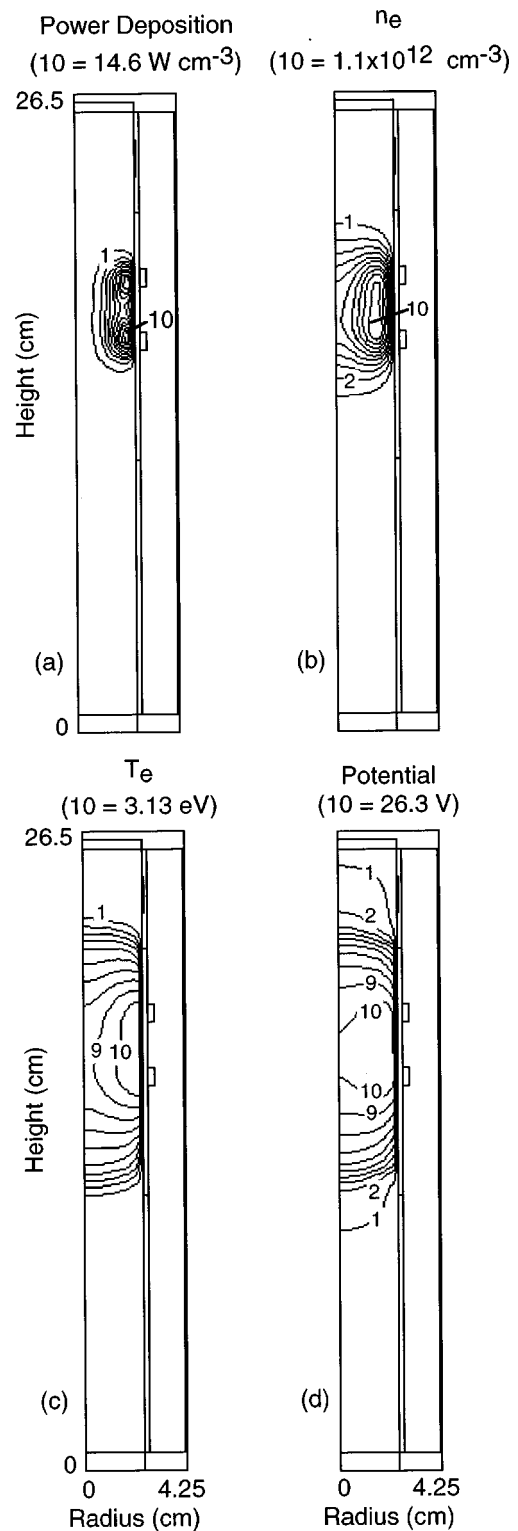


FIG. 11. Plasma parameters for the baseline case of a plasma burn-box using  $O_2$  as an abatement additive gas. The base-case conditions are using effluent from the plasma etching chamber operating at  $Ar/C_2F_6=40/60$ , 10 mTorr, 200 sccm, 350 W, and the burn-box operating at 150 mTorr, 500 W with 150 sccm of injected  $O_2$ . (a) Power deposition, (b) electron density, (c) electron temperature, (d) plasma potential. The effluent comes in the burn-box through the top of the reactor and  $O_2$  is injected through a ring nozzle pointing radially inward. The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

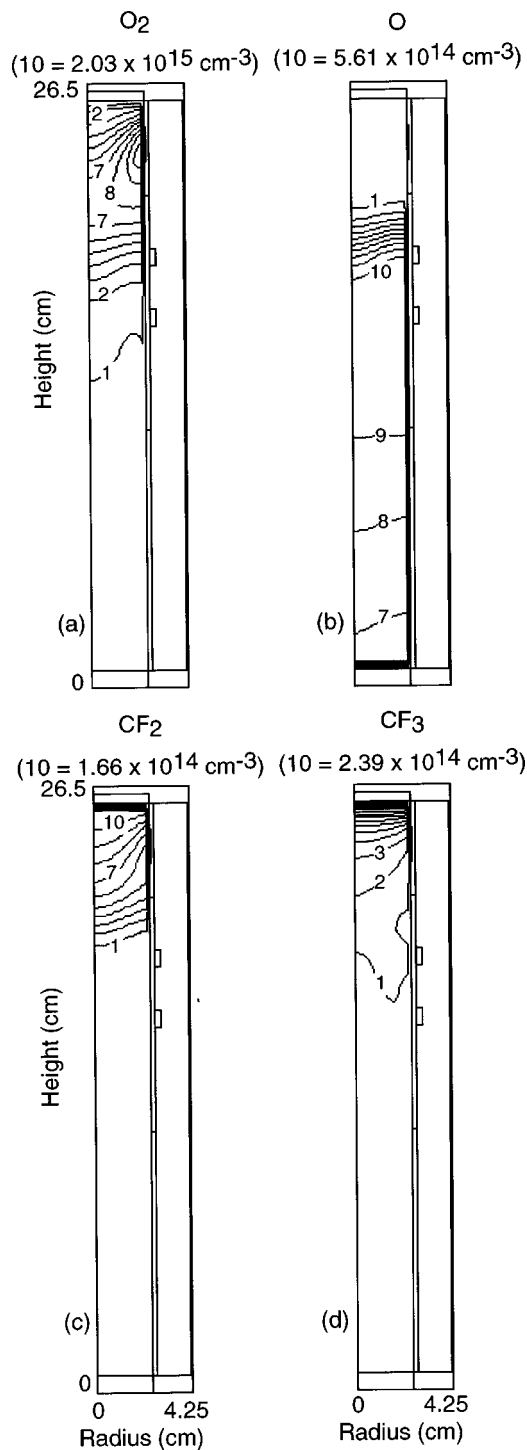


FIG. 12. Species densities for the baseline case of the plasma burn-box using  $O_2$  as an additive. (a)  $O_2$ , (b)  $O$ , (c)  $CF_2$ , and (d)  $CF_3$ .  $O_2$  is largely consumed in the plasma zone generating  $O$  radicals.  $CF_n$  is largely oxidized as it passes through the plasma zone. The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

the inlet value due to back diffusion of  $F$  atoms produced lower in the reactor which recombine with  $CF_3$ . The total amount of  $CF_4$  in the gas stream actually increases by a factor of 2.4 for the base case.  $CF_4$  is primarily generated by recombination,

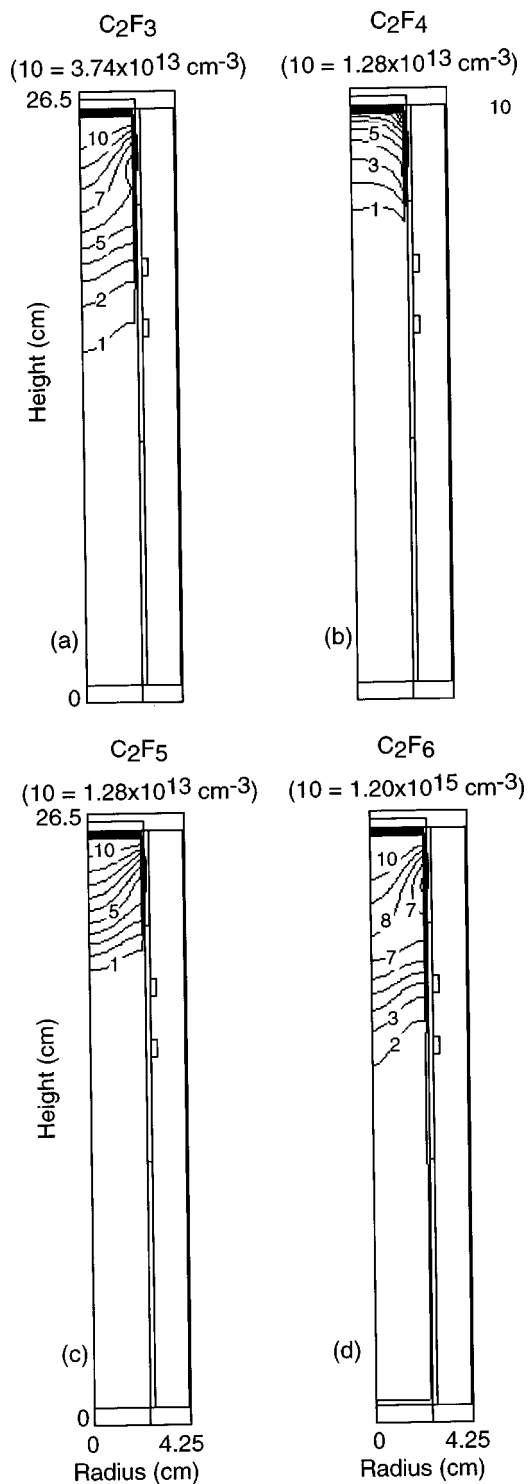


FIG. 13. Species densities for the baseline case of the plasma burn-box using  $O_2$  as an additive. (a)  $C_2F_3$ , (b)  $C_2F_4$ , (c)  $C_2F_5$ , and (d)  $C_2F_6$ . Injected PFCs are largely abated by electron impact dissociation followed by oxidation. The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.



The rate coefficient for this process is strongly dependent on gas temperature and pressure. At 150 mTorr, the rate coefficient is  $(300-1000 \text{ K})^{35}$

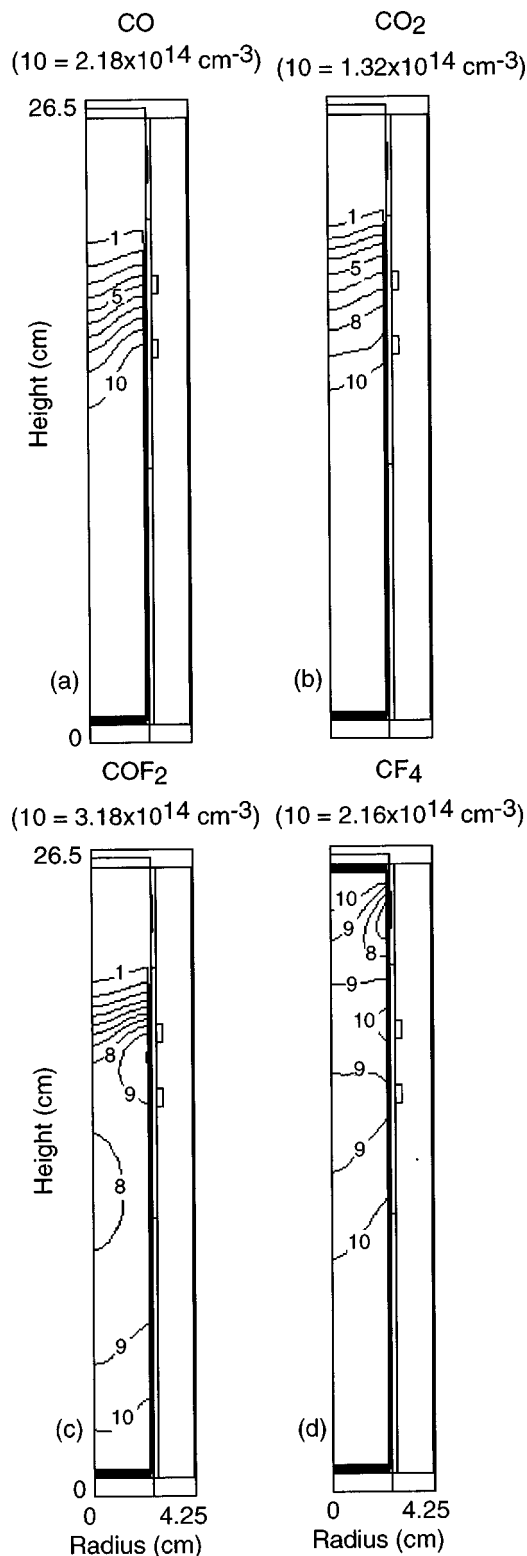


FIG. 14. Species densities for the baseline case of the plasma burn-box using O<sub>2</sub> as an additive. (a) CO, (b) CO<sub>2</sub>, (c) COF<sub>2</sub>, and (d) CF<sub>4</sub>. Oxidation products are generated as the effluent passes through the plasma zone. Production of CF<sub>4</sub> occurs throughout the reactor. The contours are labeled by their relative magnitudes with the maximum values noted at the top of each figure.

TABLE IV. Abatement of species, primary products, and  $W$ -value of C<sub>x</sub>F<sub>y</sub> for the standard cases using O<sub>2</sub>, H<sub>2</sub>, or H<sub>2</sub>O as additives.

Species	Fractional abatement <sup>a</sup> for additives		Initial-final
	O <sub>2</sub>	H <sub>2</sub>	Initial
C <sub>2</sub> F <sub>6</sub>	0.53	0.54	0.73
C <sub>2</sub> F <sub>5</sub>	>0.99	-0.47	0.37
C <sub>2</sub> F <sub>4</sub>	>0.99	-2.71	-0.31
C <sub>2</sub> F <sub>3</sub>	>0.99	0.22	0.35
CF <sub>4</sub>	-1.4	0.23	0.04
CF <sub>3</sub>	>0.99	0.96	0.98
CF <sub>2</sub>	>0.99	-0.42	0.05
Products	COF <sub>2</sub> , CO, CO <sub>2</sub> , F, F <sub>2</sub>	HF, CF, C	HF, COF <sub>2</sub> , CO, CF, C, CO <sub>2</sub>
$\eta$ of all C <sub>x</sub> F <sub>y</sub>	0.54	0.42	0.65
$W$ -value of C <sub>x</sub> F <sub>y</sub> , eV	124.9	159.8	119.3

<sup>a</sup>Total abatement has value 1.0. Negative values of abatement denotes net production of that species.

$$k_{14} = 4.9 \times 10^{-9} \left( \frac{T_g}{300} \right)^{-7.84} \exp \left( - \frac{1876.4}{T_g} \right) \text{cm}^{-3} \text{s}^{-1}. \quad (14)$$

The rate coefficient decreases by a factor of 4.5 by increasing the temperature from 300 K ( $k = 9.42 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ ) to 500 K ( $k = 2.09 \times 10^{-12} \text{ cm}^3 \text{ s}^{-1}$ ) and a factor of 158 with a temperature increase to 1000 K ( $k = 5.97 \times 10^{-14} \text{ cm}^3 \text{ s}^{-1}$ ). Therefore, the generation of CF<sub>4</sub> could be reduced if the gas temperature is increased. For the base case, the wall temperature is fixed at 400 K, resulting in a mean gas temperature in the burn-box of  $\approx 500$  K at which the rate coefficient for CF<sub>4</sub> formation is still large. By increasing the wall temperature, the bulk gas temperature increases and the CF<sub>4</sub> in the exhaust decreases. This trend is shown in Fig. 15. The remaining C<sub>2</sub>F<sub>6</sub> also decreases as the wall, gas and electron temperatures increase (and gas densities decrease) due to more favorable overlap of the region of high power deposition with the flow field of the C<sub>2</sub>F<sub>6</sub>. For example, the electron density shifts toward the center of the reactor due to the lower gas density.

The abatement of C<sub>2</sub>F<sub>6</sub> and the generation of CF<sub>4</sub> are shown in Fig. 16 as a function of power and injected O<sub>2</sub> for the output effluent of the base case. [These results were obtained by performing a design of experiments and using a quadratic model (with cross terms) to fit the results to a response surface. A portion of the curvature of the surfaces results from the fit.] C<sub>2</sub>F<sub>6</sub> abatement increases with increasing power and O<sub>2</sub> injection since there is more dissociation of O<sub>2</sub> and more subsequent reactions of O [and O(<sup>1</sup>D)] with CF<sub>x</sub> radicals. At low injected O<sub>2</sub>, CF<sub>4</sub> generation increases with increasing power since much of the CF<sub>3</sub> produced by the dissociation of C<sub>2</sub>F<sub>6</sub> is converted to CF<sub>4</sub> instead of reacting with oxygen radicals. At higher amounts of injected O<sub>2</sub>, CF<sub>4</sub> generation decreases with increasing power since the CF<sub>3</sub> from the dissociation of C<sub>2</sub>F<sub>6</sub> and other C<sub>x</sub>F<sub>y</sub> is rapidly converted to COF<sub>2</sub> in the oxygen radical-rich environment.

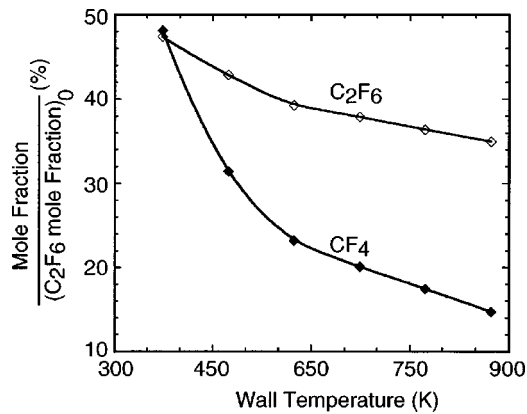


FIG. 15.  $C_2F_6$  and  $CF_4$  in the output stream (normalized by their input values) as a function of wall temperature for the baseline case of the plasma burn-box using  $O_2$  as an additive. Increasing wall and gas temperature reduces the rate of  $CF_4$  recombination.

The transition to low  $CF_4$  generation occurs at about 90 sccm of injected  $O_2$ . These trends generally agree with Hartz *et al.*<sup>8</sup>

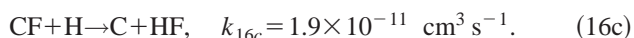
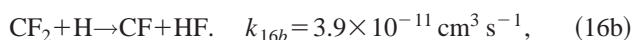
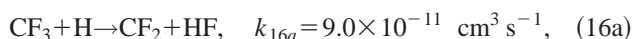
The abatement efficiency  $\eta$  is defined as the sum of the output flow rate of  $C_xF_y$  species weighted by the number of F atoms divided by the input flow rate,

$$\eta = 1 - \frac{\sum_i [f_i(C_xF_y)y]_{\text{output}}}{\sum_i [f_i(C_xF_y)y]_{\text{input}}}, \quad (15)$$

where  $f_i$  is the flow of the  $i$ th  $C_xF_y$  species in sccm. We again prejudice the calculation of efficiency by assuming that larger  $C_xF_y$  are “less good.”  $\eta$  and the  $W$ -value for destruction of  $C_xF_y$  are shown in Fig. 17 for the conditions of Fig. 16.  $\eta$  increases with increasing power deposition and the amount of injected  $O_2$  due to there being more  $CF_x$  radical and O atom generation. However, the  $W$ -value increases (lower power efficiency) with increasing power deposition (and particularly so at lower  $O_2$  injection) since at higher power deposition more power is expended to further dissociate the products (e.g.,  $COF_2$  and  $CO_2$ ).

### C. $H_2$ as an additive for PFC abatement

In this subsection, we investigate  $H_2$  as an additive gas for PFC abatement. Electron impact dissociation of  $H_2$  generates H which in turn becomes the primary species for abating  $CF_x$  radicals. The dominant abatement reactions are<sup>34,53</sup>



In mixtures containing  $H_2$ , another significant process is the reaction between  $H_2$  and F,<sup>34</sup>

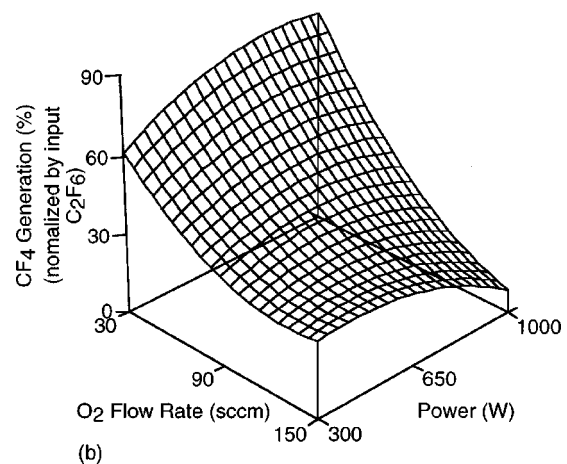
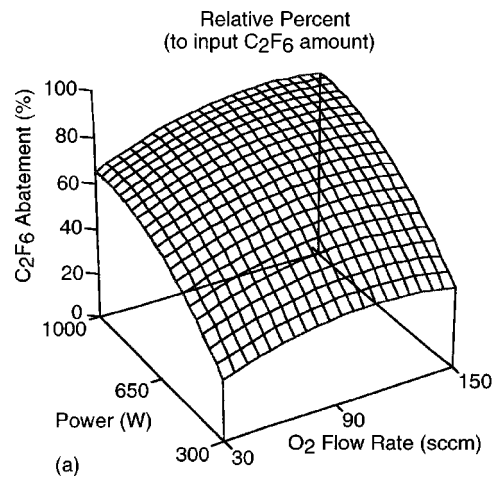
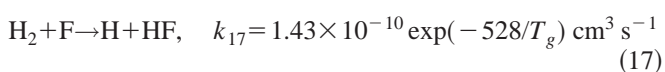


FIG. 16. Consumption of  $C_2F_6$  and formation of  $CF_4$  as a function of power and injected  $O_2$  in the plasma burn-box. (a) Abatement of  $C_2F_6$  and (b) generation of  $CF_4$  normalized by the input  $C_2F_6$  flow rate. (These figures are the output of a design-of-experiments whose response surface was fitted with a quadratic with cross terms. Some curvature of the surface results from the numerical fitting. Note that the independent variables have different orientations to obtain a better view angle.)  $CF_4$  generation is most problematic at high powers and low oxygen flow rates.

which can substantially reduce the F atom density. The decrease in higher order  $CF_x$  radicals and the reduction in the availability of free fluorine further reduce the production of PFCs by reassociation, particularly so for  $CF_4$ .

The standard case is the same as that for using  $O_2$  except that  $H_2$  is injected at 150 sccm instead of oxygen. HF is the major product (mole fraction of 0.49) leaving the burn-box with there being lesser amounts of CF (0.094), C (0.074), and  $CF_2$  (0.041). The higher mole fractions of CF and  $CF_2$  in the exhaust gas compared to using  $O_2$  are due in part to there being insufficient H to reduce them to C.  $C_2F_6$  and  $CF_3$ , the two primary  $C_xF_y$  species in the etching reactor effluent, are abated by 54.7% and 95.5%, respectively. (See Table IV for other  $C_xF_y$  species.) The  $CF_4$  mole fraction, which is increased by 240% when using  $O_2$  as an additive, is reduced by 23.5%. However, there is a significant increase in the amount of  $C_2F_4$  (by a factor 2.4) due to the relatively high concen-



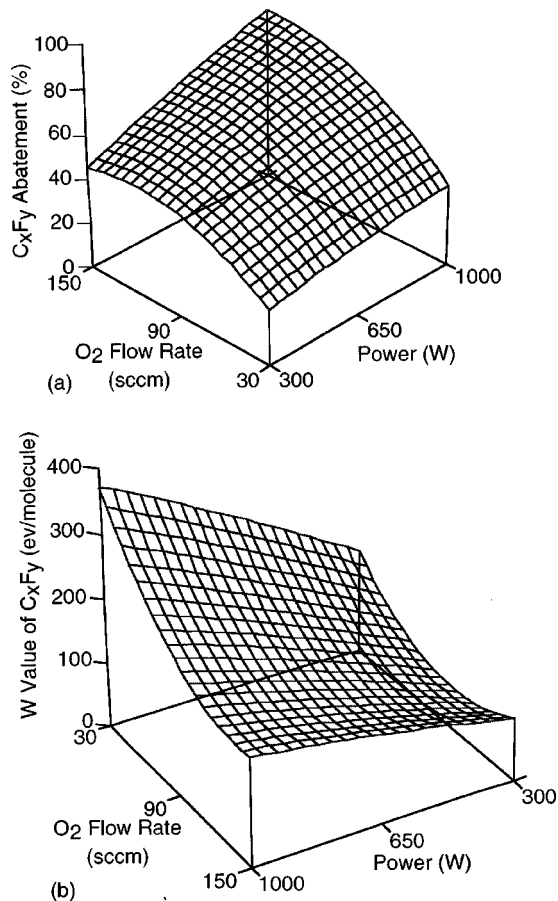


FIG. 17. Efficiencies for removal or conversion of all  $C_xF_y$  as a function of power and injected  $O_2$  in the plasma burn-box. (a) Fractional  $C_xF_y$  abatement and (b)  $W$ -value for  $C_xF_y$  abatement. (Note that the independent variables have different orientations to obtain a better view angle.) Although abatement maximizes at high power and high oxygen flow rate, the efficiency is low (high  $W$ -value).

tration of  $CF_2$ .  $C_2F_4$  is still, however, a small mole fraction ( $<1\%$ ) in the exhaust.

The abatement efficiency  $\eta$  and  $W$ -value for  $C_xF_y$  as a function of power deposition and the amount of injected  $H_2$  are shown in Fig. 18. Note that we chose a larger input of  $H_2$  compared to the DOE using  $O_2$  since three  $H_2$  molecules are needed to remove all the F atoms in a  $C_2F_6$  molecule. Only a single  $O_2$  molecule is needed to oxidize a  $C_2F_6$  if the oxidation product is CO or  $COF_2$ , and two  $O_2$  molecules are required if the oxidation product is  $CO_2$ . At high power and high  $H_2$  feed, almost all of the C and F atoms which are initially bound in  $C_xF_y$  species are converted to C and HF. As a consequence,  $\eta$  approaches 100%. As with using  $O_2$  as an additive, increasing power deposition also increases the  $W$ -value of  $C_xF_y$  (less efficient).

#### D. $H_2O$ as an additive for PFC abatement

$H_2O$  is another promising additive for abating PFCs.<sup>11</sup> When using  $H_2O$ , electron impact dissociation produces O, H, and OH radicals. As discussed above, H and O are pre-

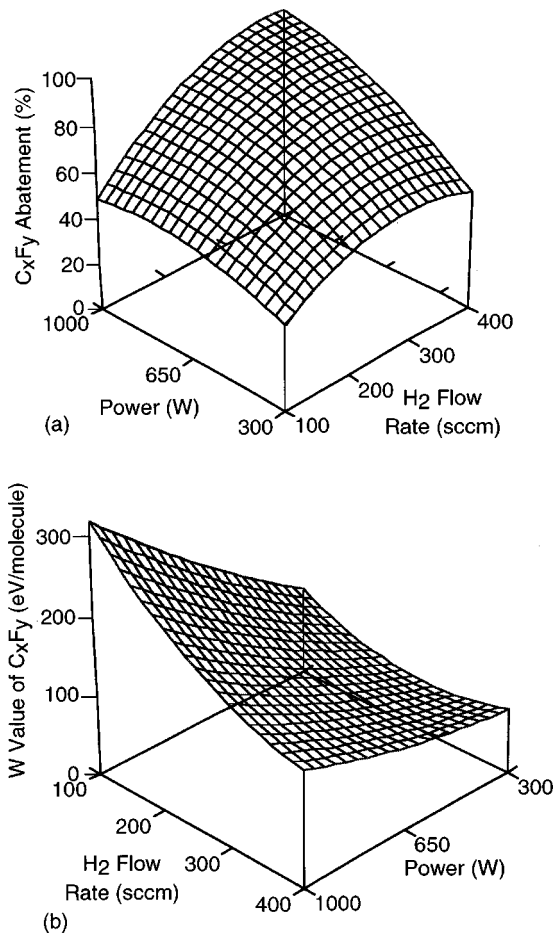
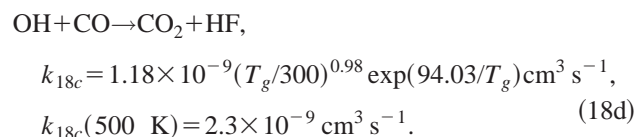
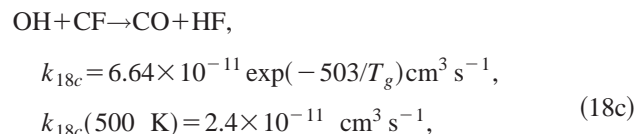
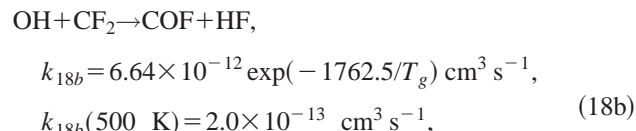
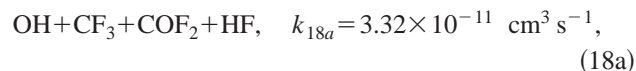
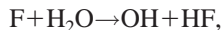


FIG. 18. Efficiencies for abatement of all  $C_xF_y$  as a function of power and injected  $H_2$  in the plasma burn-box. (a) Fractional  $C_xF_y$  abatement and (b)  $W$ -value for  $C_xF_y$ . (Note that the independent variables have different orientations for to obtain a better view angle.)

cursors for PFC remediation, however, OH can also remediate PFCs by both oxidizing  $CF_x$  and preventing its reassociation to make  $CF_4$ ,<sup>34</sup>



$H_2O$  can also directly react with F, which acts as a sink to remove free fluorine atoms which might otherwise recombine to form  $CF_4$ .



$$k_{19} = 1.11 \times 10^{-11} (T_g/300)^{1.5} \text{ cm}^3 \text{ s}^{-1},$$

$$k_{19}(500 \text{ K}) = 2.4 \times 10^{-9} \text{ cm}^3 \text{ s}^{-1}. \quad (19)$$

This reaction generates OH which is then available for further remediation, thereby forming a chain reaction.

To investigate H<sub>2</sub>O as an additive, the base case was modeled using 150 sccm of water vapor. The results show that H<sub>2</sub>O is more effective for PFC abatement than either O<sub>2</sub> or H<sub>2</sub>, in agreement with experiments by Tonnis *et al.*<sup>11</sup> For example, the two major C<sub>x</sub>F<sub>y</sub> species in the etching effluent, C<sub>2</sub>F<sub>6</sub> and CF<sub>3</sub>, are abated by 73% and 98% without there being additional CF<sub>4</sub> generation. CF<sub>4</sub> (a minority C<sub>x</sub>F<sub>y</sub> species in the etching effluent) is reduced by 9.7%. Other C<sub>x</sub>F<sub>y</sub> species are also decreased to different extents as shown in Table IV. The exception is that there is a slight increase of C<sub>2</sub>F<sub>4</sub>. For the base case, the F atoms which were initially bound in all C<sub>x</sub>F<sub>y</sub> were converted to products in the following proportions: HF, 59.8%, and COF<sub>2</sub>, 40%. Only traces of F are in other species (COF, F<sub>2</sub>, FO, F, and CF<sub>3</sub>O<sub>2</sub>). The carbon atoms initially bound in C<sub>x</sub>F<sub>y</sub> were converted to products in the following proportions: COF<sub>2</sub>, 63.3%, CO, 31.4%; C, 3.9%; and CO<sub>2</sub>, 1.4%. At higher power and higher water vapor input, all C<sub>x</sub>F<sub>y</sub> can be decreased to low concentrations. For example,  $\eta$  and  $W$ -values for C<sub>x</sub>F<sub>y</sub> as a function of power and injected water vapor are shown in Fig. 19. High  $\eta$  is obtained at high powers with a large feed of H<sub>2</sub>O. Low  $W$ -values (high efficiency) are obtained at high input H<sub>2</sub>O flow rates with low power. These trends are similar to the cases using H<sub>2</sub> except for there being a higher efficiency and lower  $W$ -value.

## V. CONCLUDING REMARKS

Results from a computational investigation of the consumption and generation of PFCs in an ICP etching reactor for Ar/C<sub>2</sub>F<sub>6</sub> and Ar/CF<sub>4</sub> gas mixtures and the abatement of the effluent in an ICP burn-box have been discussed. The model was validated by comparison to experiments by Sawin and Vitale<sup>9</sup> using C<sub>2</sub>F<sub>6</sub>/O<sub>2</sub> mixtures. C<sub>2</sub>F<sub>6</sub> (or CF<sub>4</sub>) consumption in the etching reactor is proportional to ICP power deposition, and inversely proportional to C<sub>2</sub>F<sub>6</sub> mole fraction and total gas flow rate. We found a ceiling of 158 eV/molecule for consumption of C<sub>2</sub>F<sub>6</sub> and 175 eV/molecule for CF<sub>4</sub> for our baseline cases, Ar/C<sub>2</sub>F<sub>6</sub> (or Ar/CF<sub>4</sub>) = 60/40 at 500 W power deposition. The generation of C<sub>x</sub>F<sub>y</sub> in the effluents of Ar/C<sub>2</sub>F<sub>6</sub> gas mixtures is approximately twice that for Ar/CF<sub>4</sub> gas mixture under the same conditions. There is slightly more generation of F and F<sub>2</sub> for the CF<sub>4</sub> feedstock gas.

In general, CF<sub>4</sub> generation occurs during abatement of C<sub>2</sub>F<sub>6</sub> using O<sub>2</sub> as an additive. This is especially true for high power with low O<sub>2</sub> input due to there being low concentrations of O and O(<sup>1</sup>D) atoms while there are large densities of F and CF<sub>3</sub>. At high gas temperatures, there is a significant reduction of CF<sub>4</sub> generation since the rate coefficient for recombination of CF<sub>3</sub> and F decreases. The major oxidation

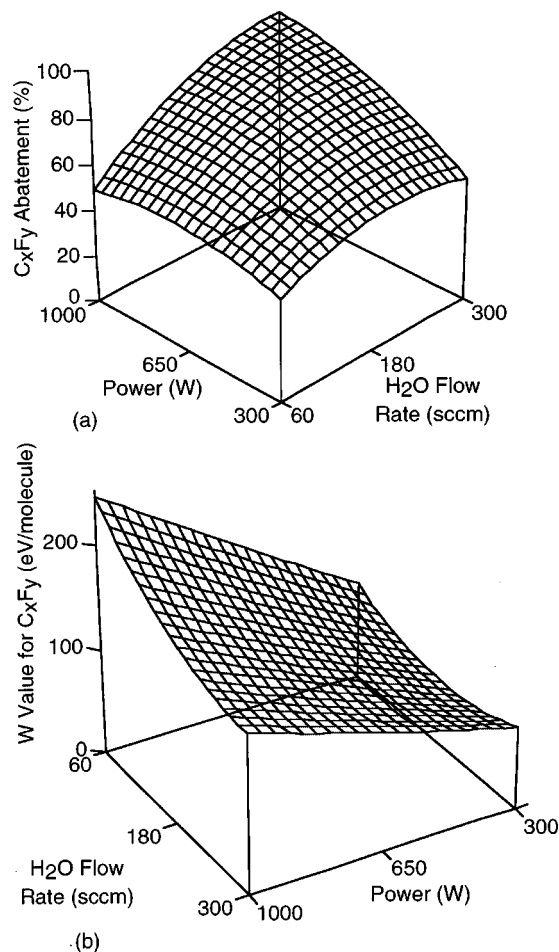


Fig. 19. Efficiencies for abatement of all C<sub>x</sub>F<sub>y</sub> as a function of power and injected H<sub>2</sub>O in the plasma burn-box. (a) Fractional C<sub>x</sub>F<sub>y</sub> abatement and (b)  $W$ -value for C<sub>x</sub>F<sub>y</sub>. (Note that the independent variables have different orientations to obtain a better view angle.)

products are COF<sub>2</sub>, CO, and CO<sub>2</sub>. H<sub>2</sub> can be used as an abatement additive without producing CF<sub>4</sub> since hydrogen reacts rapidly with free fluorine which would otherwise re-associate with CF<sub>x</sub> to form CF<sub>4</sub>. F and C atoms initially contained in C<sub>x</sub>F<sub>y</sub> are converted to HF and C. Generation of C atoms could be problematic due to their deposition on surfaces. It was also shown that H<sub>2</sub>O is a promising and efficient abatement additive gas since it is a source of oxygen, hydrogen and hydroxyl radicals, produced in beneficial mole fractions. The primary products of abatement using water vapor are HF, CO, COF<sub>2</sub> with small amounts of C and CO<sub>2</sub>.

## ACKNOWLEDGMENTS

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